**CS**11212 - **Spring** 2022

# **Data Structures &** Introduction to **Algorithms**

Data Structures Hashing

Ibrahim Albluwi

Problem. Design a data structure that supports *search*, *insertion* and *deletion* (without duplicates)

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#### Candidate implementations.

	<pre>insert(val)</pre>	remove(val)	contains(val)
Unordered DLL	O(n)	O(n)	0(n)
Unordered SLL	O(n)	O(n)	O(n)
Ordered DLL	O(n)	O(n)	0(n)
Ordered SLL	O(n)	O(n)	0(n)
Unordered Array	O(n)	O(n)	0(n)
Ordered Array	O(n)	O(n)	O(log n)
Balanced BST	O(log n)	O(log n)	O(log n)

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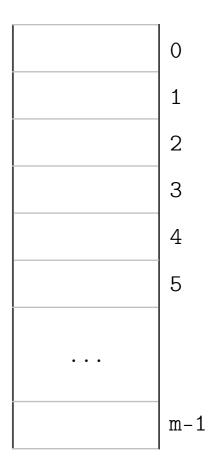
### ?

#### Can we do better?

Can we improve over the performance of balanced BSTs, such that *search*, *insertion* and/or *deletion* run(s) in O(1)?

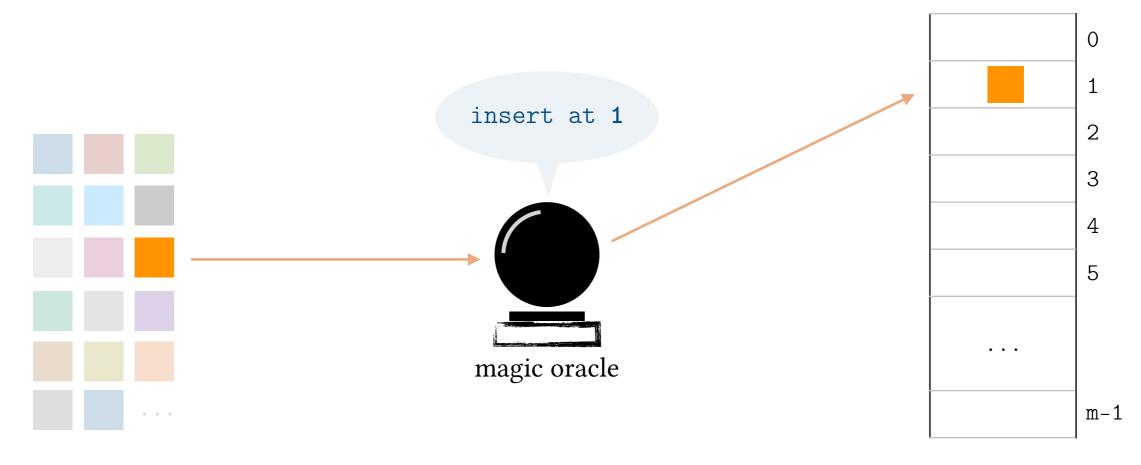






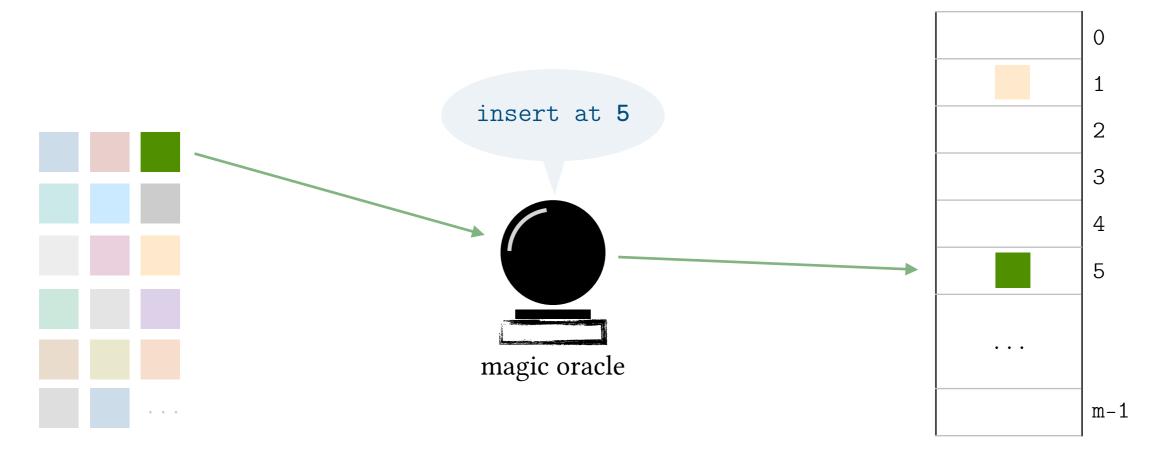
A table with *m* cells

I Have a Dream: A *magic oracle* that knows exactly in which cell each element should be stored or could be found!



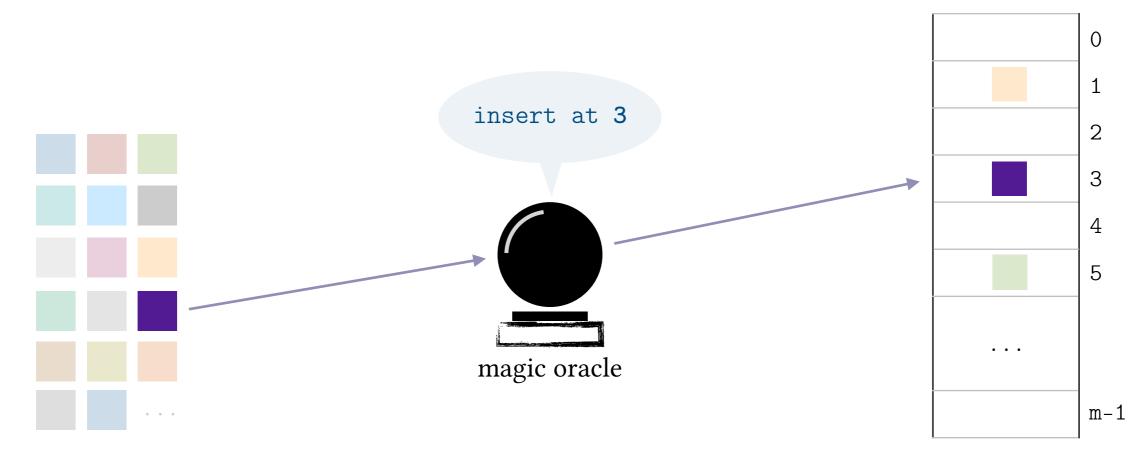
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Insertion: The oracle knows exactly which index each element should go to.



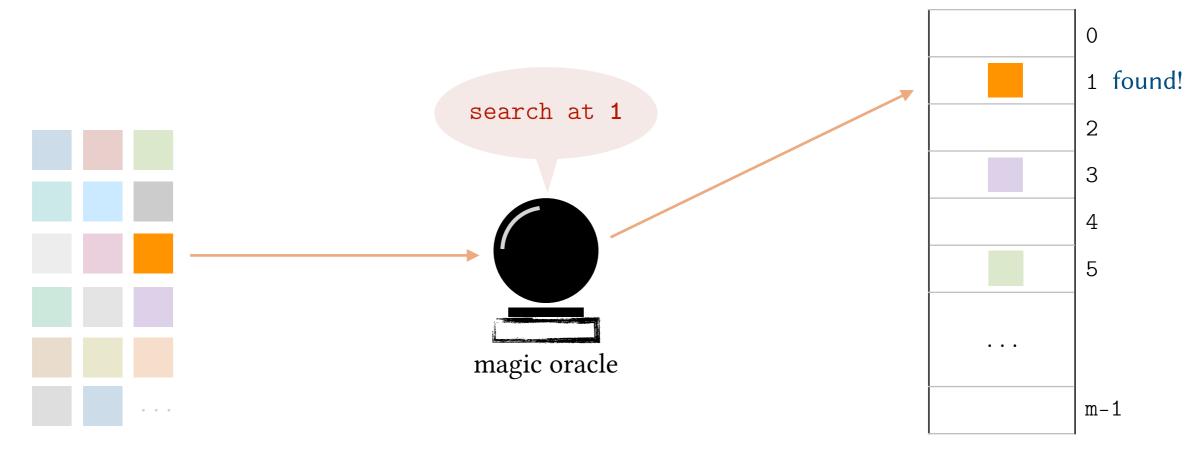
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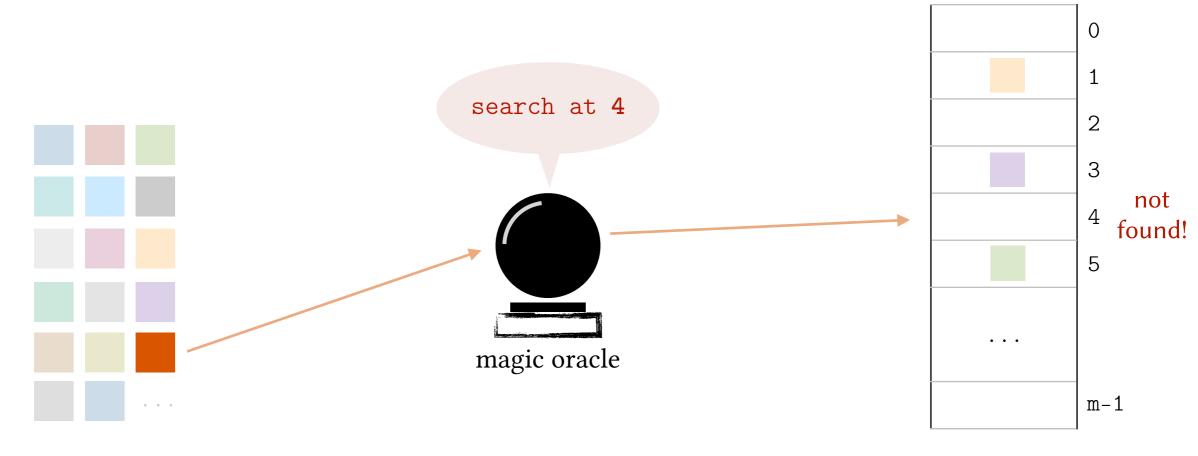
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Insertion: The oracle knows exactly which index each element should go to. Search: The oracle knows exactly which index to search in.

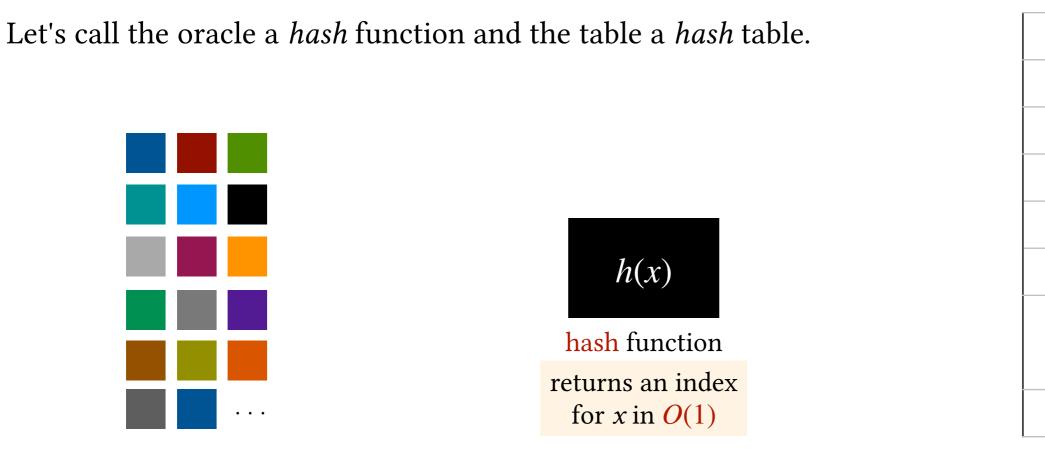


*n* elements to be stored

A table with m cells

Insertion: The oracle knows exactly which index each element should go to. Search: The oracle knows exactly which index to search in.

### I have a dream!



*n* elements to be stored

A hash table with *m* cells

. . .

0

1

2

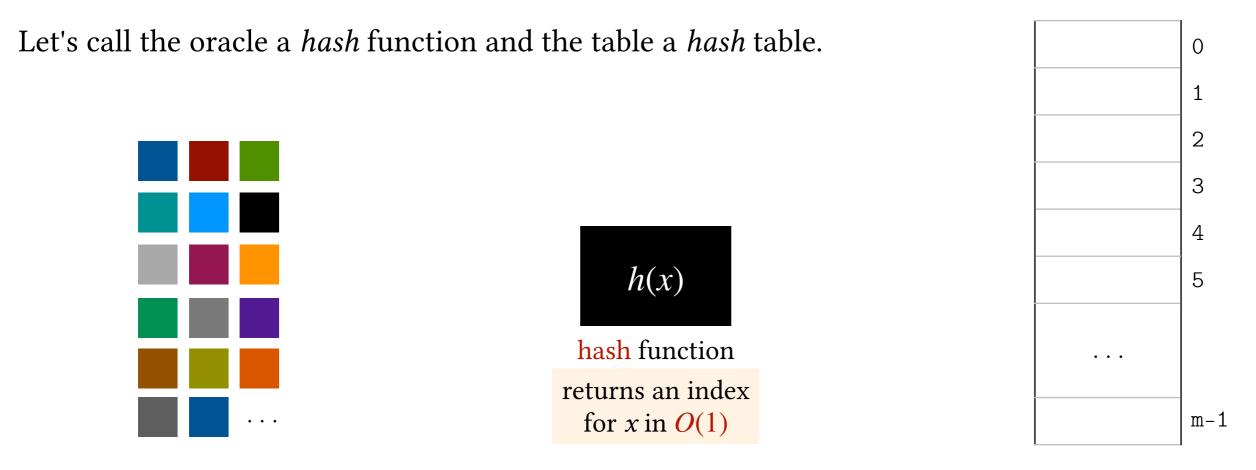
3

4

5

m-1

### I have a dream!



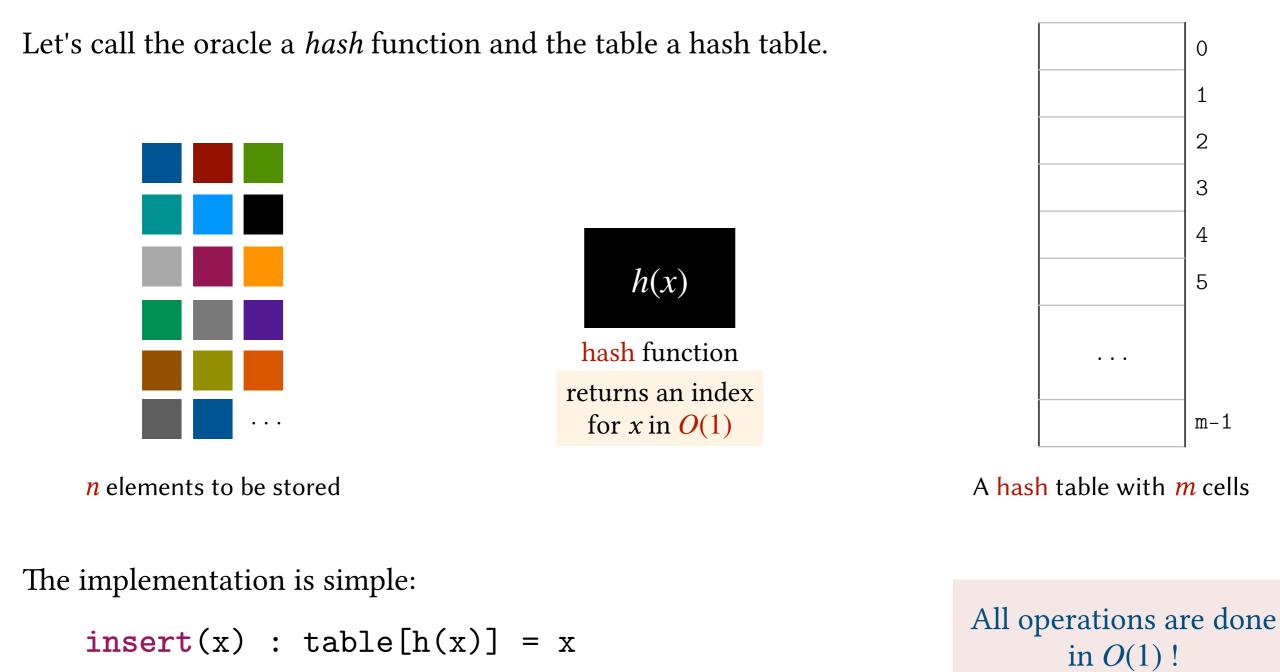
*n* elements to be stored

A hash table with *m* cells

#### The implementation is simple:

insert(x) : table[h(x)] = x
remove(x) : table[h(x)] = dummy value
search(x) : return table[h(x)] != dummy value

### I have a dream!



- remove(x) : table[h(x)] = dummy value
- search(x) : return table[h(x)] != dummy value

Is this possible?

