

CS11212 - Spring 2022

# Data Structures & Introduction to Algorithms

Data Structures

Trees: Basic Operations

Ibrahim Albluwi



## Tree Data Structures

Definitions and properties

- **Basic Operations**

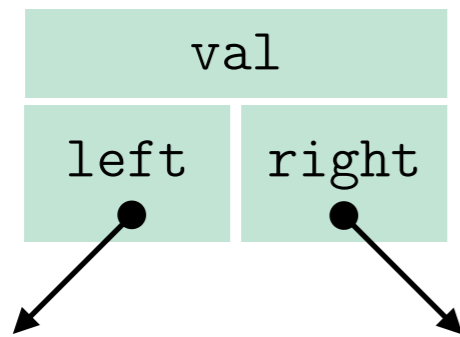
Balanced binary search trees

Tree traversals

# Implementation

**Class Node.** Both a BST node and a DLL node store a value and two pointers to other nodes.

In a BST node, the two pointers represent links to the left and right children.



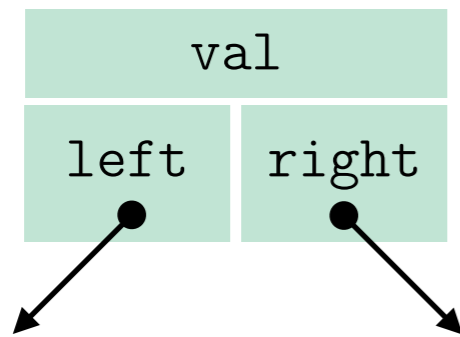
In a DLL node, the two pointers represent links to the next and previous nodes.



# Implementation

**Class Node.** Both a BST node and a DLL node store a value and two pointers to other nodes.

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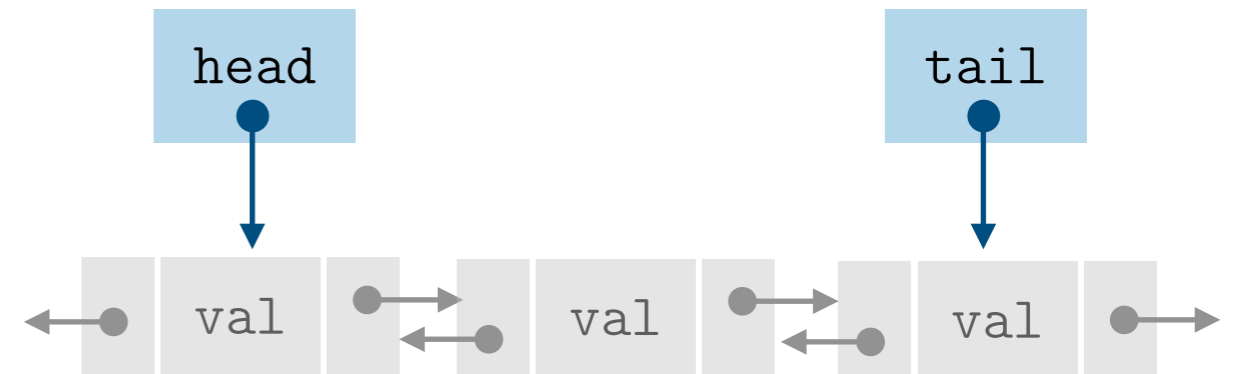
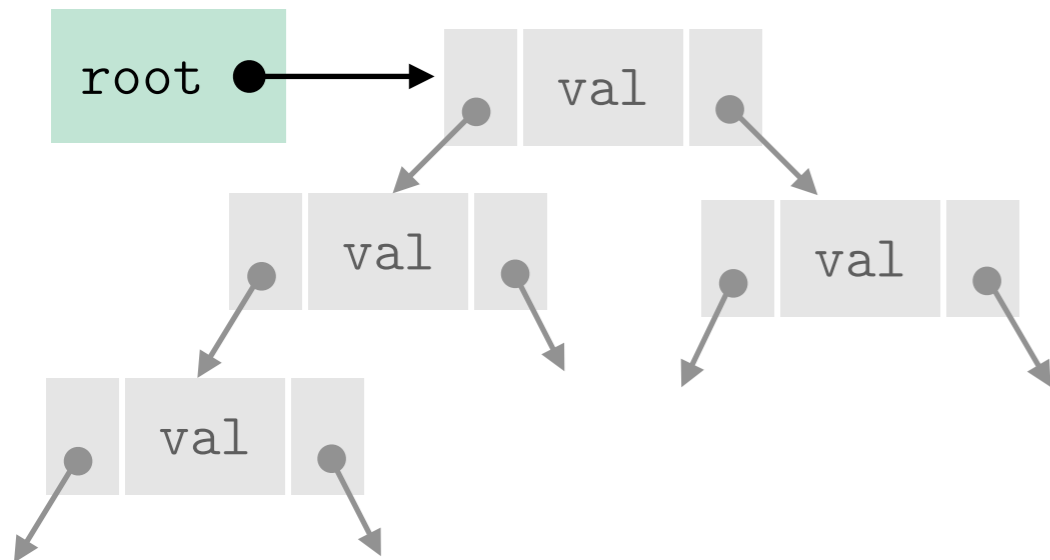


In a DLL node, the two pointers represent links to the next and previous nodes.



**Class BST.** Stores a pointer to the root of the tree.

A DLL class stores a pointer to the head of the list (and the tail of the list).



# Recursive Search

```
template <class T>
bool BST<T>::contains(const T& val) {
    return contains(val, root);
}
```

public function used by the user  
calls the private recursive version

```
template <class T>
bool BST<T>::contains(const T& val, Node<T>* n)
{
    if (n == nullptr)
        return false;

    if (n->val == val)
        return true;

    if (val > n->val)
        return contains(val, n->right);
    else
        return contains(val, n->left);
}
```

# Recursive Search

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template <class T>
bool BST<T>::contains(const T& val) {
    return contains(val, root);
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template <class T>
bool BST<T>::contains(const T& val, Node<T>* n)
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    if (n->val == val)
        return true;

    if (val > n->val)
        return contains(val, n->right);
    else
        return contains(val, n->left);
}
```

private recursive function.

Requires a pointer to the root of the tree (or subtree) where the search will be performed

# Recursive Search

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template <class T>
bool BST<T>::contains(const T& val) {
    return contains(val, root);
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template <class T>
bool BST<T>::contains(const T& val, Node<T>* n)
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        return false;

    if (n->val == val)
        return true;

    if (val > n->val)
        return contains(val, n->right);
    else
        return contains(val, n->left);
}
```

Base case.

val can't be present  
in an empty tree!

# Recursive Search

```
template <class T>
bool BST<T>::contains(const T& val) {
    return contains(val, root);
}
```

```
template <class T>
bool BST<T>::contains(const T& val, Node<T>* n)
{
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        return false;

    if (n->val == val)
        return true;

    if (val > n->val)
        return contains(val, n->right);
    else
        return contains(val, n->left);
}
```

val found in the  
current node



# Recursive Search

```
template <class T>
bool BST<T>::contains(const T& val) {
    return contains(val, root);
}
```

```
template <class T>
bool BST<T>::contains(const T& val, Node<T>* n)
{
    if (n == nullptr)
        return false;

    if (n->val == val)
        return true;

    if (val > n->val)
        return contains(val, n->right);
    else
        return contains(val, n->left);
}
```

Search recursively in the left subtree or in the right subtree

# Iterative Search

```
template <class T>
bool BST<T>::contains(const T& val) {
    Node<T>* curr = root;

    while (curr != nullptr) {
        if (curr->val == val)
            return true;

        if (val > curr->val)
            curr = curr->right;
        else
            curr = curr->left;
    }

    return false;
}
```

# Search Running Time

```
template <class T>
bool BST<T>::contains(const T& val) {
    Node<T>* curr = root;

    while (curr != nullptr) {
        if (curr->val == val)
            return true;

        if (val > curr->val)
            curr = curr->right;
        else
            curr = curr->left;
    }

    return false;
}
```

**Best Case.**  $O(1)$

If val is found at the root of the tree.

It does not matter if the tree is balanced or not.

# Search Running Time

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template <class T>
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    }

    return false;
}
```

**Best Case.**  $O(1)$

If val is found at the root of the tree.

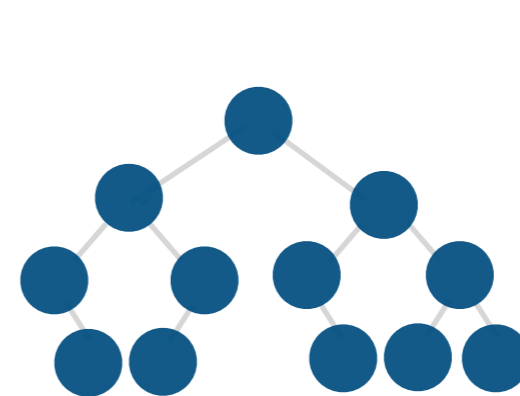
It does not matter if the tree is balanced or not.

**Worst Case.**  $O(\text{height})$

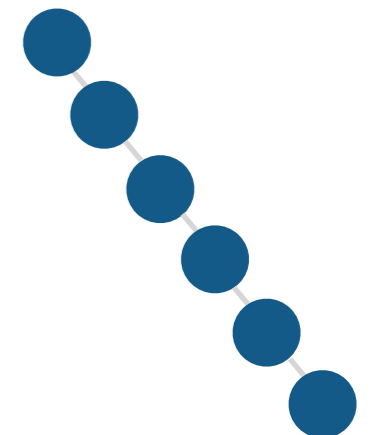
If the search proceeds to the last level in the tree.

$O(\log n)$  if the tree is balanced.

$O(n)$  if the tree is unbalanced.



height =  $O(\log n)$



height =  $O(n)$

# Insert

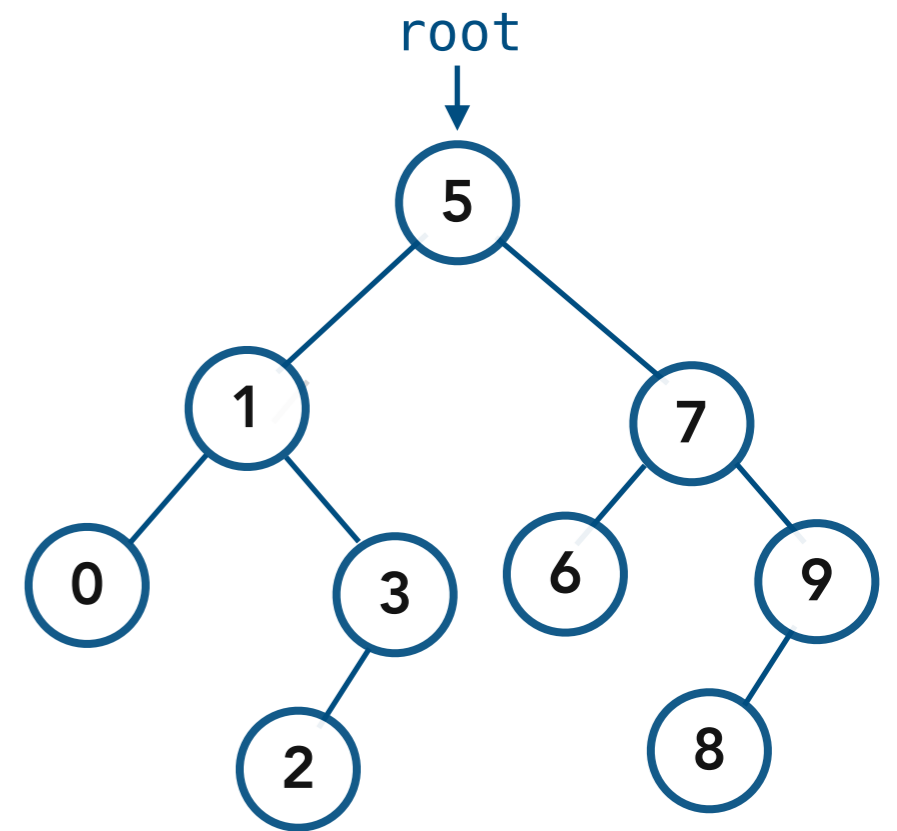
```
template <class T>
void BST<T>::insert(const T& val) {
    Node<T>* prev;
    Node<T>* curr = root;