Consider *n* distinct non-negative integers all in the range  $[0, 10^9]$ . How can we support *search*, *insert* and *remove* in O(1)?

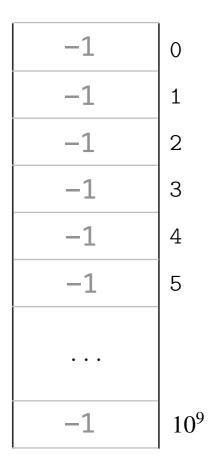
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#### Answer.

- 1. Create a hash table of size  $10^9 + 1$  (indices are from 0 to  $10^9$ ).
- 2. Use -1 as a dummy value in empty cells.

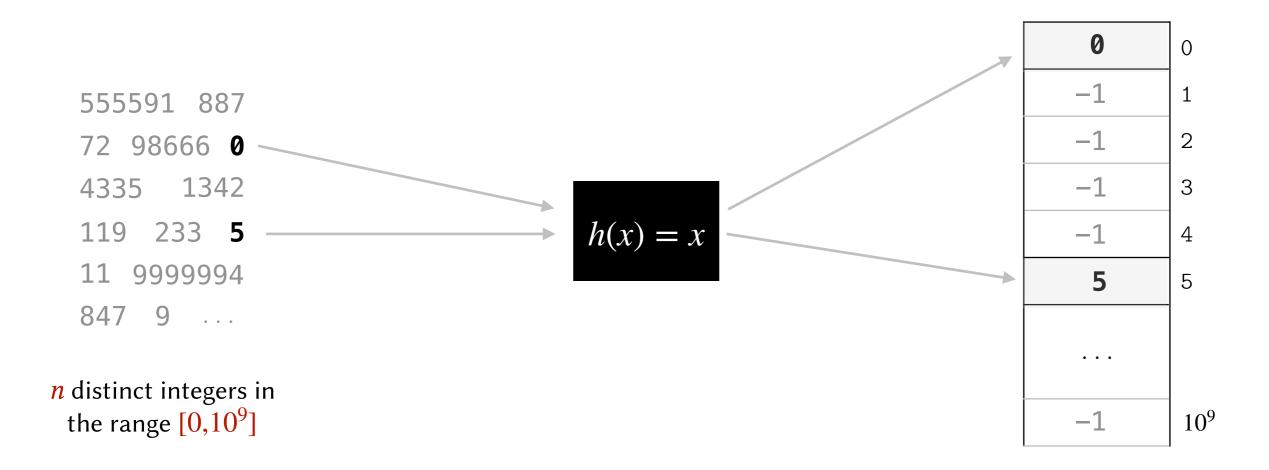
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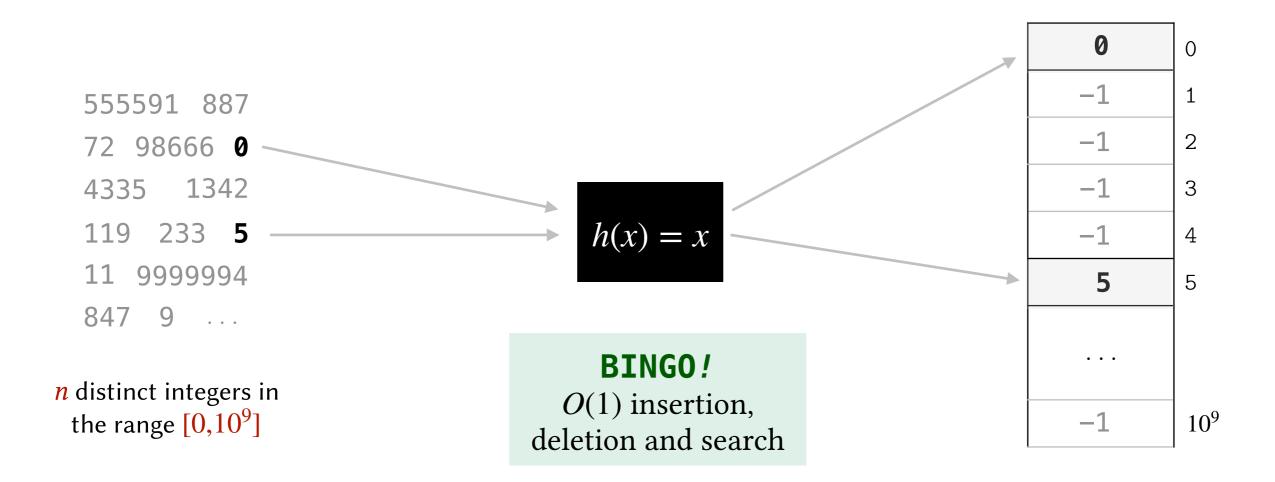
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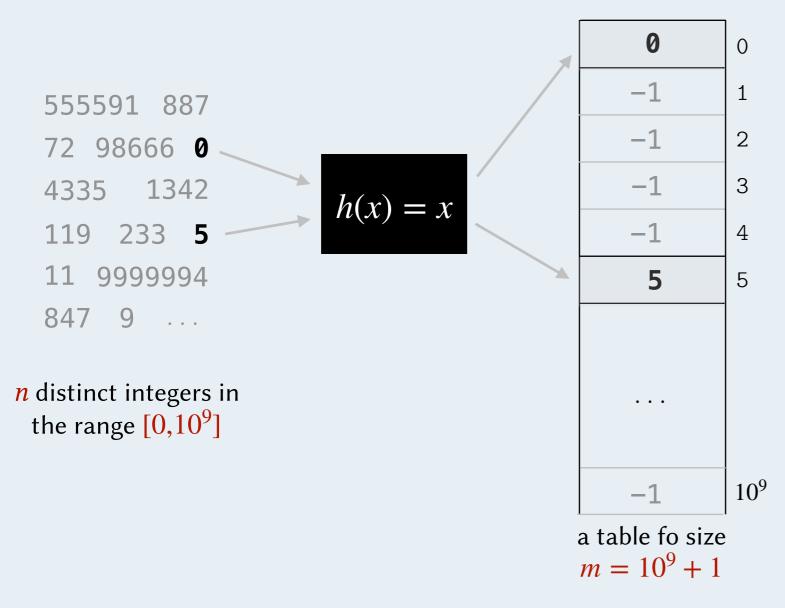
**Definition.** A hash function h(x) is *perfect* if  $h(x_1) = h(x_2)$  implies  $x_1 = x_2$ 

In other words, if h(x) is *perfect*, no two distinct elements have the same hash value.

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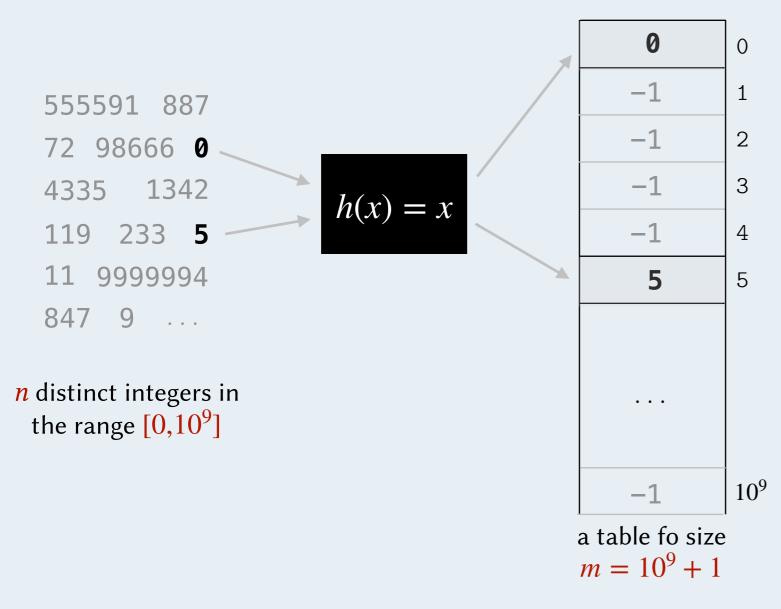
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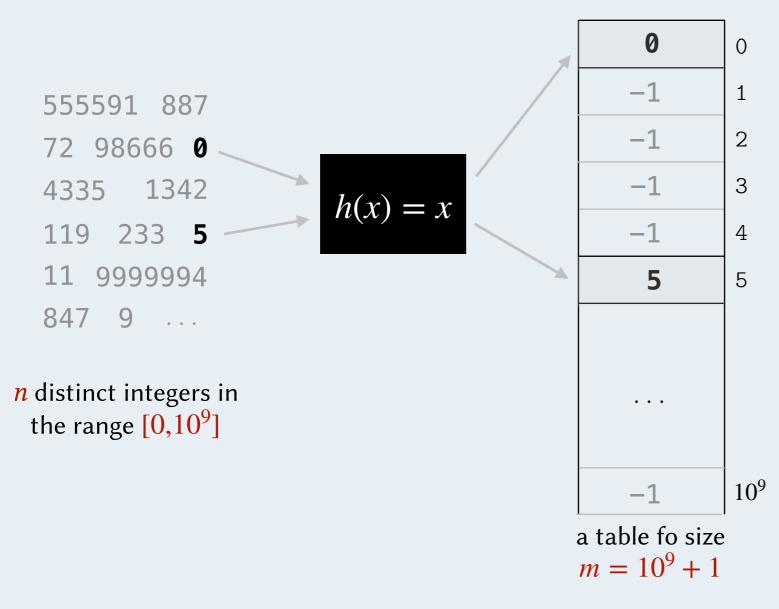


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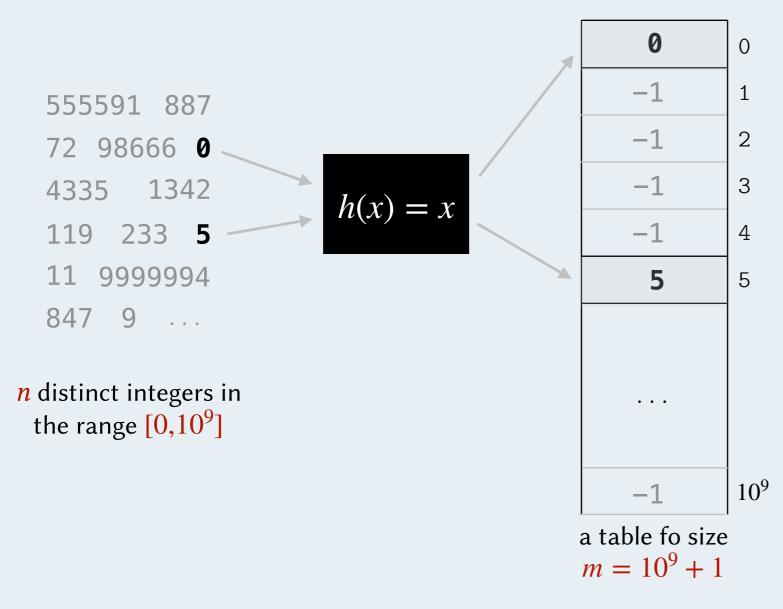


**Any Problem?** What if *n* = 10 ?

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What if n = 10? We still need a table of size  $m = 10^9 + 1$ 

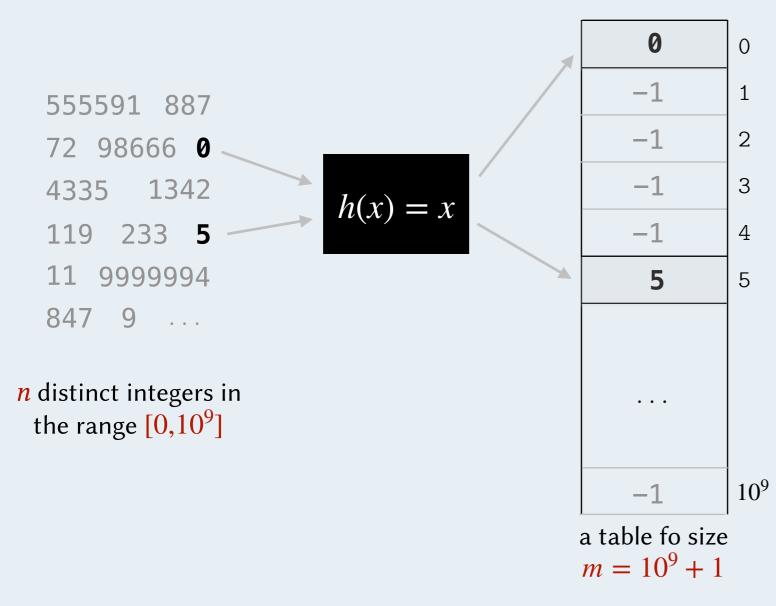


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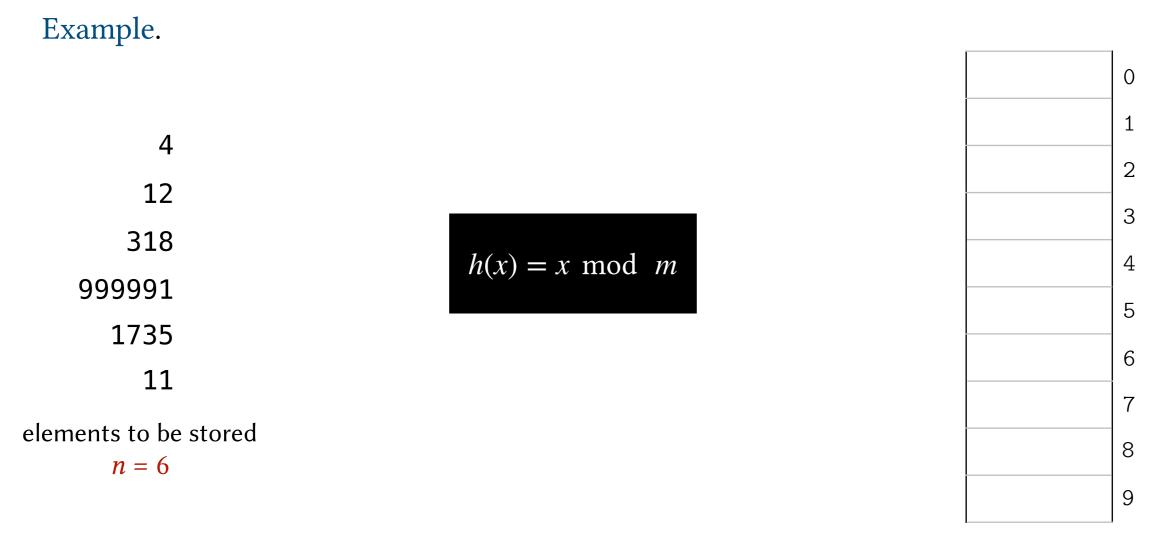
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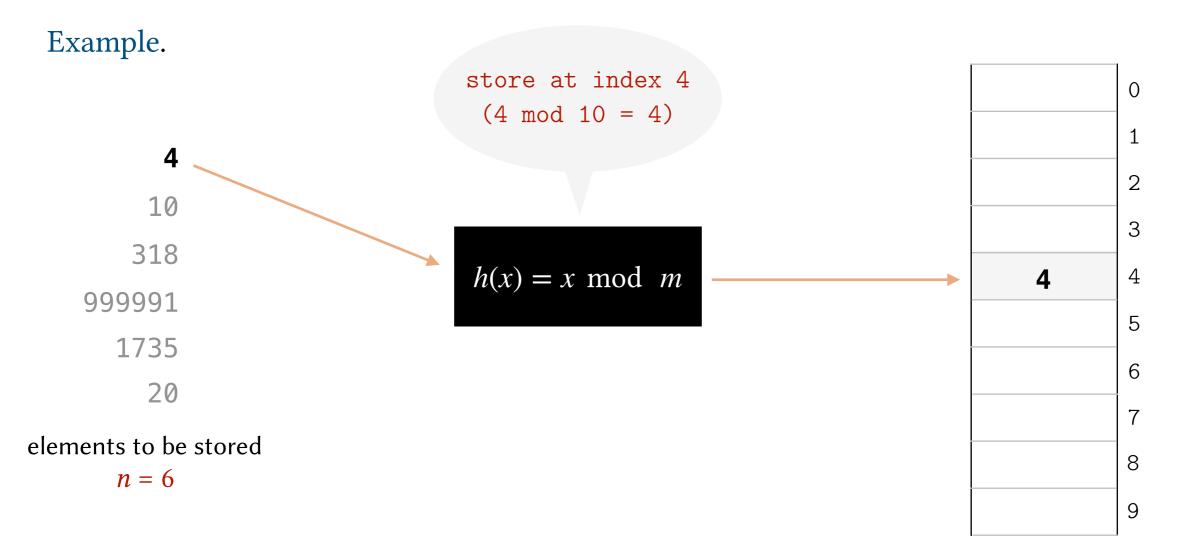
We want to limit m to be not much larger than n.

- 1. Pick a hash table size *m* that is not much larger than the number of elements to be stored *n*.
- 2. Use the following hash function:  $h(x) = x \mod m$ .



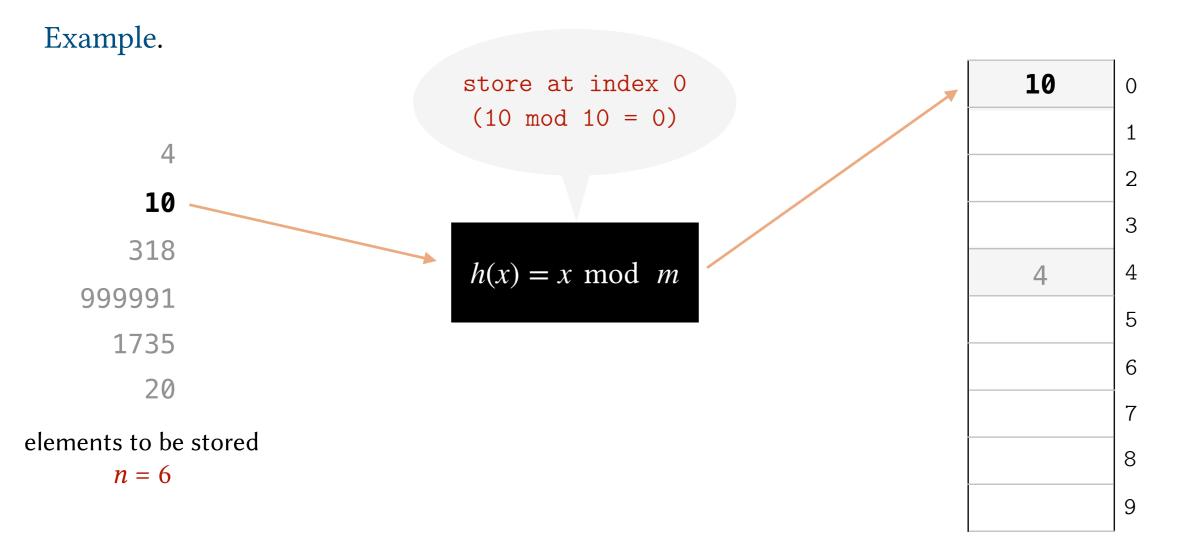
A table with m = 10 cells

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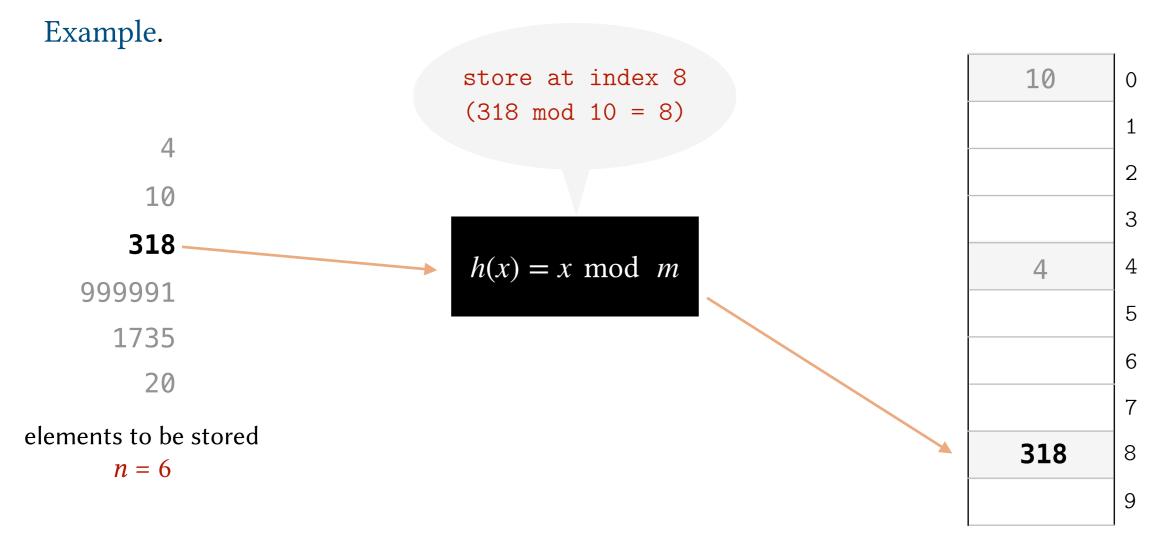
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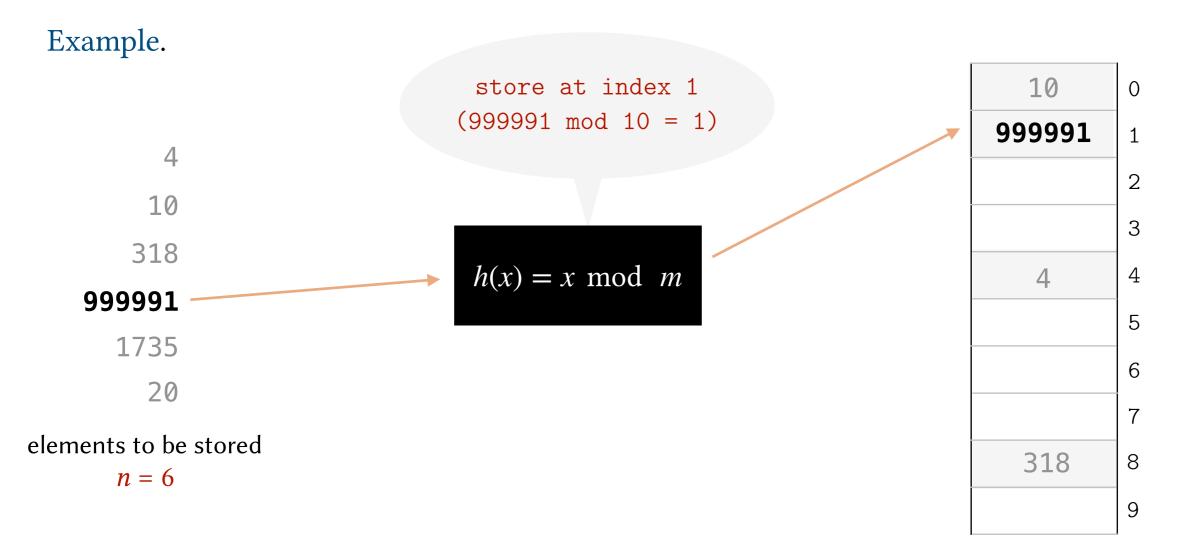
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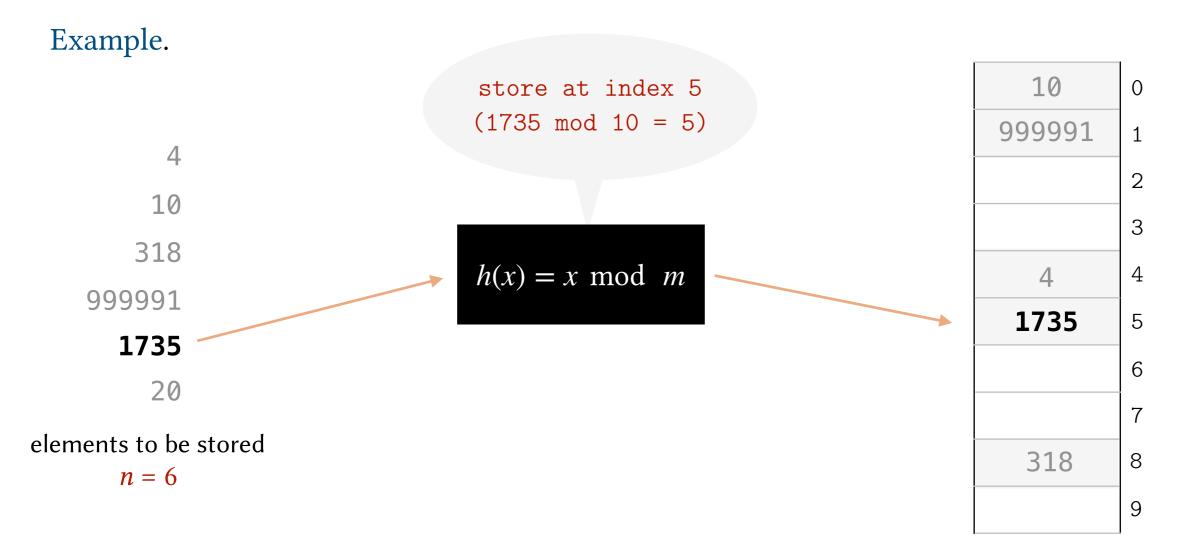
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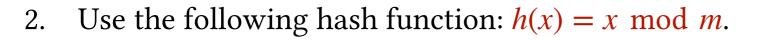
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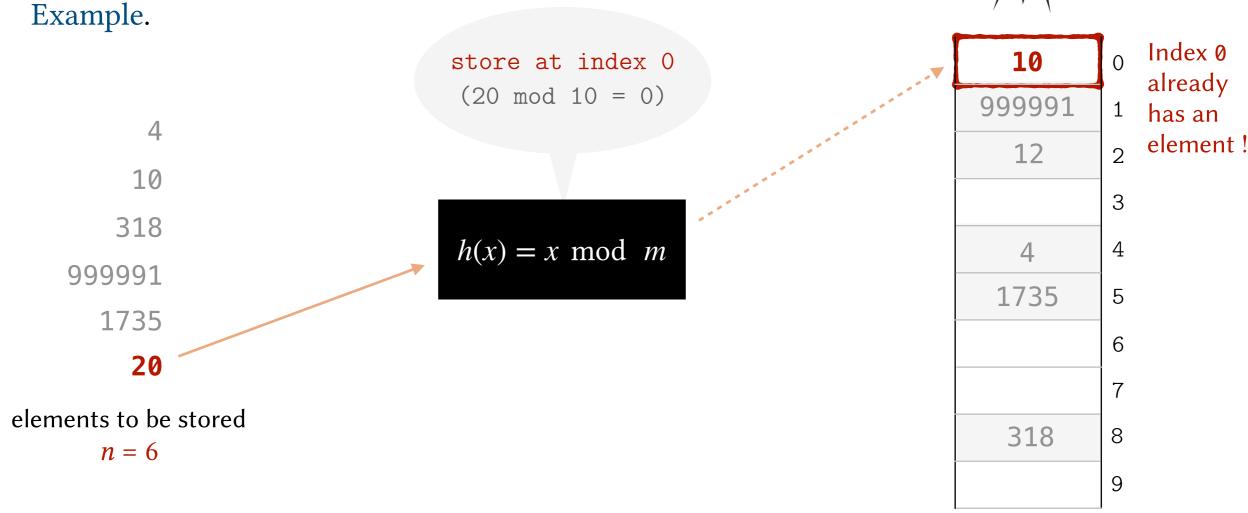
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Pick a hash table size *m* that is not much larger than the number 1. of elements to be stored *n*.





already has an element !!