    while (curr != nullptr) {
        prev = curr;
        if (val == curr->val) return;
        if (val < curr->val) curr = curr->left;
        else curr = curr->right;
    }

    Node<T>* node =
        new Node<T>(val, nullptr, nullptr);

    if (root == nullptr) root = node;
    else if (val < prev->val) prev->left = node;
    else prev->right = node;
}
```

insert 4



# Insert

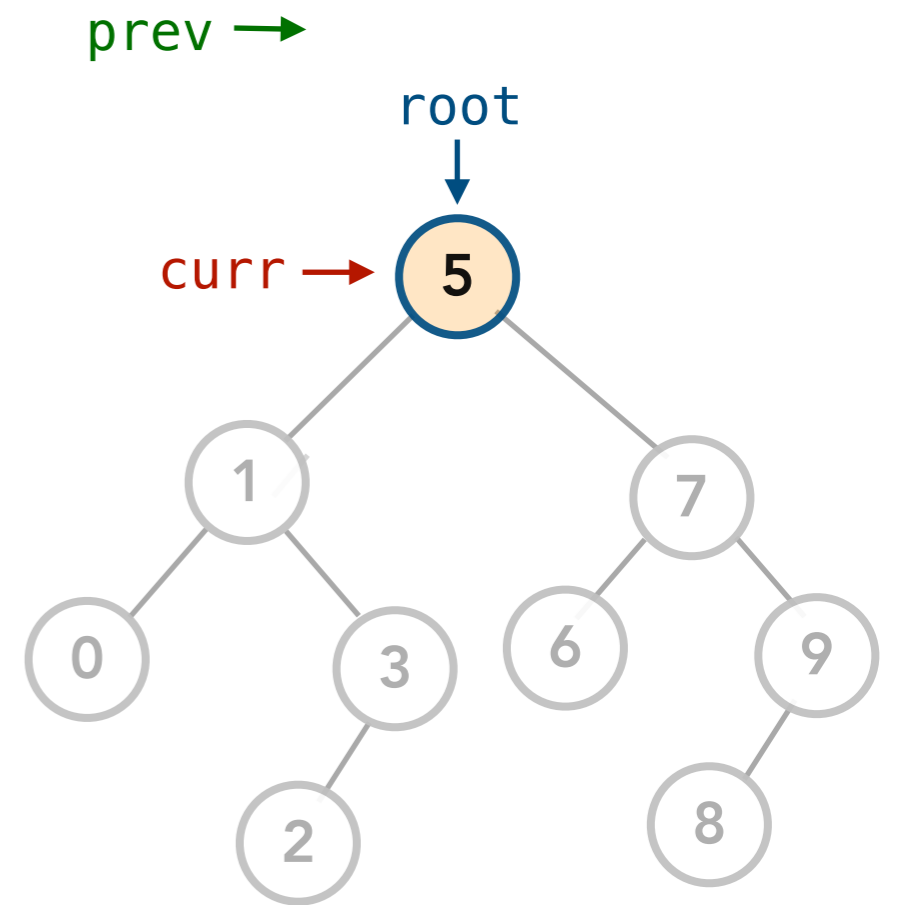
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insert 4



# Insert

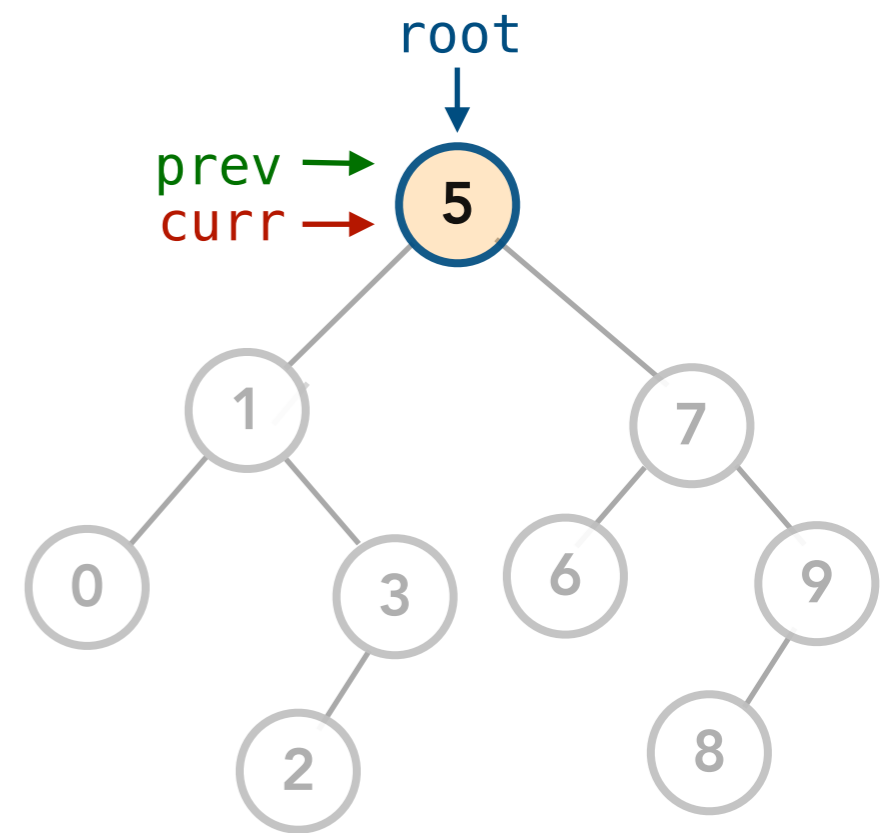
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```

insert 4



# Insert

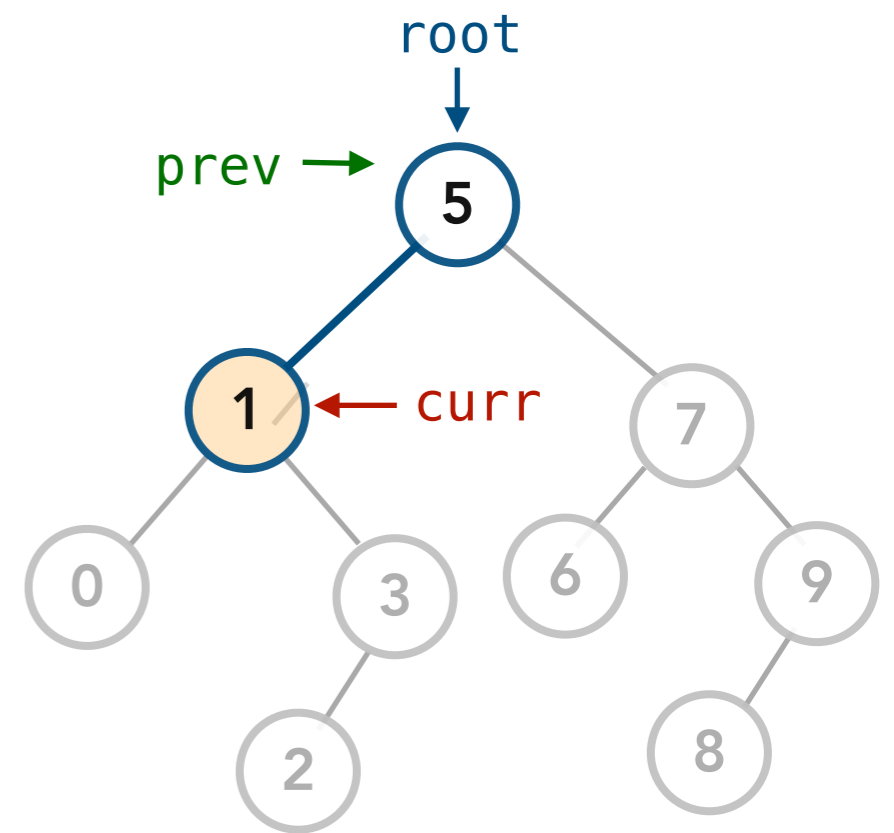
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insert 4





# Insert

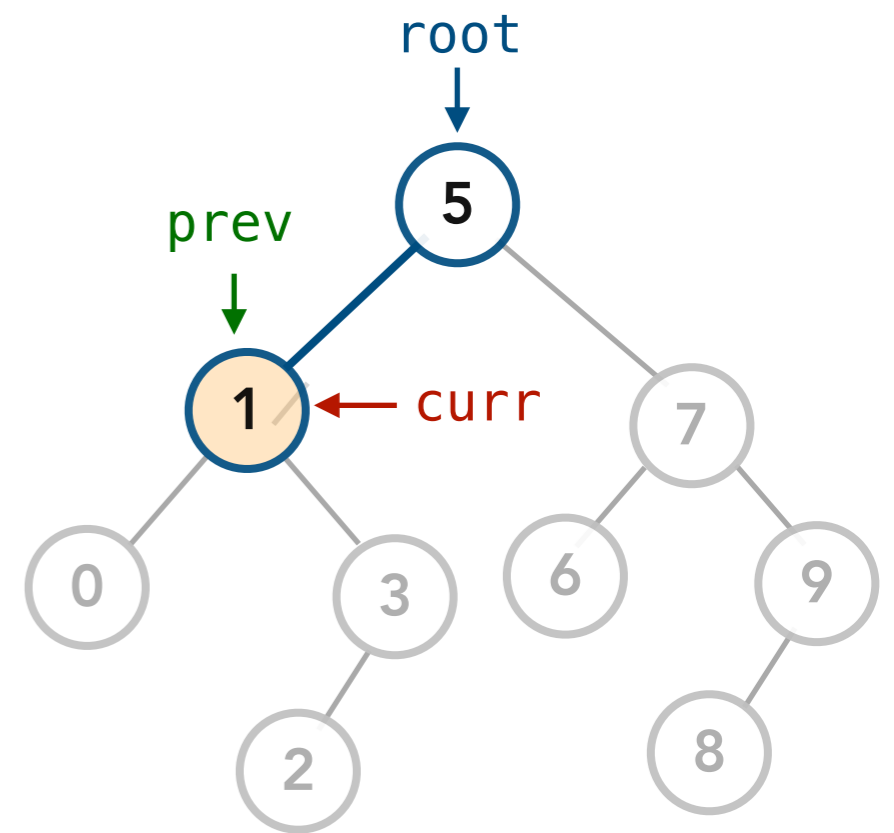
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insert 4