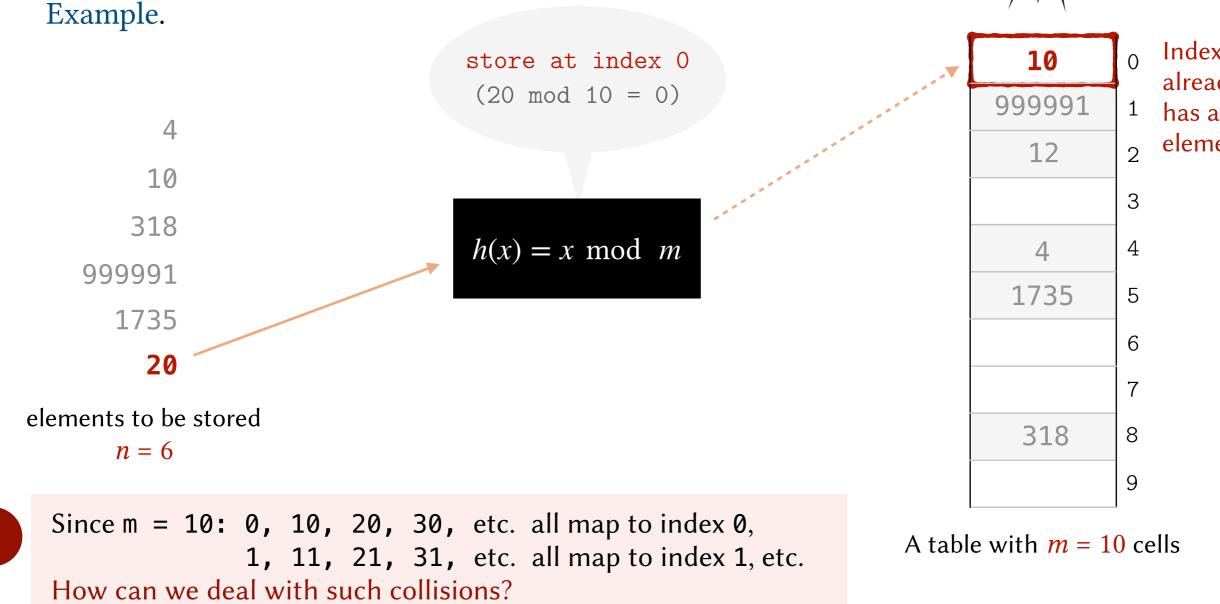


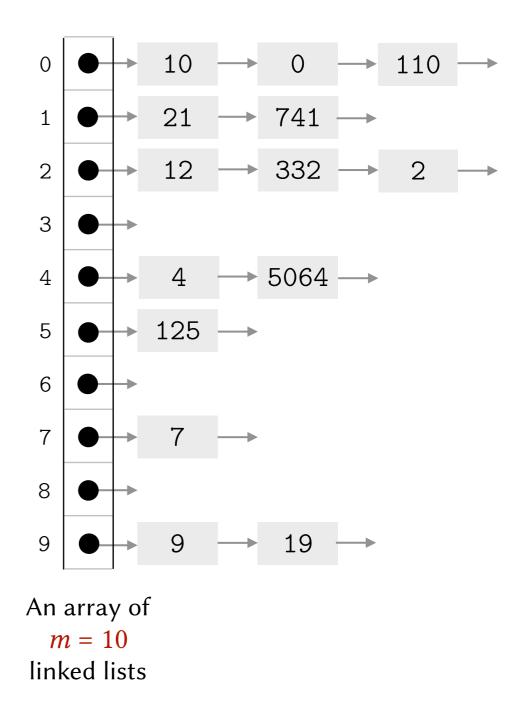
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- Pick a hash table size *m* that is not much larger than the number 1. of elements to be stored *n*.
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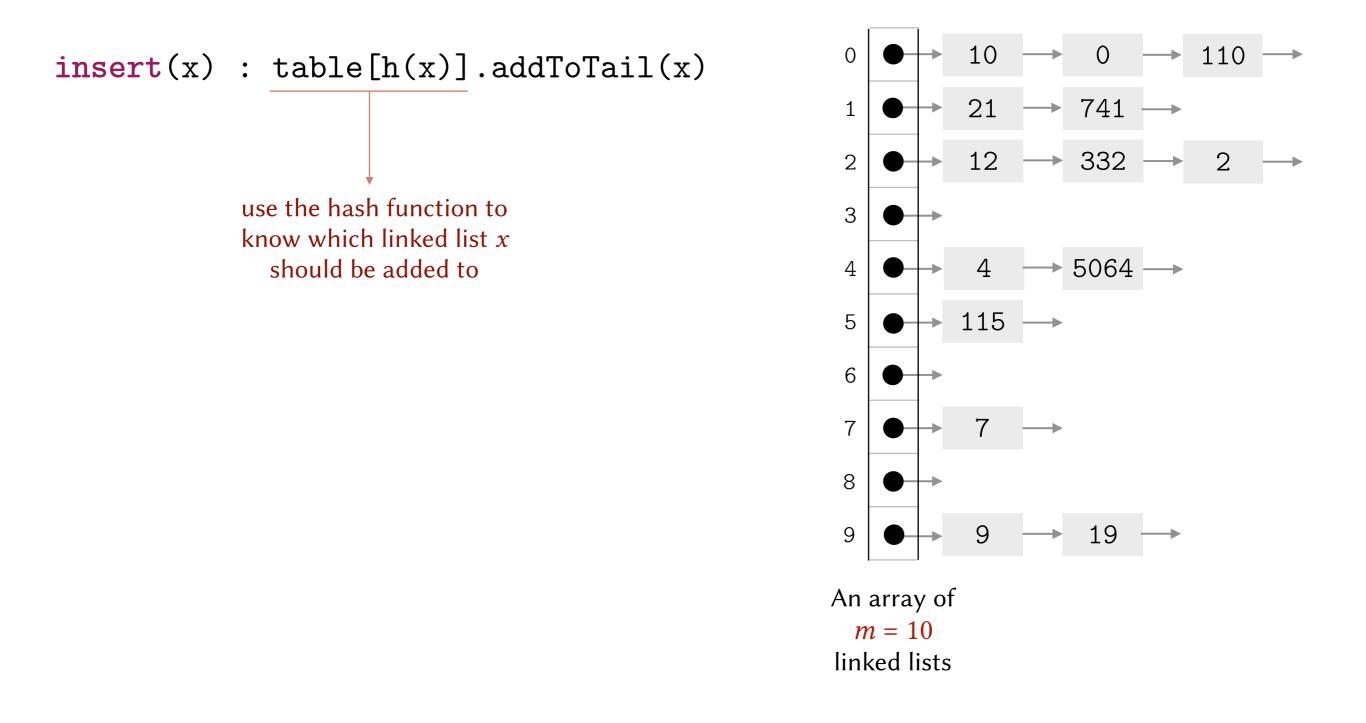


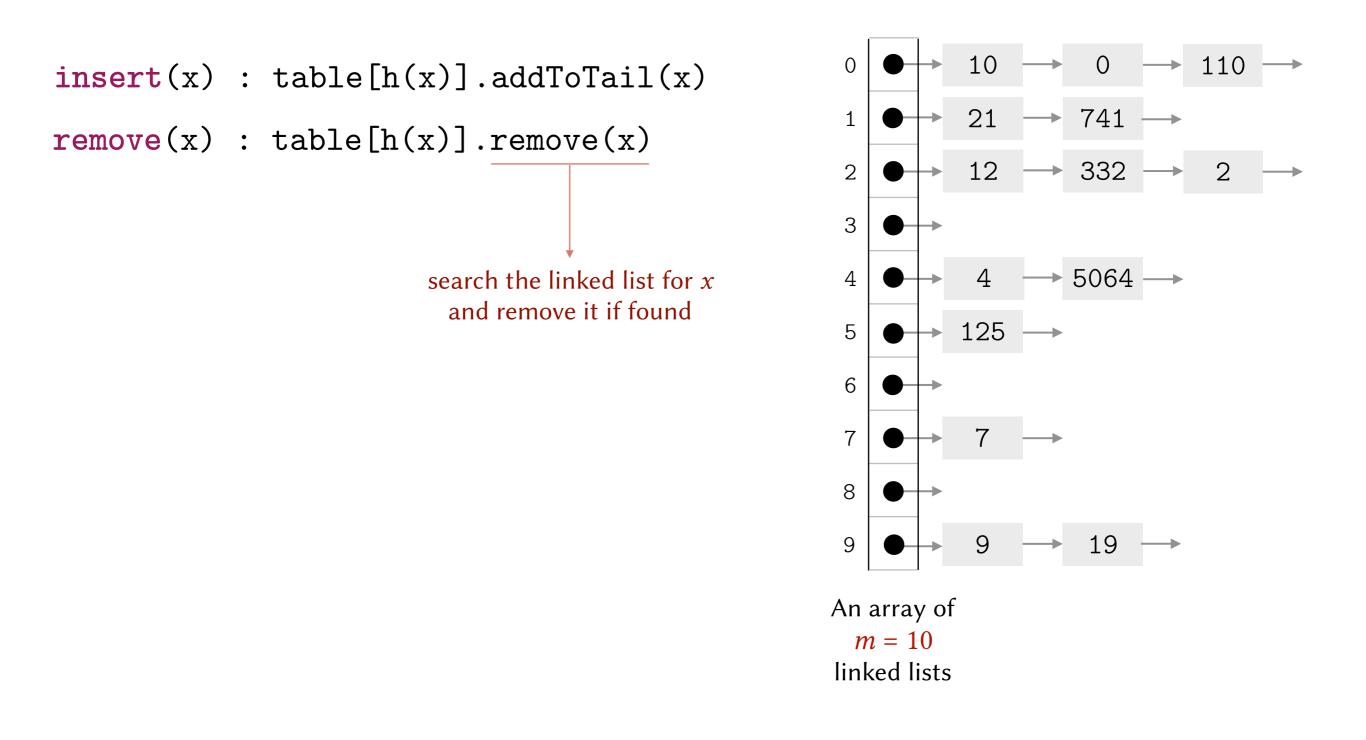


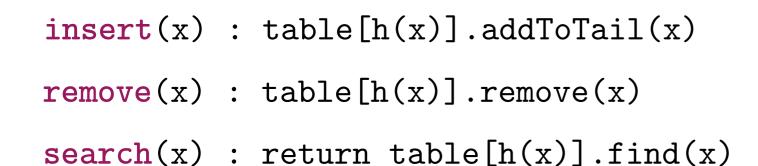


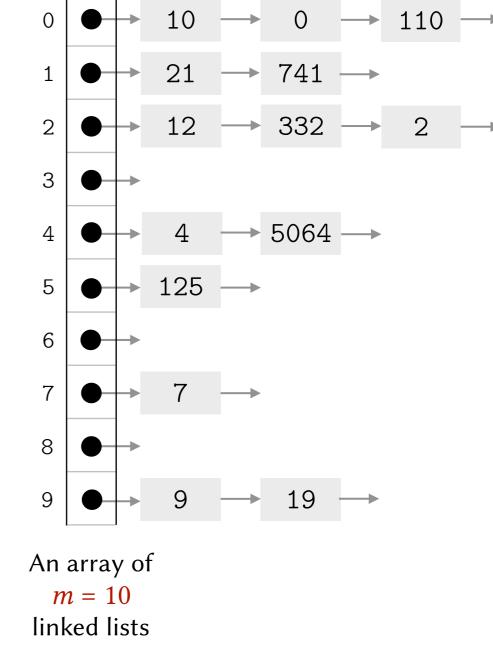
insert(x) : table[h(x)].addToTail(x)

Idea. Allow each cell in the table to hold more than one element. Implementation. Define the hash table as an array of linked lists.



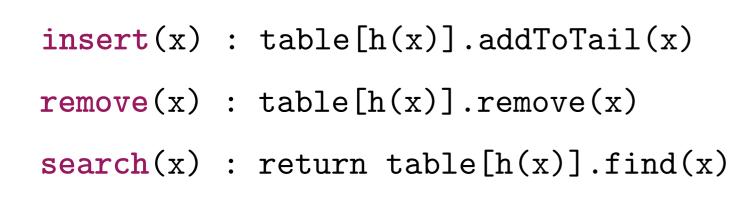


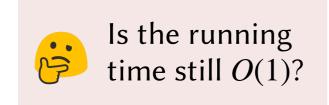


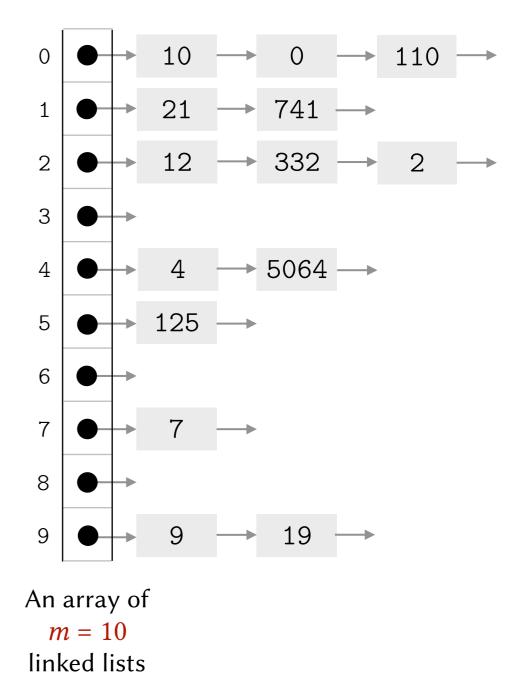


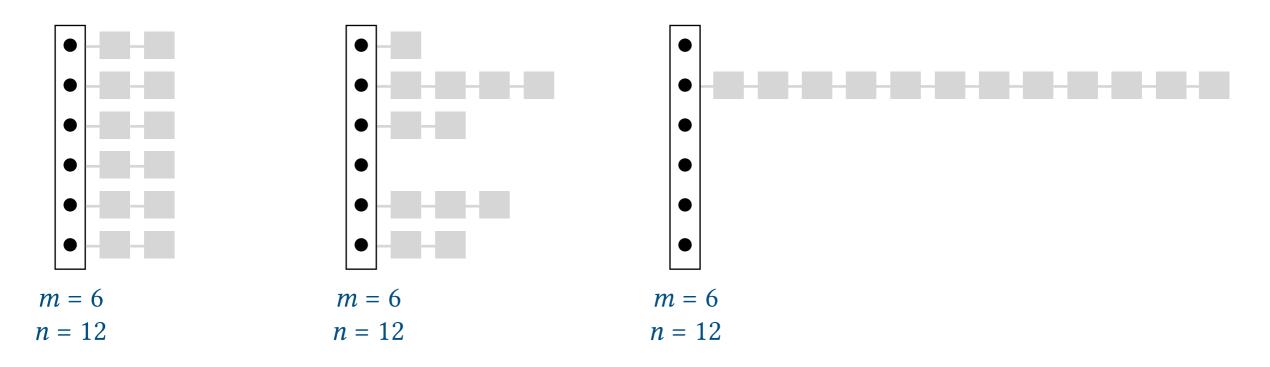
# Collision Resolution using Separate Chaining

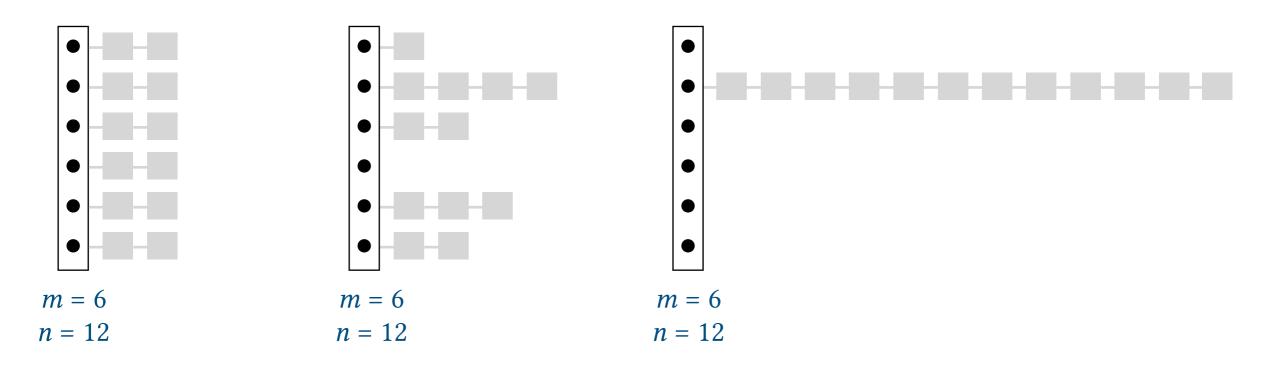
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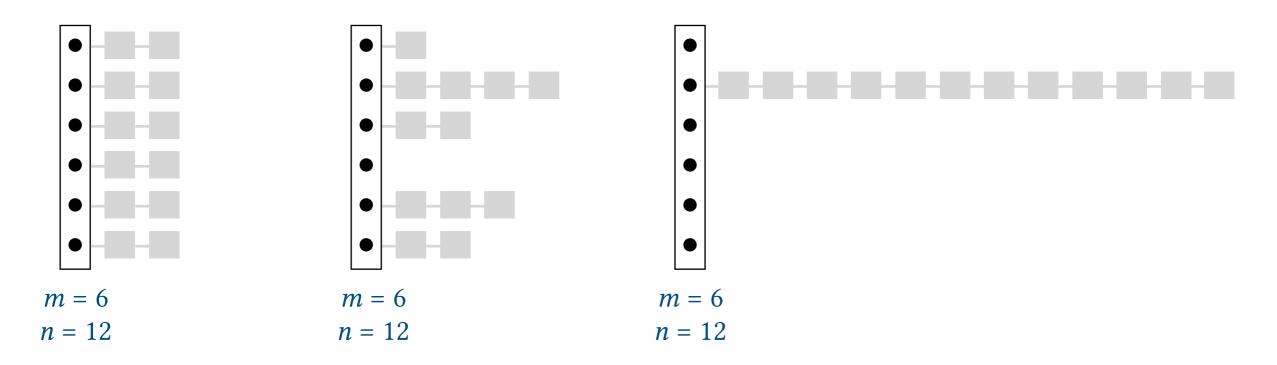




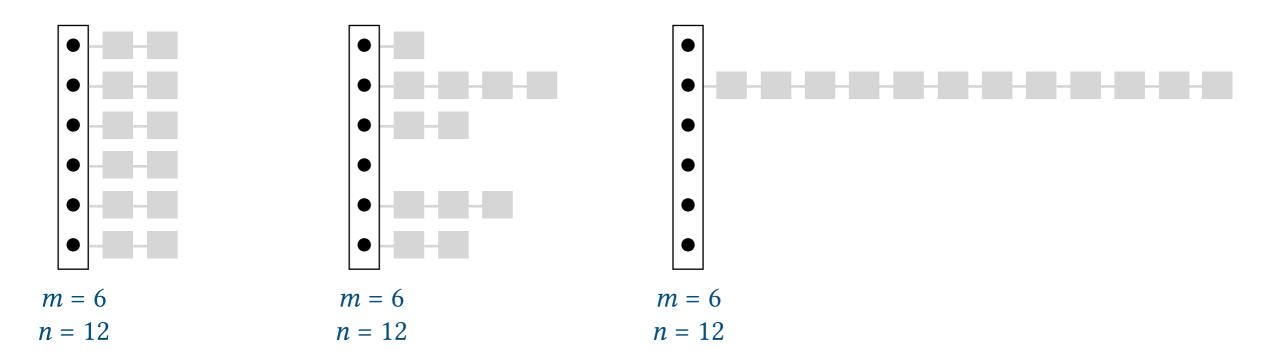




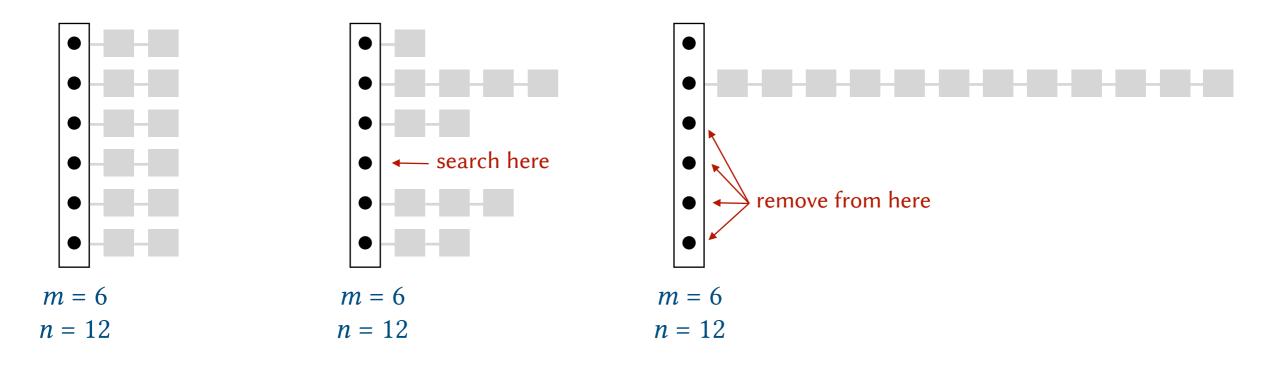
operation	implementation	best case	worst case
<pre>insert(x)</pre>	<pre>table[h(x)].addToTail(x)</pre>		



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<pre>insert(x)</pre>	<pre>table[h(x)].addToTail(x)</pre>	<i>O</i> (1)	<i>O</i> (1)
	•		
the running time is			
independent of the			
	chain length!		

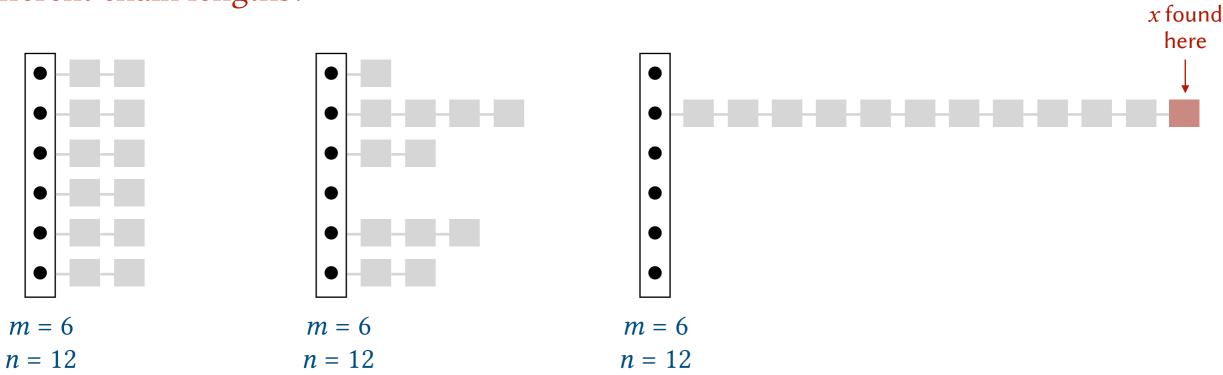


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<b>remove</b> (x)	<pre>table[h(x)].remove(x)</pre>		
<pre>search(x)</pre>	<pre>return table[h(x)].find(x)</pre>		



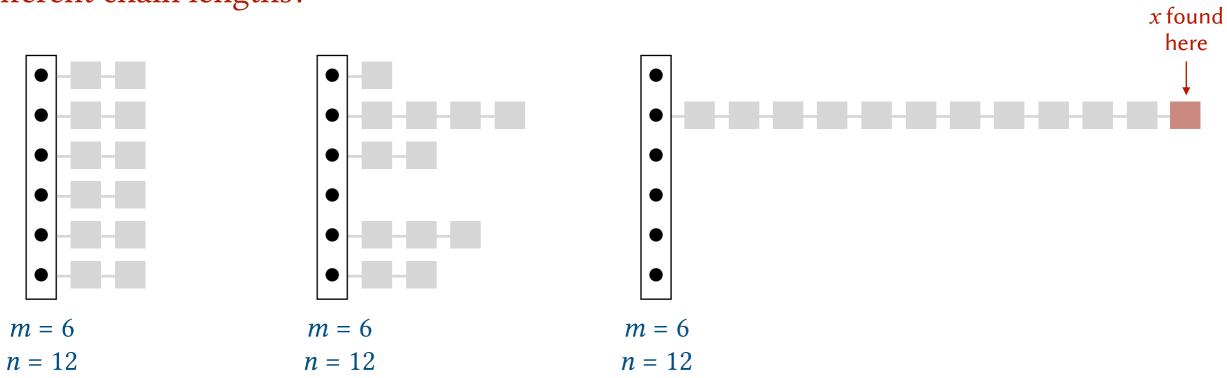
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<pre>search(x)</pre>	<pre>return table[h(x)].find(x)</pre>	<i>O</i> (1)	
		if the chain is empty	

### Different chain lengths?



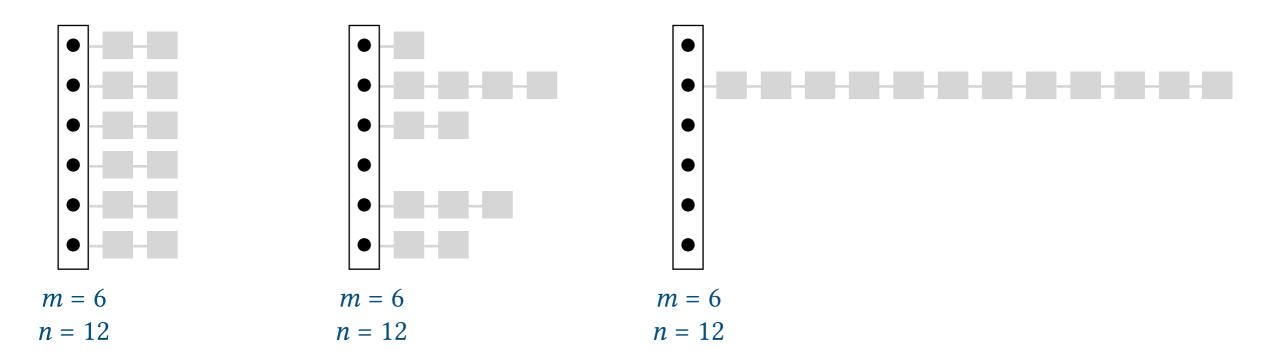
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if all the elements are in one chain and x is found at the end of that chain



operation	implementation	best case	worst case
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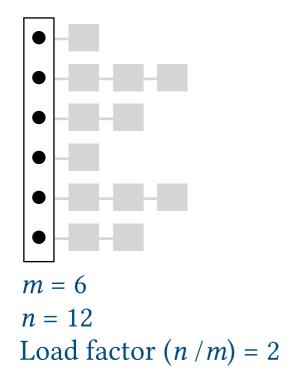


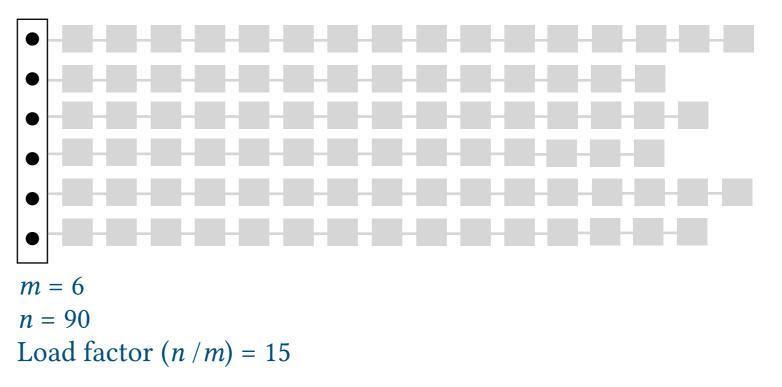


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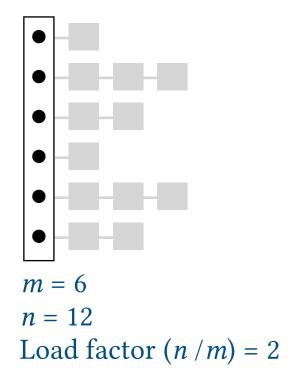


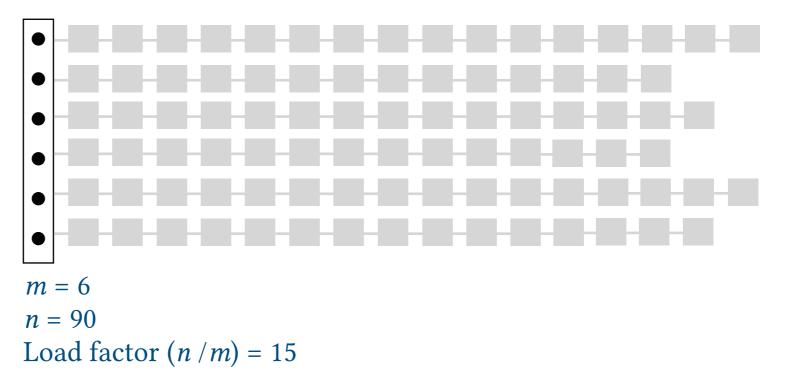
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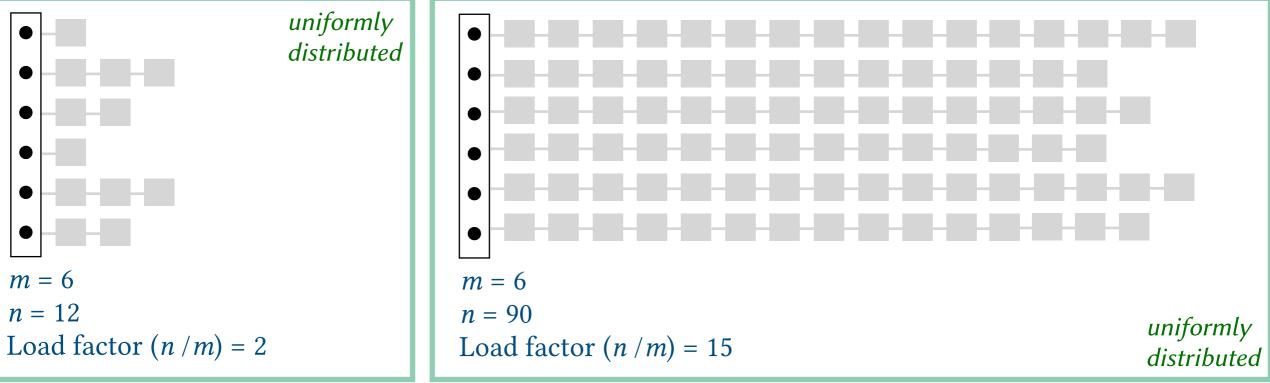