# Insert

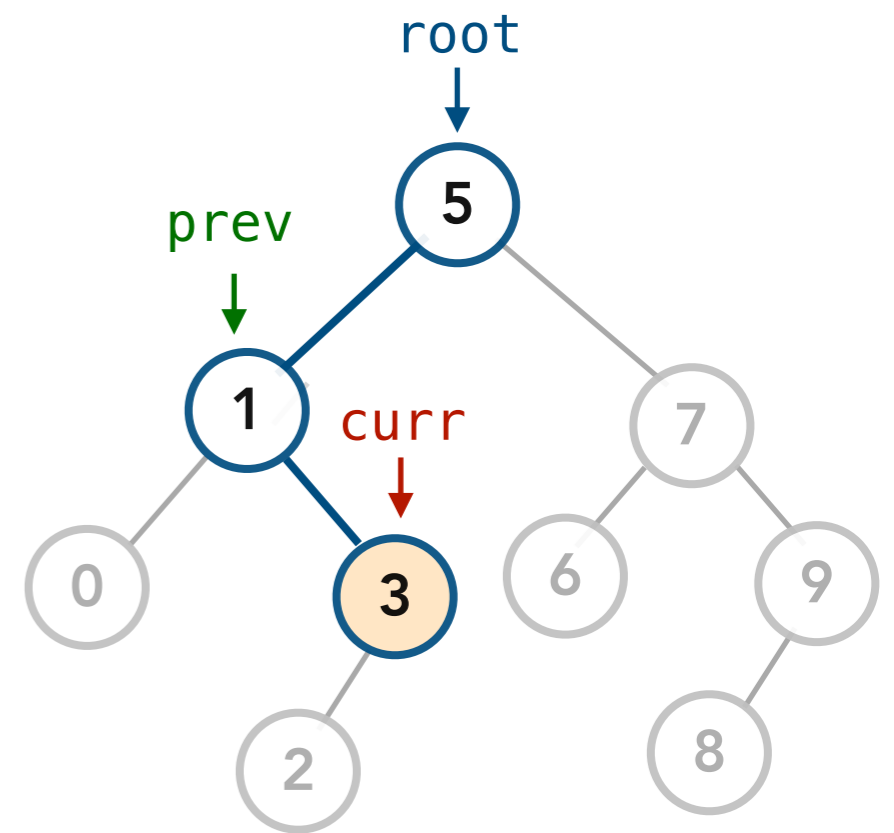
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insert 4



# Insert

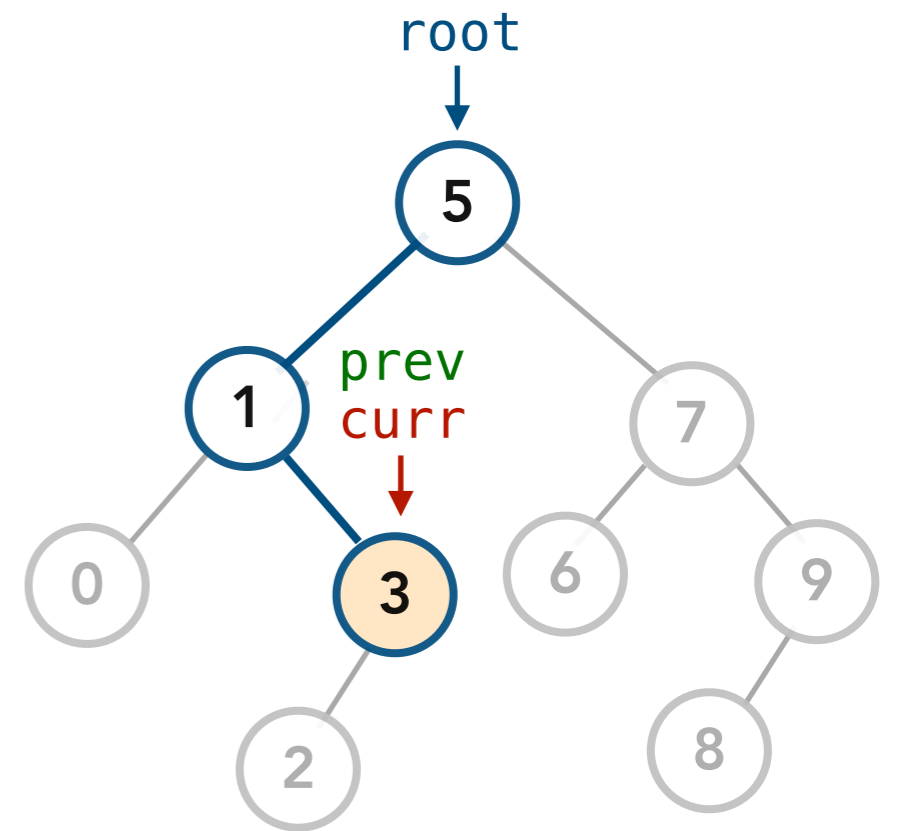
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insert 4



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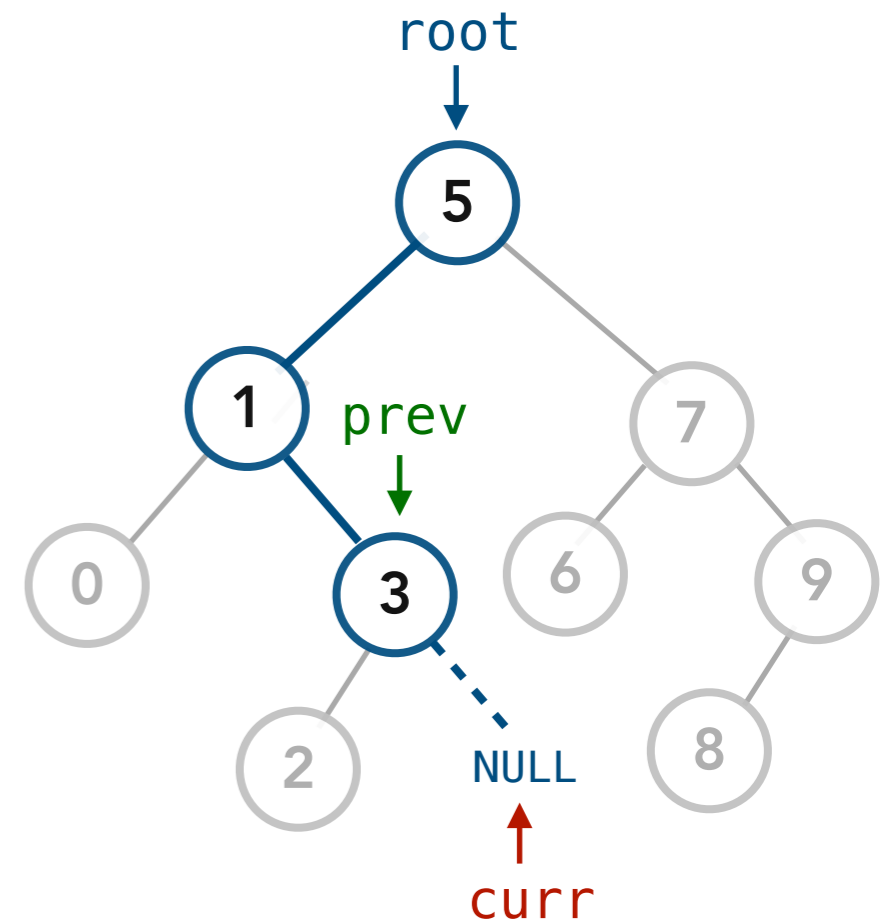
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insert 4



# Insert

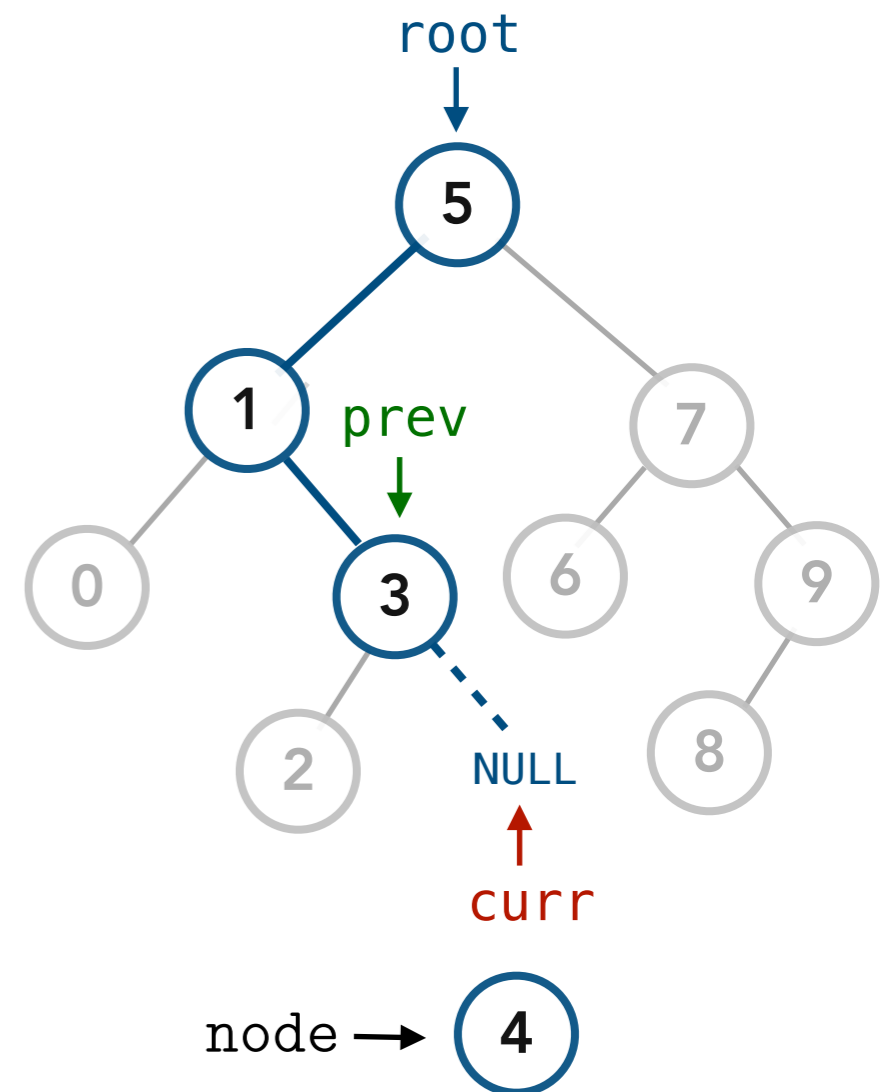
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        if (val == curr->val) return;
        if (val < curr->val) curr = curr->left;
        else curr = curr->right;
    }
```

```
Node<T>* node =
    new Node<T>(val, nullptr, nullptr);
```

```
if (root == nullptr) root = node;
else if (val < prev->val) prev->left = node;
else prev->right = node;
}
```