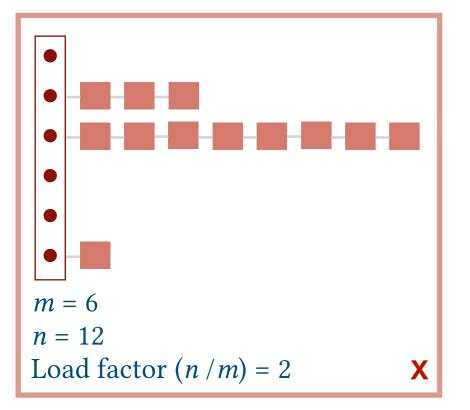


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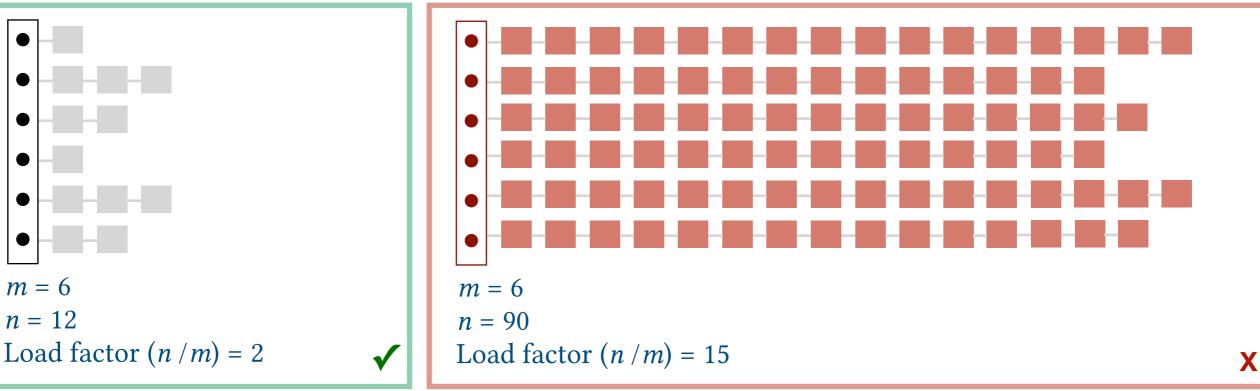


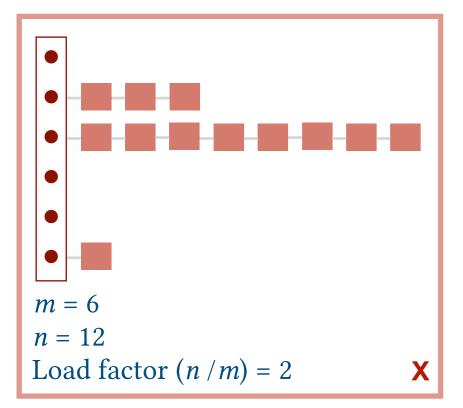


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Assumption 2. *n* is not much larger or much smaller than *m*. Under this assumption, n/m is a small constant, which means that O(n/m) = O(1)

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> If not true, chains can become very long (of length n in the worst case).



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#### Examples.

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- ✓ Hashing birth days (day and month) of PSUT students.
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### Denial of Service Attacks

If an adversary has enough information about your hash function and hash table, they can send a large set of carefully chosen elements that hash to the same chain. This will heavily degrade the performance of the hash table!

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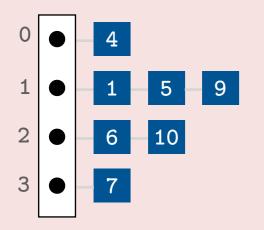
> If  $m \gg n$ : wasted space If  $m \ll n$ : very long chains

— Can be guaranteed by resizing the table up/down to keep maround  $\frac{1}{4}n$ .

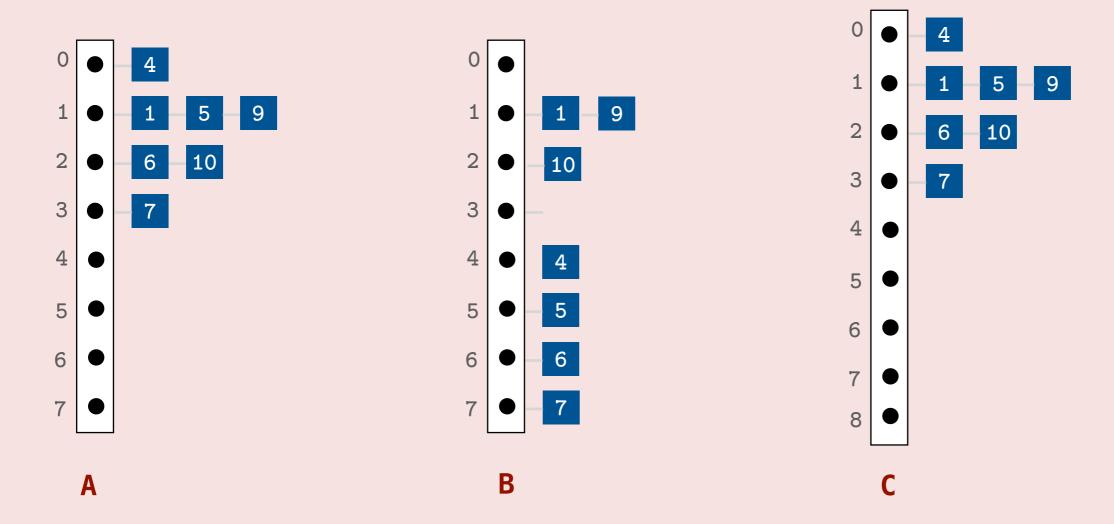
- If true, O(n/m) = O(1)

Conclusion. Hash tables implemented with separate chaining perform the insert, search and remove operations in O(1) assuming the load factor is a small constant and the elements are distributed uniformly across the chains in the table.

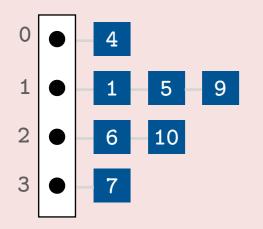
### **Exercise.** Resizing Hash Tables



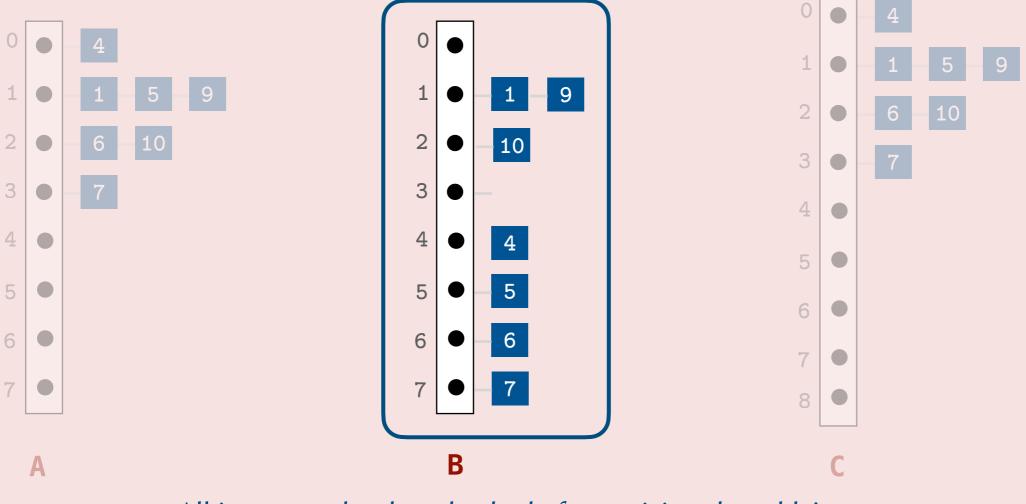
How does the above hash table look like after resizing it to become of size m=8?



# Exercise. Resizing Hash Tables



How does the above hash table look like after resizing it to become of size m=8?



All items need to be rehashed after resizing the table!



Coding Demo

### What's in a Name?



Entry	Discussion	Citations
-------	------------	-----------

hash

Etymology 1 [edit]

From French hacher ("to chop"), from Old French hache ("axe").

Noun [edit]

hash (plural hashes)

1. Food, especially meat and potatoes, chopped and mixed together.





Hatchet (English) Hache (French) Chopped parsley (English) Persil hachée (French)





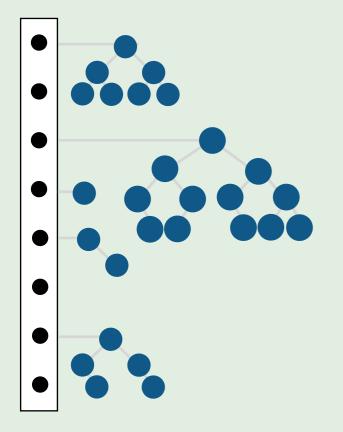
Chopped cilantro (English) Coriandre hachée (French) Ground meet (English) Viande hachée (French)

Design a data structure that supports insert, search and remove in  $O(\log n)$  in the worst case and in O(1) in most practical applications.

Design a data structure that supports insert, search and remove in  $O(\log n)$  in the worst case and in O(1) in most practical applications.

#### Answer.

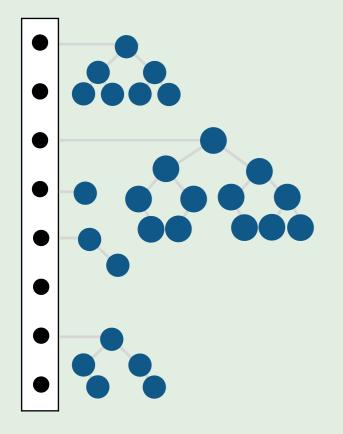
Use separate chaining with AVL trees instead of singly linked lists!



Design a data structure that supports insert, search and remove in  $O(\log n)$  in the worst case and in O(1) in most practical applications.

#### Answer.

Use separate chaining with AVL trees instead of singly linked lists!



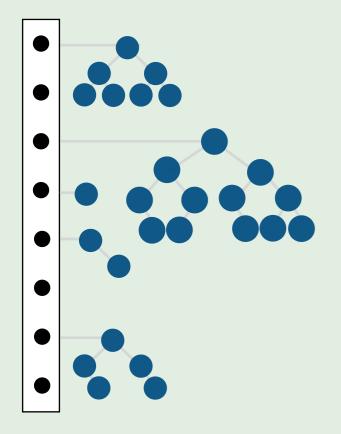


Any reason to use singly-linked lists for chaining instead of AVL trees?

Design a data structure that supports insert, search and remove in  $O(\log n)$  in the worst case and in O(1) in most practical applications.

#### Answer.

Use separate chaining with AVL trees instead of singly linked lists!





Any reason to use singly-linked lists for chaining instead of AVL trees?

- Singly-linked lists are simpler and require less memory than AVL trees.
- They also can be faster than AVL trees if the number of elements they store is very small.
- BSTs require a definition of order (<, > and ==), whereas linked lists require only a definition for equality.

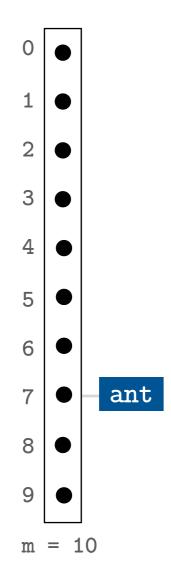


Java's hash table implementation uses linked lists. However, if a chain's length exceeds a certain threshold, the chain is converted to a balanced BST. How can strings be hashed?

How can strings be hashed?

#### Solution # 1.

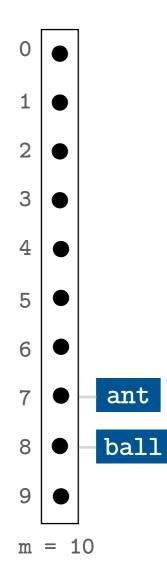
Convert the string to an integer using the ASCII value of the first character of the string. Examples. "ant"  $\rightarrow$  97



How can strings be hashed?

#### **Solution** # 1.

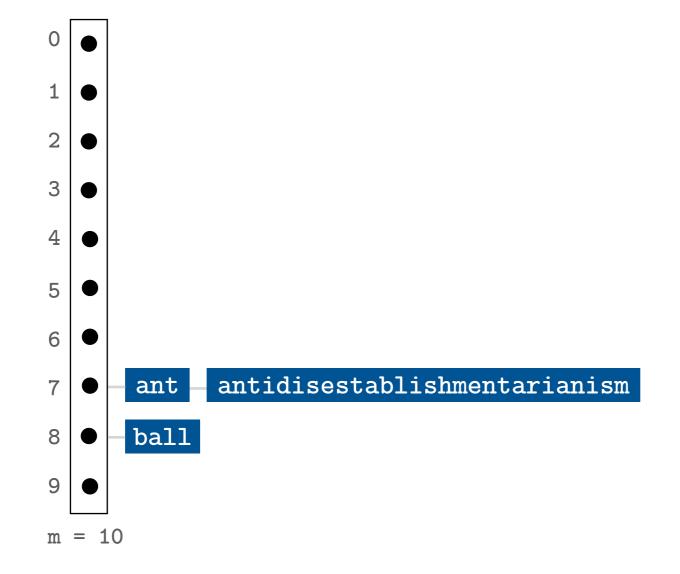
Convert the string to an integer using the ASCII value of the first character of the string. Examples. "ant"  $\rightarrow$  97, "ball"  $\rightarrow$  98



### How can strings be hashed?

#### **Solution** # 1.

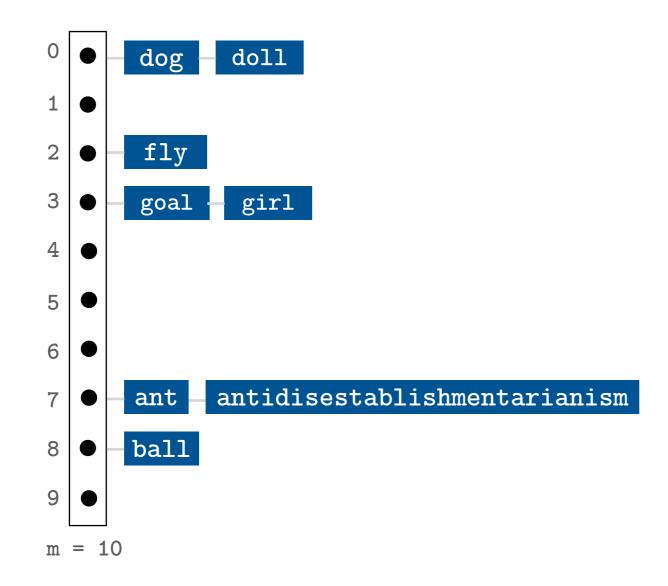
Convert the string to an integer using the ASCII value of the first character of the string. Examples. "ant"  $\rightarrow$  97, "ball"  $\rightarrow$  98, "antidisestablishmentarianism"  $\rightarrow$  97.



### How can strings be hashed?

#### **Solution** # 1.

Convert the string to an integer using the ASCII value of the first character of the string. Examples. "ant"  $\rightarrow$  97, "ball"  $\rightarrow$  98, "antidisestablishmentarianism"  $\rightarrow$  97. "dog"  $\rightarrow$  100, "doll"  $\rightarrow$  100, "fly"  $\rightarrow$  102, "goal"  $\rightarrow$  103, "girl" $\rightarrow$  103



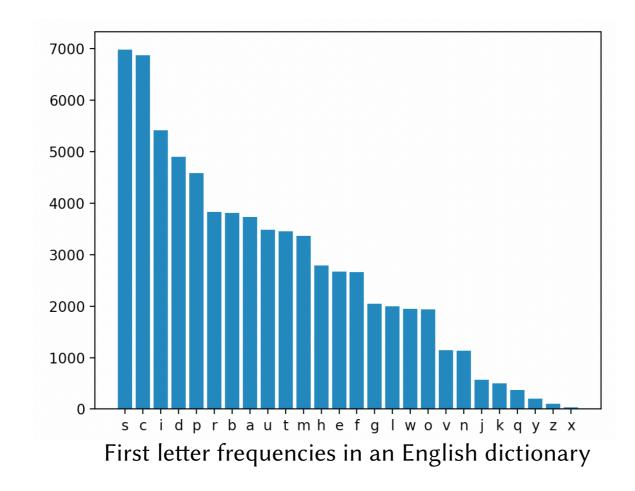
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Problem. The hashed strings are unlikely to be uniformly distributed in the table.

1. The distribution of first character frequencies is not uniform in the English language and in many practical applications.



### How can strings be hashed?

#### **Solution** # 1.

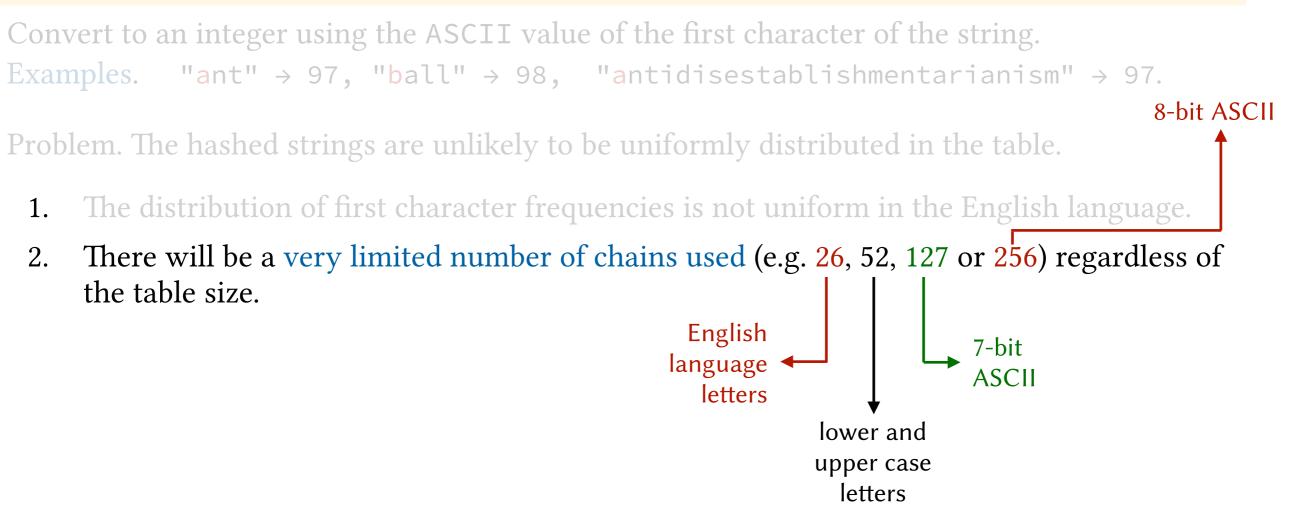
Convert the string to an integer using the ASCII value of the first character of the string. Examples. "ant"  $\rightarrow$  97, "ball"  $\rightarrow$  98, "antidisestablishmentarianism"  $\rightarrow$  97.

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- 1. The distribution of first character frequencies is not uniform in the English language.
- 2. There will be a very limited number of chains used (e.g. 26, 52, 127 or 256) regardless of the table size.

#### How can strings be hashed?

#### Solution # 1.



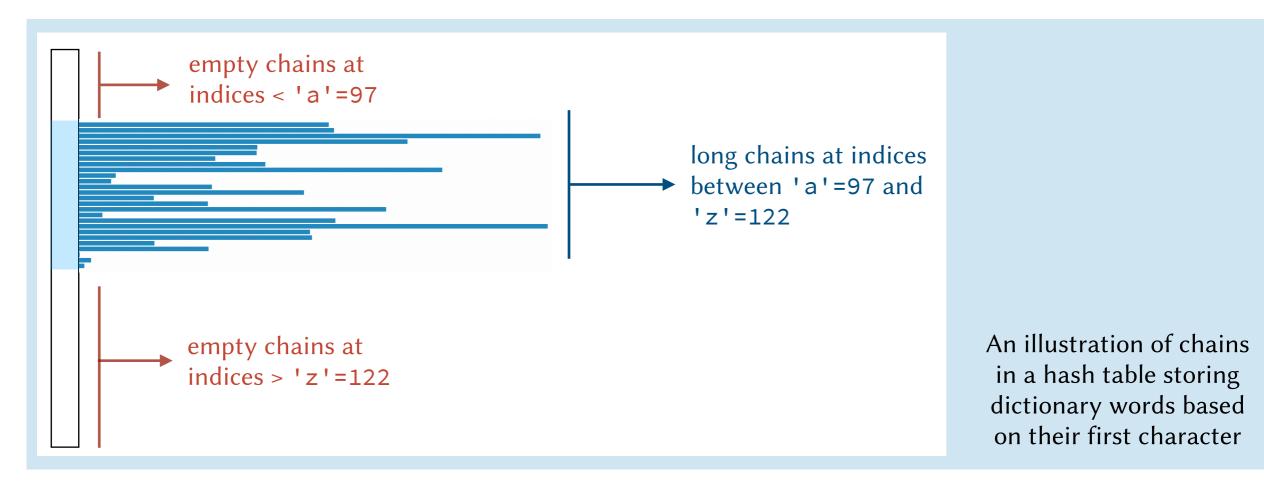
#### How can strings be hashed?

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- 1. The distribution of first character frequencies is not uniform in the English language.
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#### How can strings be hashed?

#### **Solution** # 2.

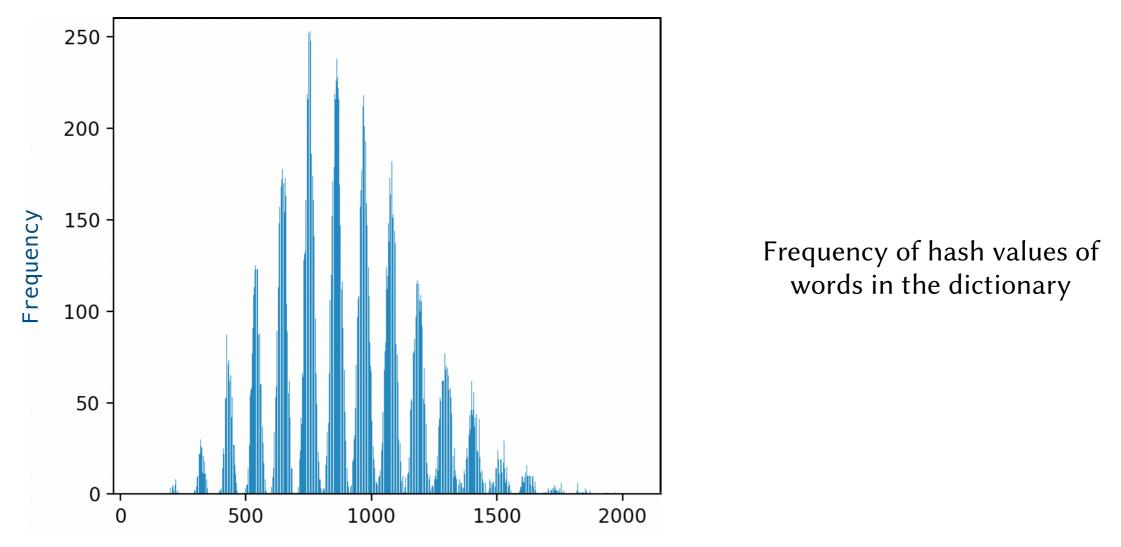
Convert to an integer by summing the ASCII values of all the characters in the string. Example. "a"  $\rightarrow$  97, "am"  $\rightarrow$  97+155=252, "ant"  $\rightarrow$  97+156+164=417, etc.

#### How can strings be hashed?

#### **Solution** # 2.

Convert to an integer by summing the ASCII values of all the characters in the string. Example. "a"  $\rightarrow$  97, "am"  $\rightarrow$  97+155=252, "ant"  $\rightarrow$  97+156+164=417, etc.

Problem. In many applications, some hash values are much more likely to occur than others.



Hash value

#### How can strings be hashed?

#### Solution # 2.

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Problem. In many applications, some hash values are much more likely to occur than others.Problem. Very different strings get the same integer value (many collisions). For example:

int value	strings
394	All permutations of "abcd" (e.g. abdc, acdb, acbd, adbc, etc.)
455	snow, soup, tusk, suez, winy
456	guys, lust, rots, runs, sort, sums, town, twit
574	wormy, stunt, puppy, tutor
796	pursuit, puzzler, stylist, sunspot, uproots
900	portrays, pronouns, protests, robustly, textures, typhoons
1120	interrupts, introverts, oppressors, repository, transports
1726	multidimensional, terminologically, unaccountability

#### How can strings be hashed?

#### **Solution** # 2.

Convert to an integer by summing the ASCII values of all the characters in the string. Example. "a"  $\Rightarrow$  97, "am"  $\Rightarrow$  97+155=252, "ant"  $\Rightarrow$  97+156+164=417, etc.

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int value	strings			
394	All permutations of "abcd" (e.g. abdc, acd	b, acbd, adbc, etc.)		
455	snow, soup, tusk, suez, winy	Goal		
456	guys, lust, rots, runs, sort, sums, town,	Different strings get		
574	wormy, stunt, puppy, tutor	different integer values		
796	pursuit, puzzler, stylist, sunspot, uproot	S		
900	portrays, pronouns, protests, robustly, te	xtures, typhoons		
1120	interrupts, introverts, oppressors, reposi	tory, transports		
1726	multidimensional, terminologically, unacco	untability		

How can strings be hashed?

#### **Solution** # 3.

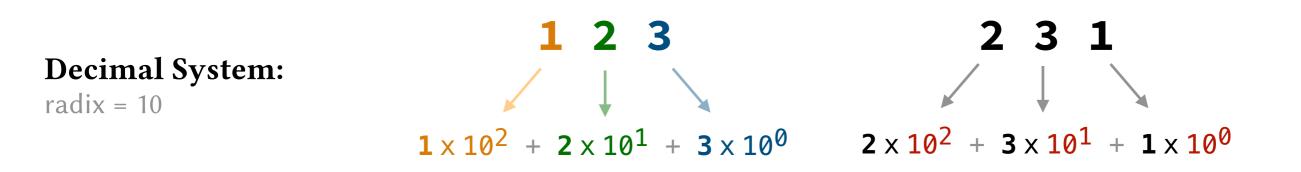
Assign weights to the characters based on their position in the string and compute a weighted sum of the ASCII values of the characters.



How can strings be hashed?

#### **Solution** # 3.

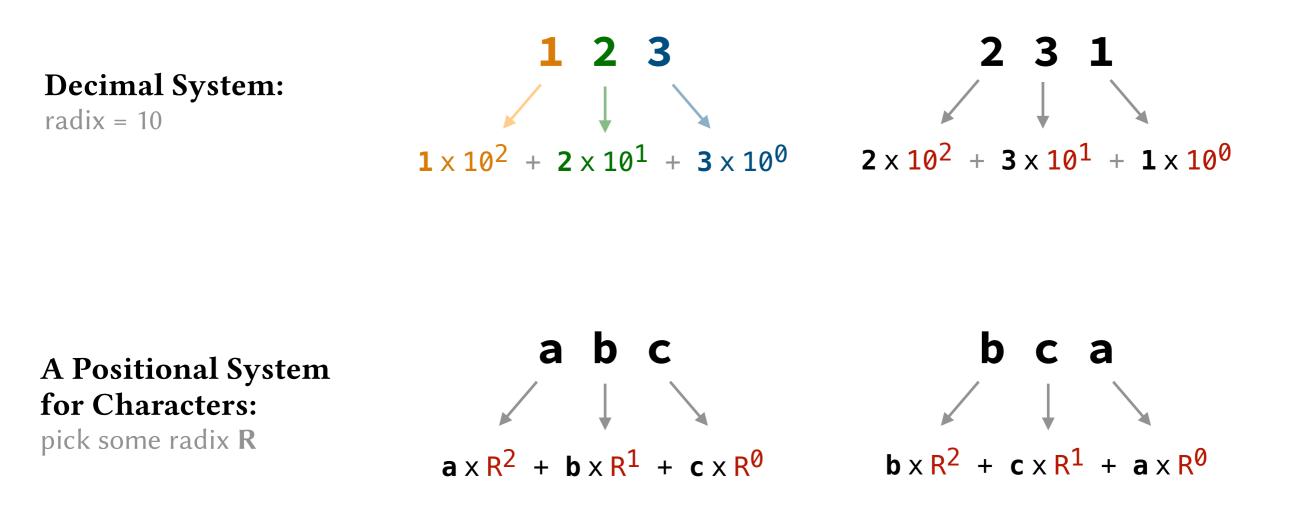
Assign weights to the characters based on their position in the string and compute a weighted sum of the ASCII values of the characters.



How can strings be hashed?