insert 4



# Iterative Insert

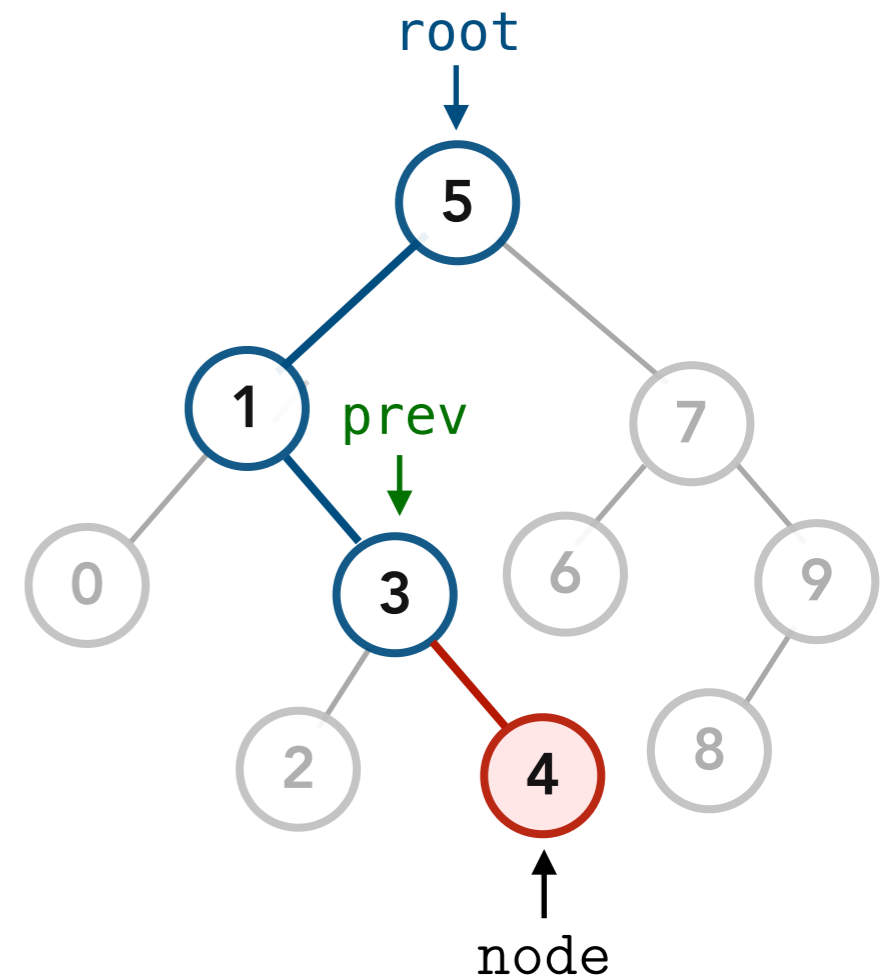
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    Node<T>* prev;
    Node<T>* curr = root;

    while (curr != nullptr) {
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insert 4



# Iterative Insert

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    }

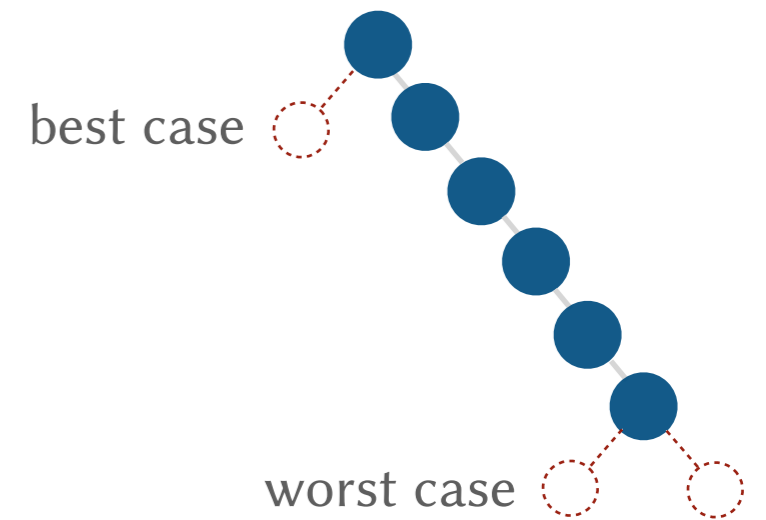
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}
```

Unbalanced Tree.

Best Case:  $O(1)$

Worst Case:  $O(n)$



# Iterative Insert

```
template <class T>
void BST<T>::insert(const T& val) {
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    while (curr != nullptr) {
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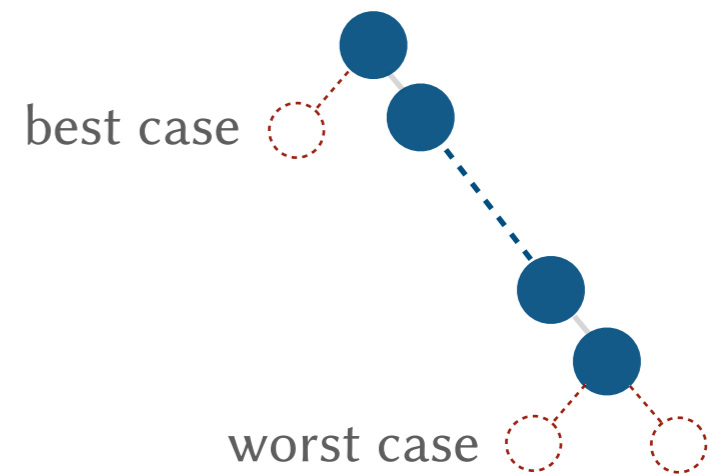
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}
```

## Unbalanced Tree.

Best Case:  $O(1)$

Worst Case:  $O(n)$

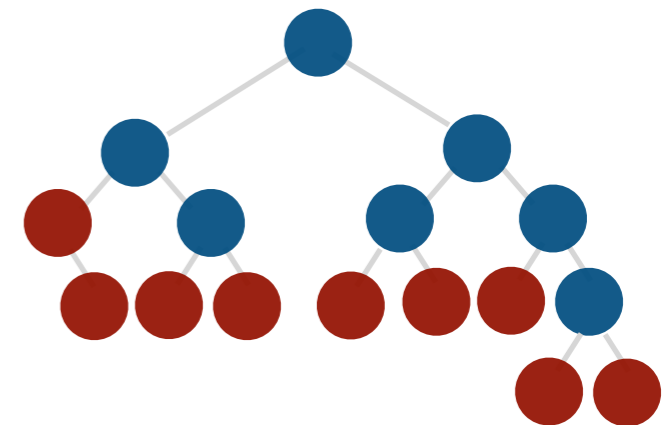


## Balanced Tree.

Best Case:  $O(1)$

if the value is already at the root

Worst Case:  $O(\log n)$



Insertion always happens at the lower levels



# Recursive Insert

Optional

```
template <class T>
void BST<T>::insert(T& val) {
    root = insert(val, root);
}
```

```
template <class T>
Node<T>* BST<T>::insert(T& val, Node<T>* node) {
    if (node == nullptr)
        return new Node<T>(val, nullptr, nullptr);
    if (val > node->val)
        node->right = insert(val, node->right);
    else if (val < node->val)
        node->left = insert(val, node->left);

    return node;
}
```

Convince yourself that this code works!

# Finding the Max and Min

```
template <class T>
T BST<T>::get_max() const {
    if (is_empty())
        throw string("No max in an empty tree");

    Node<T>* curr = root;
    while (curr->right != nullptr)
        curr = curr->right;

    return curr->val;
}
```

```
template <class T>
T BST<T>::get_min() const {
    if (is_empty())
        throw string("No min in an empty tree");

    Node<T>* curr = root;
    while (curr->left != nullptr)
        curr = curr->left;

    return curr->val;
}
```

# Finding the Max and Min

```
template <class T>
T BST<T>::get_max() const {
    if (is_empty())
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}
```

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    while (curr->left != nullptr)
        curr = curr->left;

    return curr->val;
}
```