#### **Solution** # 3.

Assign weights to the characters based on their position in the string and compute a weighted sum of the ASCII values of the characters.



```
int hash_value(string & str) {
    int sum=0, R=1;
    for (int i=str.length()-1; i>=0; i--) {
        sum += R*str[i];
        R *= 26;
    }
    return sum % m;
}
```

```
int hash_value(string & str) {
   int sum=0, R=1;
   for (int i=str.length()-1; i>=0; i--) {
        sum += R*str[i];
        R *= 26;
   }
   return sum % m;
}
                             Ť
         hash_value(" A B C D ")
Example.
         sum = 0
         R
             = 1
```

Go through the characters right to left

```
int hash_value(string & str) {
   int sum=0, R=1;
   for (int i=str.length()-1; i>=0; i--) {
         sum += R*str[i];
        R *= 26;
   }
   return sum % m;
}
                              i
         hash_value(" A B C D ")
Example.
         sum = (1 \star D)
         R
              = 1
```

Multiply the character by R

```
int hash_value(string & str) {
   int sum=0, R=1;
   for (int i=str.length()-1; i>=0; i--) {
         sum += R*str[i];
         R *= 26;
   }
   return sum % m;
}
                              Ť
         hash_value(" A B C D ")
Example.
         sum = (1*D)
              = 1*26
         R
```

Increase the exponent of R for the next iteration (multiply R by 26)

```
int hash_value(string & str) {
   int sum=0, R=1;
   for (int i=str.length()-1; i>=0; i--) {
        sum += R*str[i];
        R *= 26;
   }
   return sum % m;
}
                           i
         hash_value(" A B C D ")
Example.
         sum = (1*D) + (26*C)
             = 1*26
         R
```

Multiply the character by R

```
int hash_value(string & str) {
   int sum=0, R=1;
   for (int i=str.length()-1; i>=0; i--) {
         sum += R*str[i];
        R *= 26;
   }
   return sum % m;
}
                           i
         hash_value(" A B C D ")
Example.
         sum = (1*D) + (26*C)
             = 1*26*26
         R
```

Increase the exponent of R for the next iteration (multiply R by 26)

```
int hash_value(string & str) {
   int sum=0, R=1;
   for (int i=str.length()-1; i>=0; i--) {
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        R *= 26;
   }
   return sum % m;
}
                         i
         hash_value(" A B C D ")
Example.
         sum = (1*D) + (26*C) + (26^2*B)
             = 1*26*26
         R
```

Multiply the character by R

```
int hash_value(string & str) {
   int sum=0, R=1;
   for (int i=str.length()-1; i>=0; i--) {
         sum += R*str[i];
        R *= 26;
   }
   return sum % m;
}
                         i
         hash_value(" A B C D ")
Example.
         sum = (1*D) + (26*C) + (26^2*B)
             = 1*26*26*26
         R
```

Increase the exponent of R for the next iteration (multiply R by 26)

```
int hash_value(string & str) {
    int sum=0, R=1;
    for (int i=str.length()-1; i>=0; i--) {
                                                         Multiply the
         sum += R*str[i];
                                                         character by R
         R *= 26;
   }
    return sum % m;
}
                        i
          hash_value(" A B C D ")
Example.
          sum = (1*D) + (26*C) + (26^2*B) + (26^3*A)
              = 1*26*26*26
          R
```

```
int hash_value(string & str) {
   int sum=0, R=1;
   for (int i=str.length()-1; i>=0; i--) {
        sum += R*str[i];
        R *= 26;
   }
   return sum % m;
}
                     i
Example. hash_value(" A B C D ")
         sum = (1*D) + (26*C) + (26^2*B) + (26^3*A)
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```

```
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         hash_value(" A B C D ")
Example.
         sum = (1*D) + (26*C) + (26^2*B) + (26^3*A)
             = 1*26*26*26*26
         R
```

R and sum can **overflow**!

```
int hash_value(string & str) {
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    for (int i=str.length()-1; i>=0; i--) {
        sum += R*str[i];
        R *= 26;
    }
    return sum % m;
}
```

```
int hash_value(string & str) {
    int sum=0, R=26;
    for (int i=0; i<str.length(); i++)
        sum = (sum*R + str[i]) % m;</pre>
```

```
return abs(sum);
```

}

R and sum can **overflow**!

No overflow! (assuming m is not too large)

```
int has_value(string & str) {
    int sum=0, R=26;
    for (int i=0; i<str.length(); i++)
        sum = (sum*R + str[i]) % m;
    return abs(sum);
}</pre>
```

```
int has_value(string & str) {
    int sum=0, R=26;
    for (int i=0; i<str.length(); i++)
        sum = (sum*R + str[i]) % m;
    return abs(sum);
}</pre>
```

Each iteration in the loop multiplies the sum by R and adds one character.

This is similar to how 9375 in decimal (for example) can be computed:

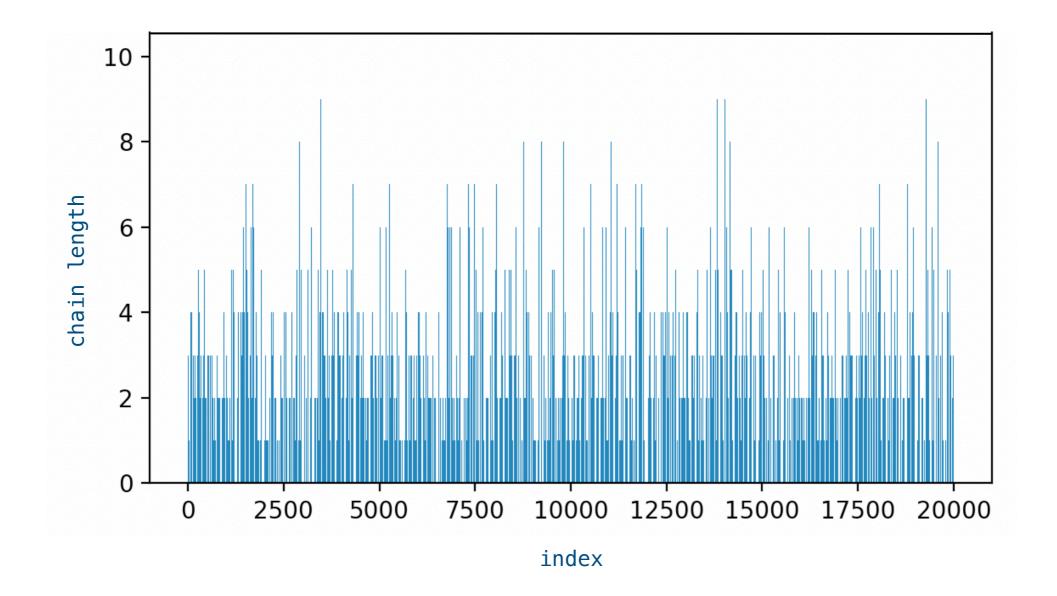
```
sum = 0
sum = sum * 10 + 9 = 9
sum = sum * 10 + 3 = 93
sum = sum * 10 + 7 = 937
sum = sum * 10 + 5 = 9375
```

}

```
int has_value(string & str) {
   int sum=0, R=26;
   for (int i=0; i<str.length(); i++)</pre>
         sum = (sum*R + str[i]) % m;
   return abs(sum);
                       (x_1 + x_2 + x_3 + \dots + x_n) \% m
                       is equivalent to:
                       ((x_1\%m) + x_2)\%m) + x_3)\%m \dots + x_n)\%m
                       Example:
                       (5 + 6 + 23) % 10 = 34 % 10 = 4
                       (((5 \% 10) + 6) \% 10) + 23) \% 10 =
                       (((5) + 6) \% 10) + 23) \% 10 =
                       ((11)) % 10) + 23) % 10 =
                                           ) + 23) % 10 =
                       ((1
```

(24

) % 10 = 4



Result of hashing words from the dictionary (n=70566) into a hash table with m=20000 chains (using R=31)

### Hash Tables vs Balanced BSTs

#### Asymptotic Analysis

	insert		remove		search	
	average	worst	average	worst	average	worst
Balanced BST	O(log n)					
Hash Table with Separate Chaining	0(1)	0(1)	0(1)	O(n)	0(1)	O(n)
Under reasonable assumptions						

### Hash Tables vs Balanced BSTs

#### Asymptotic Analysis

	insert		remove		search	
	average	worst	average	worst	average	worst
Balanced BST	O(log n)					
Hash Table with Separate Chaining	0(1)	0(1)	0(1)	0(n)	0(1)	O(n)

Experimental Analysis. Insert, remove and search for 10,000,000 random integers.

	<b>Balanced BST</b>	Hash Table
Insert	14.6784 sec	6.11673 sec
Search	13.2523 sec	3.25825 sec
Remove	16.5524 sec	5.39692 sec

**Notes.** Tests were performed using the C++ STL set container as the balanced BST and the C++ STL unordered\_set container as the hash table. Each insert operation performs a search for the element before inserting it to avoid duplicates.

(Using a MacBook Pro with 2.6 GHz 6-Core Intel Core i7 and 16 GB DDR4 RAM)



Hash tables are faster on average but do not guarantee good performance for all applications. A balanced BST is typically slightly slower but is guaranteed not to perform badly.

### Hash Tables vs Balanced BSTs

#### Asymptotic Analysis

	insert		remove		search	
	average	worst	average	worst	average	worst
Balanced BST	O(log n)					
Hash Table with Separate Chaining	0(1)	0(1)	0(1)	0(n)	0(1)	O(n)

Experimental Analysis. Insert, remove and search for 10,000,000 random integers.

	Balanced BST	Hash Table		
Insert	14.6784 sec	6.11673 sec		
Search	13.2523 sec	3.25825 sec		
Remove	16.5524 sec	5.39692 sec		

Other Factors.

Hash tables do not support ordered operations efficiently like BSTs (e.g. max(), min(), median(), count\_less\_than(x), smallest\_above(x), largest\_below(x), etc.)

#### Finding the max!

```
template <class T>
T HashTable<T>::max() const {
    if (is_empty())
        throw "Attempting to get the max from an empty table."
    DLLNode<T>* max_node = nullptr;
    for (int i = 0; i < m; i++) {</pre>
        DLLNode<T>* c = table[i].head_node();
        while (c != nullptr) {
            if (max_node == nullptr)
                                                          max_node = c;
            else if (c->get_val() > max_node->get_val()) max_node = c;
            c = c->get_next();
        }
    }
    return max_node->get_val();
}
```

### Finding the max!

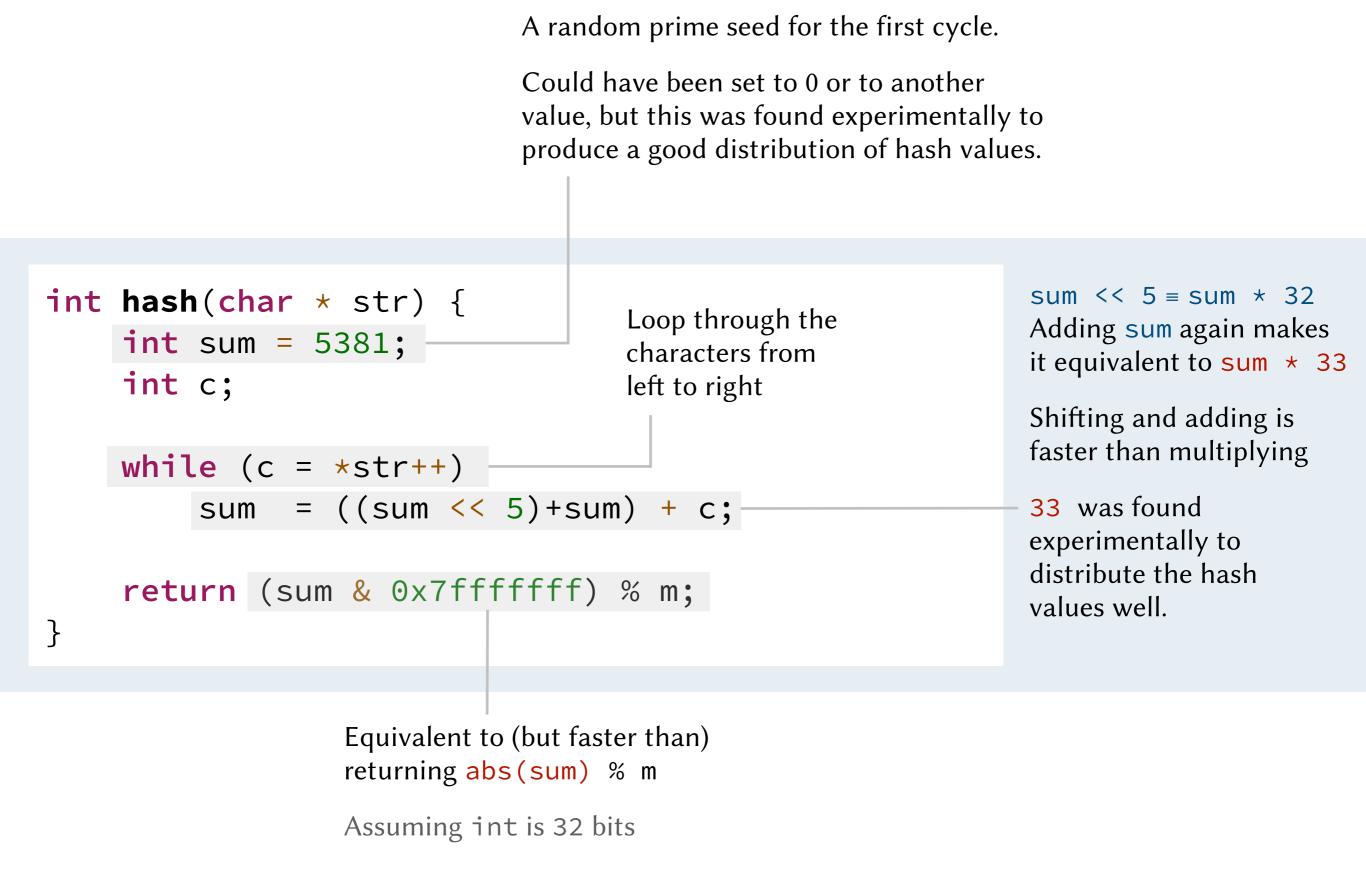
```
template <class T>
T HashTable<T>::max() const {
    if (is_empty())
        throw "Attempting to get the max from an empty table."
    DLLNode<T>* max_node = nullptr;
                                                      go through every chain
    for (int i = 0; i < m; i++) {</pre>
                                                  —— in the table.
        DLLNode<T>* c = table[i].head_node();
        while (c != nullptr) {
             if (max_node == nullptr)
                                                             max_node = c;
             else if (c->get_val() > max_node->get_val()) max_node = c;
            c = c->get_next();
        }
    }
                                             go through every node
    return max_node->get_val();
                                             in that chain
}
```

Running Time.O(n)Data comparesO(n + m) Total amount of work.Even if the table is empty, the code still creates a pointer for every empty chain!



```
int hash(char * str) {
    int sum = 5381;
    int c;
    while (c = *str++)
        sum = ((sum << 5)+sum) + c;
    return (sum & 0x7fffffff) % m;
}</pre>
```

# djb2 String Hash Function



### Hashing Other Than Integers and Strings

Floating point numbers. Given floating point numbers between MIN and MAX, the numbers can be normalized to be between 0 and 1 and then multiplied by the number of chains:

```
int hash(float x) {
    return abs((x-MIN) / (MAX-MIN) * m);
}
```

Composite types. Hashing an array, a user defined object or any composite type can be done using the same logic as that of the **djb2** algorithm:

```
sum = 0
sum = sum * 33 + hash(1st element)
sum = sum * 33 + hash(2nd element)
sum = sum * 33 + hash(3rd element)
etc.
```

The elements can be array elements or data members in a class or a struct.

# Picking a Good Hash Table Size

If the hashed keys are random, then any hash table size *m* that is around  $\frac{1}{4}n$  should be fine. If the hashed keys might follow a pattern, then care must be taken when choosing the table size. Examples.

- If the hash table size is m=12 and all the hashed keys are even numbers, only half of the chains will be used no matter how many keys are hashed.
   (0%12=0, 2%12=2, 4%12=4, 6%12=6, 8%12=8, 10%12=10, 12%12=0, 14%12=2, 16%12=4, etc.)
- If the hash table size is **m=2<sup>x</sup>**, then only the **least significant x bits** will play a role in determining the chain indices.
- Using a **prime** number for the hash table size guards against such issues.

The **GCC** maintains the following **precomputed** array of hash table sizes that are prime and as close as possible to powers of 2:

[7,13,31,61,127,251,509,1021,2039,4093,8191,16381,32749,65521,131071,262139,524287,1048573,2097143,4194301,8388593,16777213,33554393,67108859,134217689,268435399,536870909,1073741789,2147483647]