## Unbalanced Tree.

Best Case:  $O(1)$

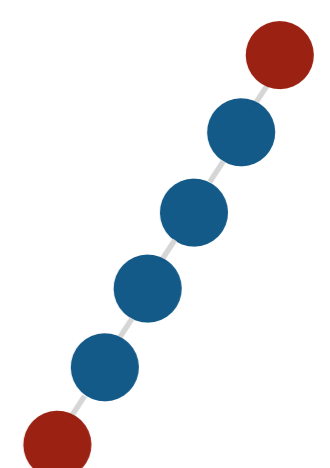
Worst Case:  $O(n)$

get\_min()  
best case



get\_max()  
worst case

get\_max()  
best case



get\_min()  
worst case

# Finding the Max and Min

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template <class T>
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    while (curr->left != nullptr)
        curr = curr->left;

    return curr->val;
}
```

## Unbalanced Tree.

Best Case:  $O(1)$

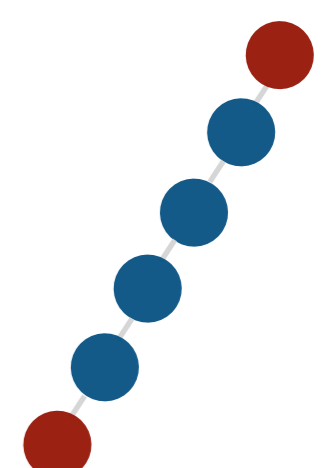
Worst Case:  $O(n)$

get\_min()  
best case



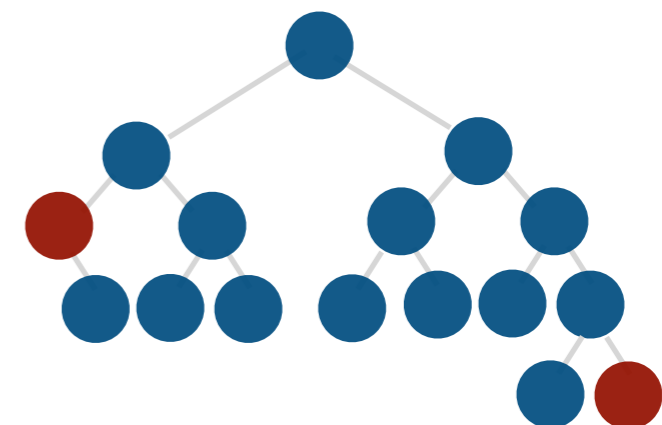
get\_max()  
worst case

get\_max()  
best case



get\_min()  
worst case

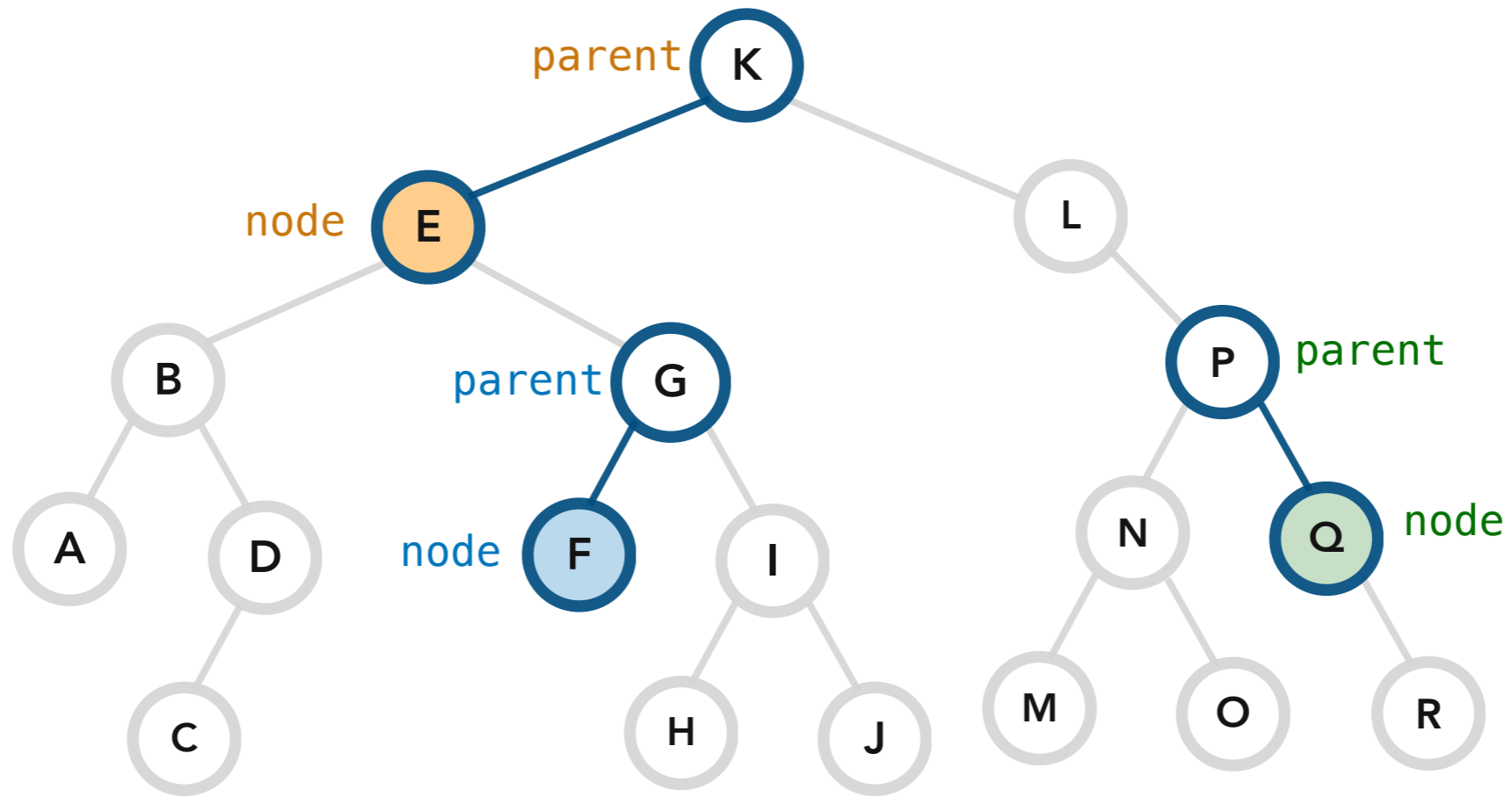
## Balanced Tree. $O(\log n)$



The max and min are always at the lower levels.

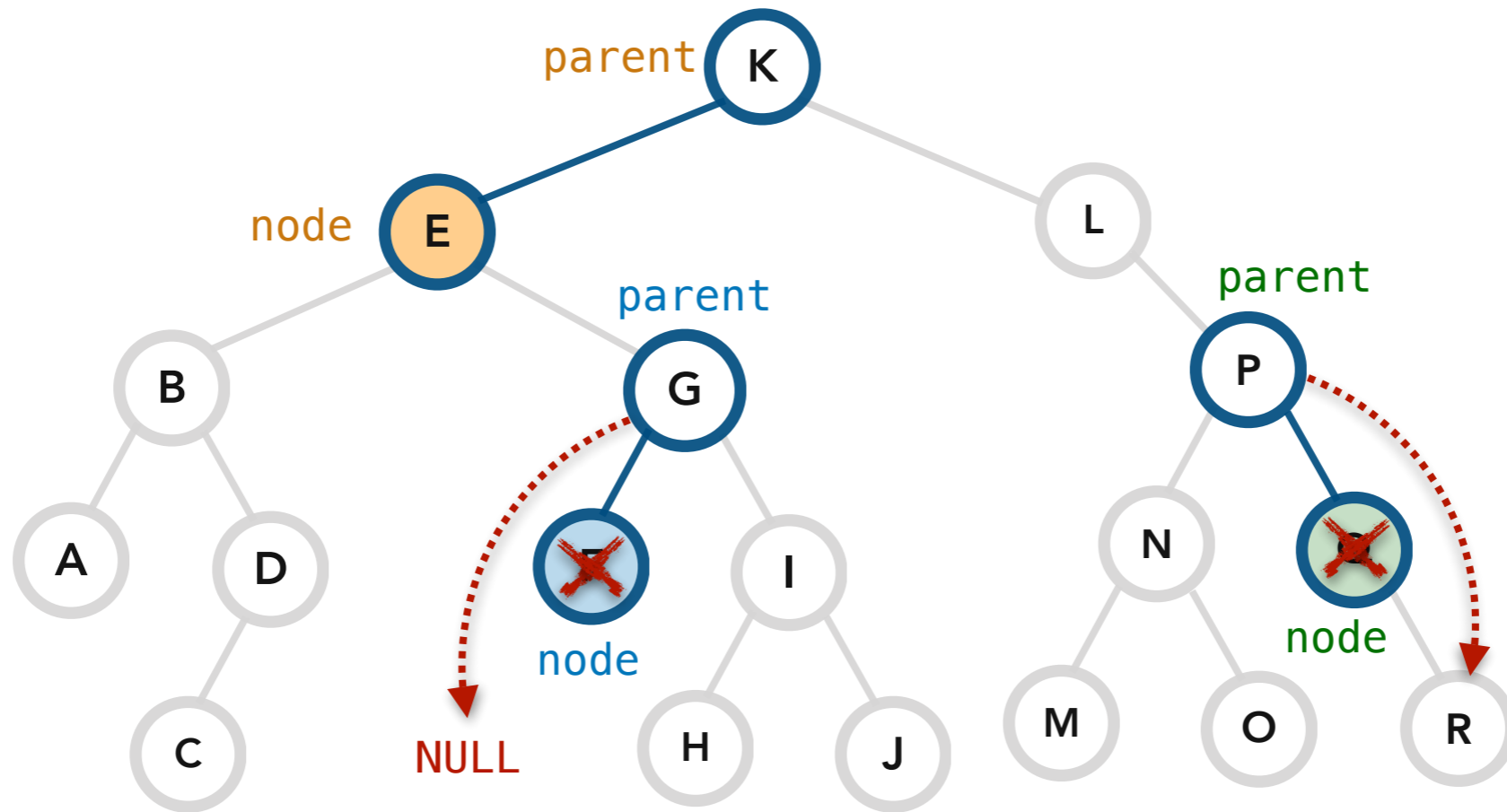
# Deleting a Node

**Problem.** Given a pointer to a **node** and a pointer to its **parent**, delete the **node**.



# Deleting a Node

**Problem.** Given a pointer to a **node** and a pointer to its **parent**, delete the **node**.



**Easy** cases to deal with!

# Deleting a Node

**Problem.** Given a pointer to a `node` and a pointer to its `parent`, delete the `node`.

**Case 1.** If the `node` is a leaf node: connect its `parent`'s left or right to `NULL`.

**Case 2.** If the `node` has one child: connect its `parent`'s left or right to this child.

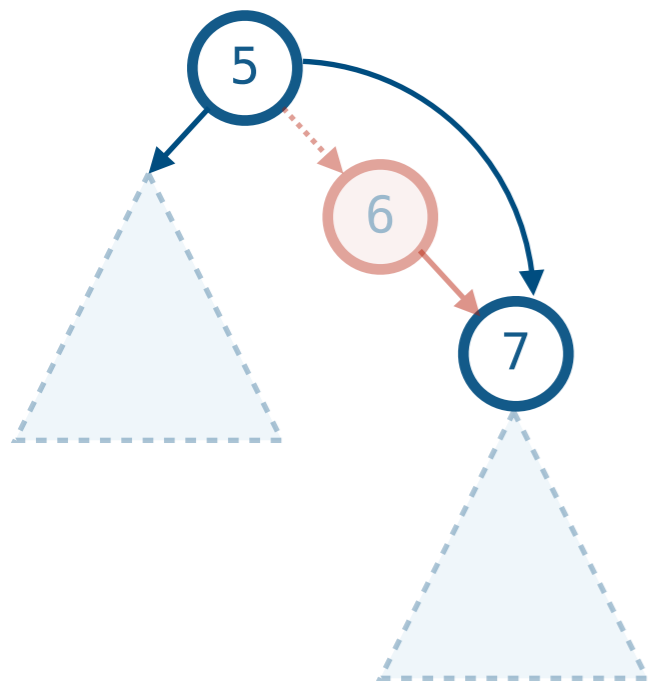
# Deleting a Node

**Problem.** Given a pointer to a **node** and a pointer to its **parent**, delete the **node**.

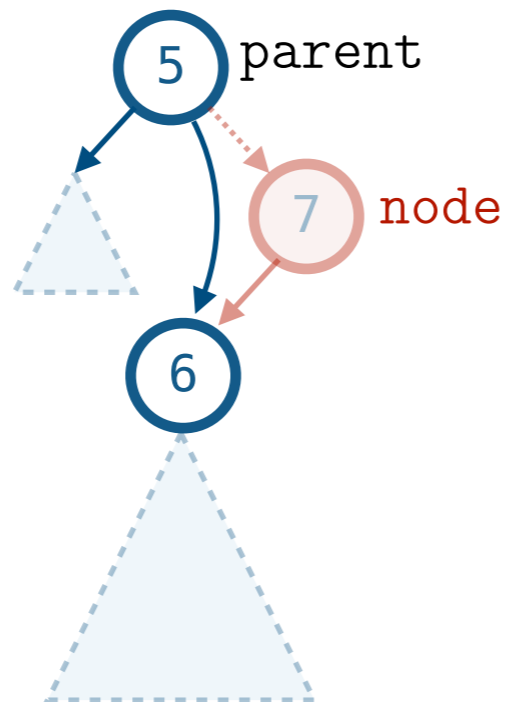
**Case 1.** If the **node** is a leaf node: connect its **parent's** left or right to **NULL**.

**Case 2.** If the **node** has one child: connect its **parent's** left or right to this child.

connect **parent->right**

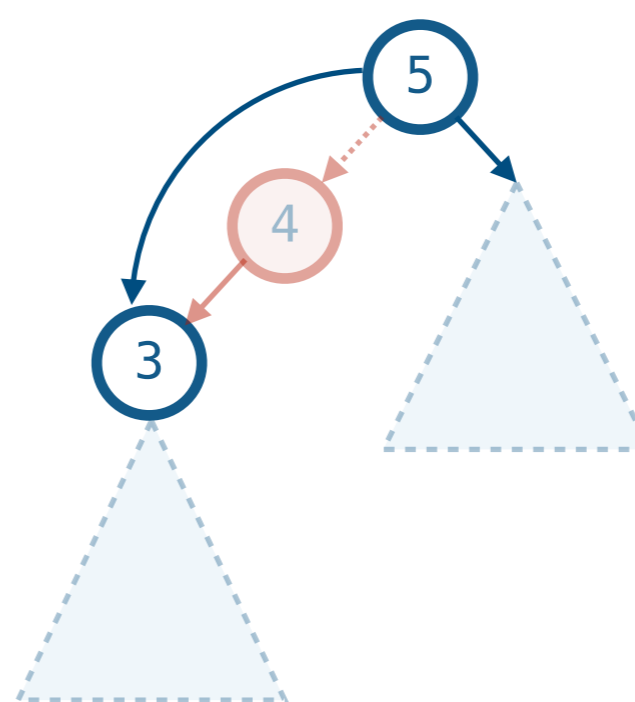


connect to  
node->**right**

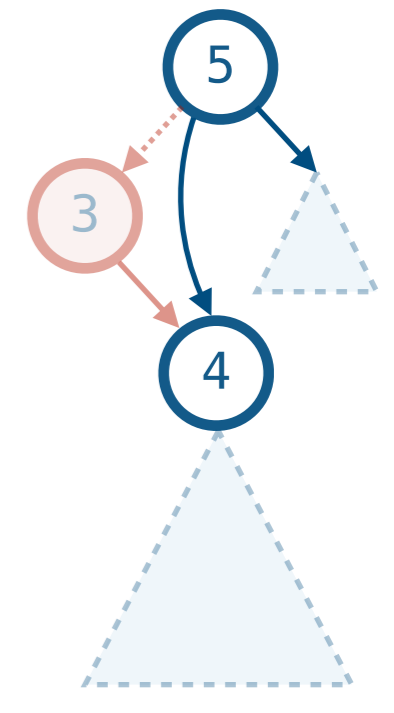


connect to  
node->**left**

connect **parent->left**



connect to  
node->**left**



connect to  
node->**right**



# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_1(Node<T>* node, Node<T>* parent) {
    if (node == root) {
        if (node->left == nullptr)
            root = root->right;
        else
            root = root->left;
    }
    else if (node == parent->right) {
        if (node->right != nullptr)
            parent->right = node->right;
        else
            parent->right = node->left;
    }
    else {
        if (node->right != nullptr)
            parent->left = node->right;
        else
            parent->left = node->left;
    }

    delete node;
}
```

# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_1(Node<T>* node, Node<T>* parent) {
    if (node == root) {
        if (node->left == nullptr)
            root = root->right;
        else
            root = root->left;
    }
    else if (node == parent->right) {
        if (node->right != nullptr)
            parent->right = node->right;
        else
            parent->right = node->left;
    }
    else {
        if (node->right != nullptr)
            parent->left = node->right;
        else
            parent->left = node->left;
    }

    delete node;
}
```

if the deleted node is the root, update the root pointer, not the parent pointer

# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_1(Node<T>* node, Node<T>* parent) {
    if (node == root) {
        if (node->left == nullptr)
            root = root->right;
        else
            root = root->left;
    }
    else if (node == parent->right) {
        if (node->right != nullptr)
            parent->right = node->right;
        else
            parent->right = node->left;
    }
    else {
        if (node->right != nullptr)
            parent->left = node->right;
        else
            parent->left = node->left;
    }

    delete node;
}
```

if the node to be deleted is to the right of its parent.

# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_1(Node<T>* node, Node<T>* parent) {
    if (node == root) {
        if (node->left == nullptr)
            root = root->right;
        else
            root = root->left;
    }
    else if (node == parent->right) {
        if (node->right != nullptr)
            parent->right = node->right;
        else
            parent->right = node->left;
    }
    else {
        if (node->right != nullptr)
            parent->left = node->right;
        else
            parent->left = node->left;
    }

    delete node;
}
```

if the node to be deleted is to the left of its parent.

# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_1(Node<T>* node, Node<T>* parent) {
    if (node == root) {
        if (node->left == nullptr)
            root = root->right;
        else
            root = root->left;
    }
    else if (node == parent->right) {
        if (node->right != nullptr)
            parent->right = node->right;
        else
            parent->right = node->left;
    }
    else {
        if (node->right != nullptr)
            parent->left = node->right;
        else
            parent->left = node->left;
    }
    delete node;
}
```

delete the node once  
the parent and child  
have been connected

# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_1(Node<T>* node, Node<T>* parent) {
    if (node == root) {
        if (node->left == nullptr)
            root = root->right;
        else
            root = root->left;
    }
    else if (node == parent->right) {
        if (node->right != nullptr)
            parent->right = node->right;
        else
            parent->right = node->left;
    }
    else {
        if (node->right != nullptr)
            parent->left = node->right;
        else
            parent->left = node->left;
    }

    delete node;
}
```



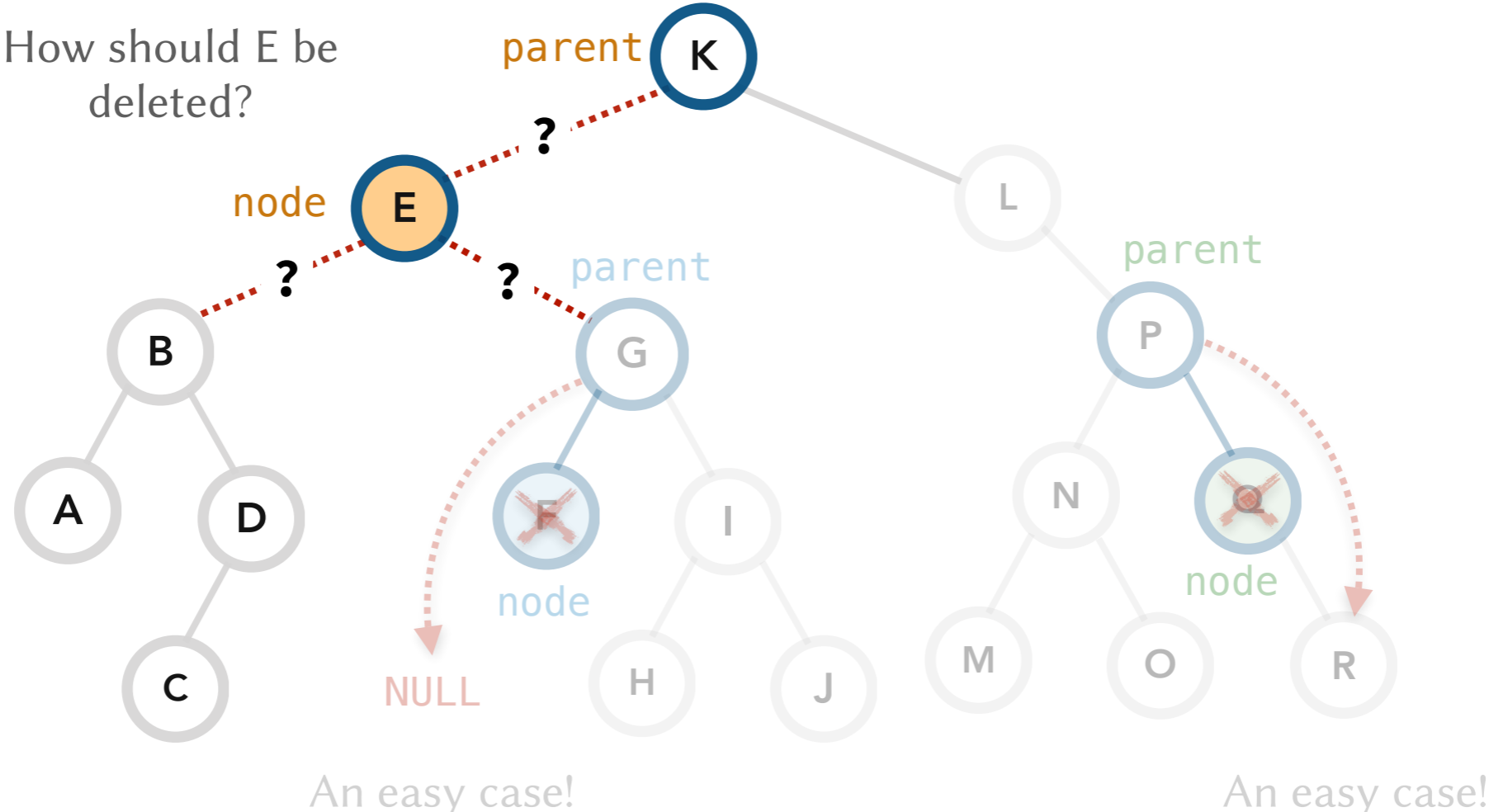
**Convince yourself.**  
This code handles correctly the case of *node* being a *leaf*.



**Running Time.**  
This code runs in  $O(1)$

# Deleting a Node

**Problem.** Given a pointer to a **node** and a pointer to its **parent**, delete the **node**.



What if the node has *two* children?

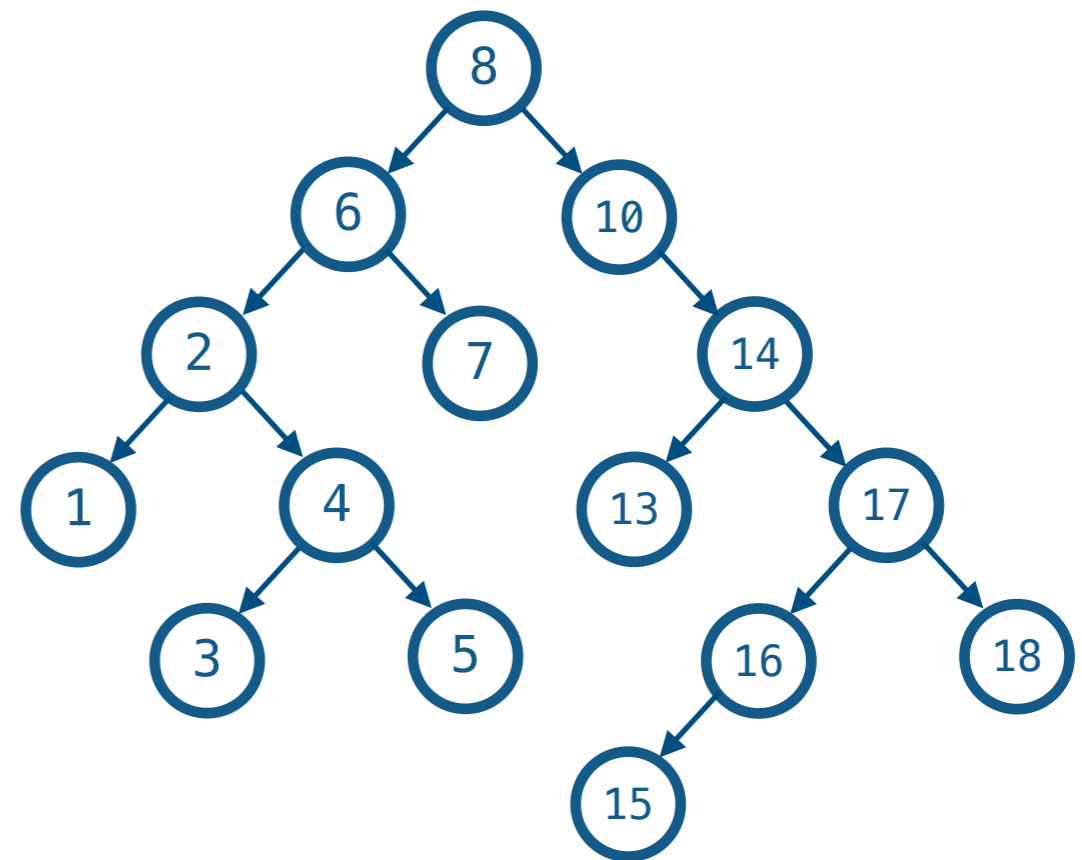
# Deleting a Node

**Problem.** Given a pointer to a **node** and a pointer to its **parent**, delete the **node**.

**Case 1.** If the **node** is a leaf node: connect its **parent's** left or right link to **NULL**.

**Case 2.** If the **node** has one child: connect its **parent's** left or right link to this child.

**Case 3.** If the **node** has 2 children: convert the problem to Case 1 or Case 2.





# Deleting a Node

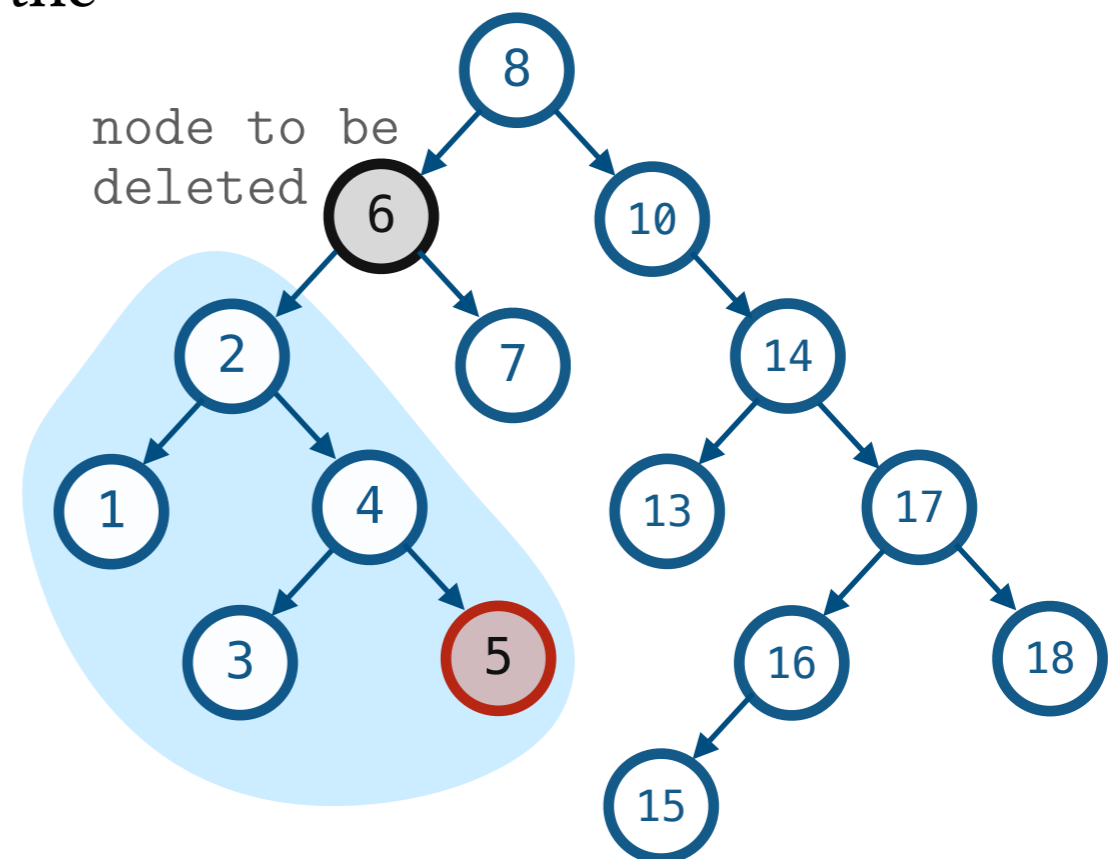
**Problem.** Given a pointer to a **node** and a pointer to its **parent**, delete the **node**.

**Case 1.** If the **node** is a leaf node: connect its **parent's** left or right link to **NULL**.

**Case 2.** If the **node** has one child: connect its **parent's** left or right link to this child.

**Case 3.** If the **node** has 2 children: convert the problem to Case 1 or Case 2.

**Idea.** (1) Replace the **node** to be deleted with the *max* in its *left* subtree



5 is the max in 6's left subtree.

5 can replace 6 and the tree would remain a BST

# Deleting a Node

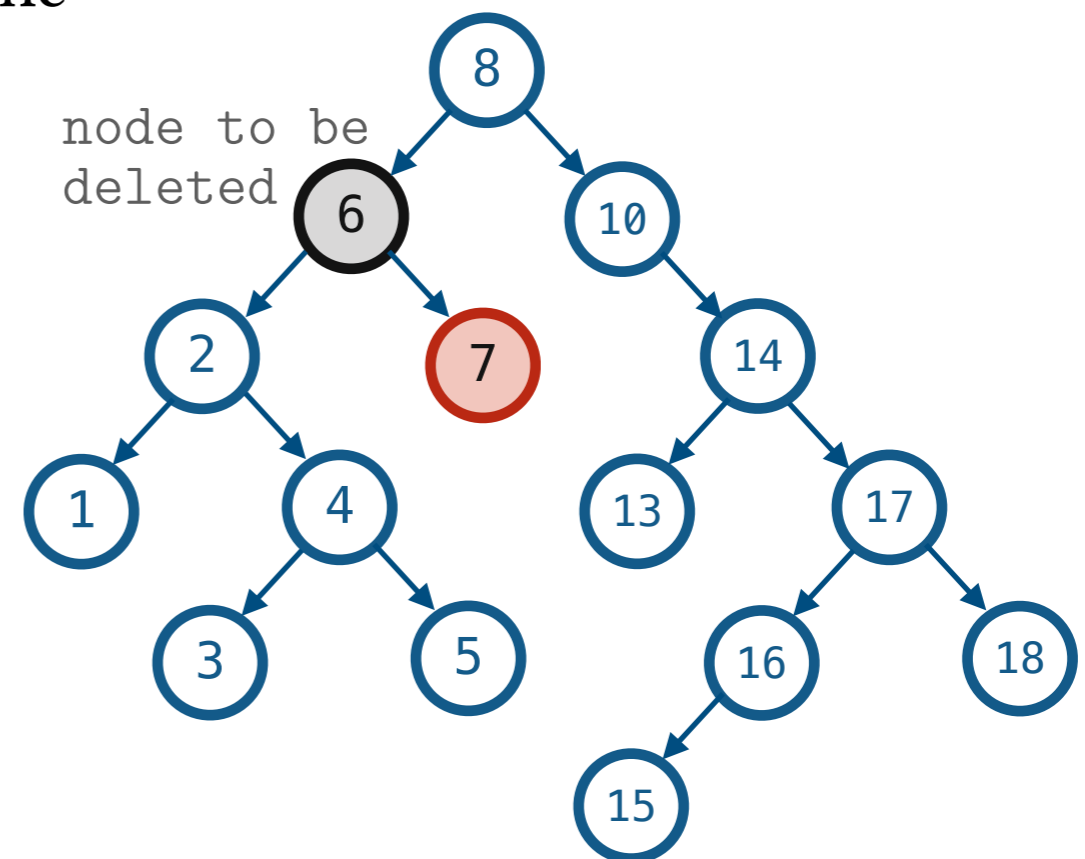
**Problem.** Given a pointer to a **node** and a pointer to its **parent**, delete the **node**.

**Case 1.** If the **node** is a leaf node: connect its **parent's** left or right link to **NULL**.

**Case 2.** If the **node** has one child: connect its **parent's** left or right link to this child.

**Case 3.** If the **node** has 2 children: convert the problem to Case 1 or Case 2.

**Idea.** (1) Replace the **node** to be deleted with the *max* in its *left* subtree or with the *min* in its *right* subtree.



7 is the min in 6's right subtree.  
7 can also replace 6.

# Deleting a Node

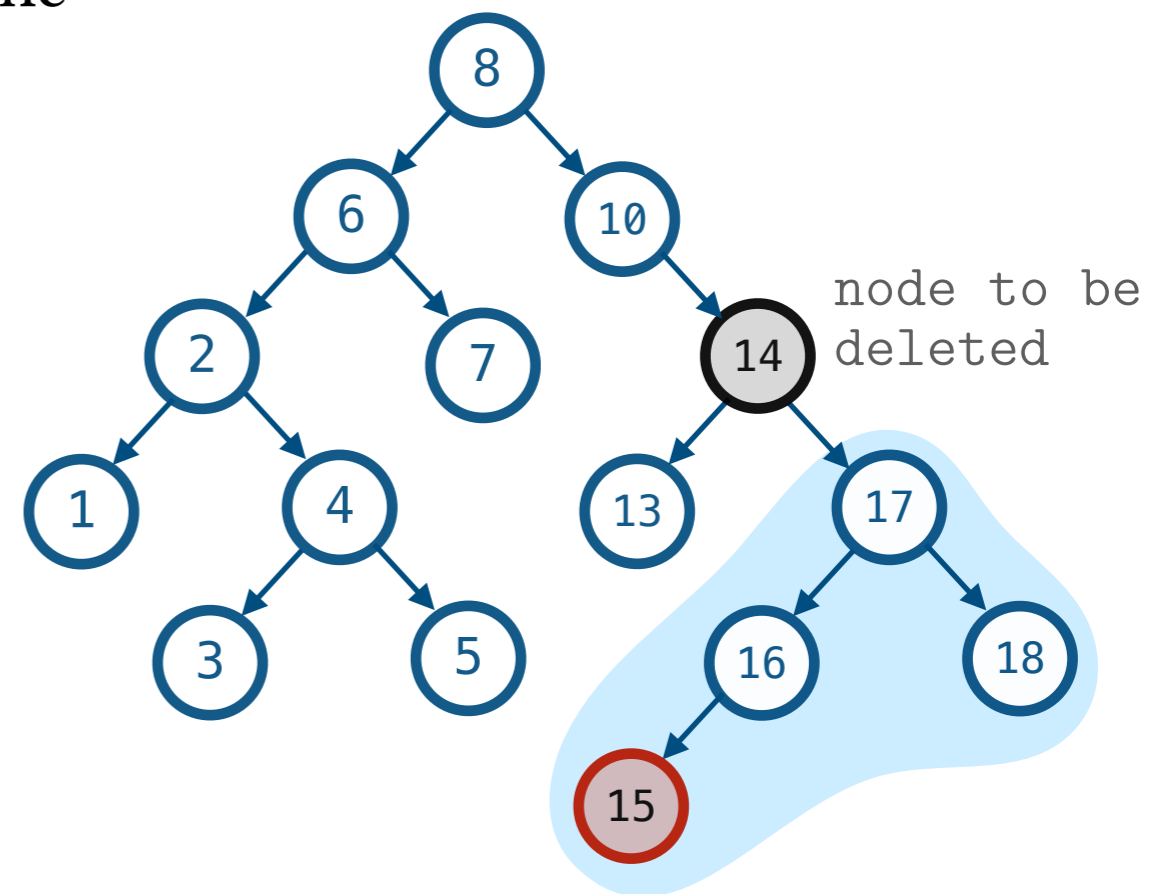
**Problem.** Given a pointer to a **node** and a pointer to its **parent**, delete the **node**.

**Case 1.** If the **node** is a leaf node: connect its **parent's** left or right link to **NULL**.

**Case 2.** If the **node** has one child: connect its **parent's** left or right link to this child.

**Case 3.** If the **node** has 2 children: convert the problem to Case 1 or Case 2.

**Idea.** (1) Replace the **node** to be deleted with the *max* in its *left* subtree or with the *min* in its *right* subtree.



15 is the min in 14's right subtree.

15 can replace 14 and the tree would remain a BST

# Deleting a Node

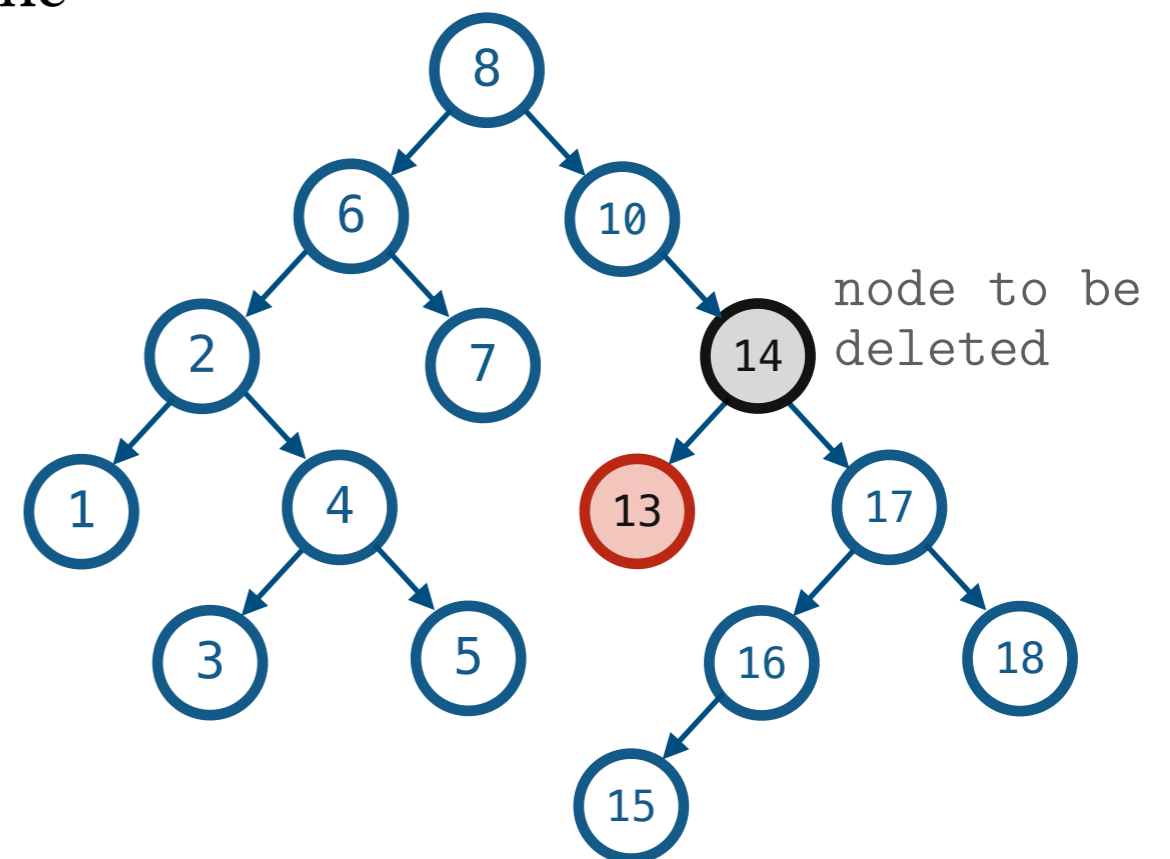
**Problem.** Given a pointer to a **node** and a pointer to its **parent**, delete the **node**.

**Case 1.** If the **node** is a leaf node: connect its **parent's** left or right link to **NULL**.

**Case 2.** If the **node** has one child: connect its **parent's** left or right link to this child.

**Case 3.** If the **node** has 2 children: convert the problem to Case 1 or Case 2.

**Idea.** (1) Replace the **node** to be deleted with the *max* in its *left* subtree or with the *min* in its *right* subtree.



13 is the max in 14's left subtree.  
13 can also replace 14.

# Deleting a Node

**Problem.** Given a pointer to a **node** and a pointer to its **parent**, delete the **node**.

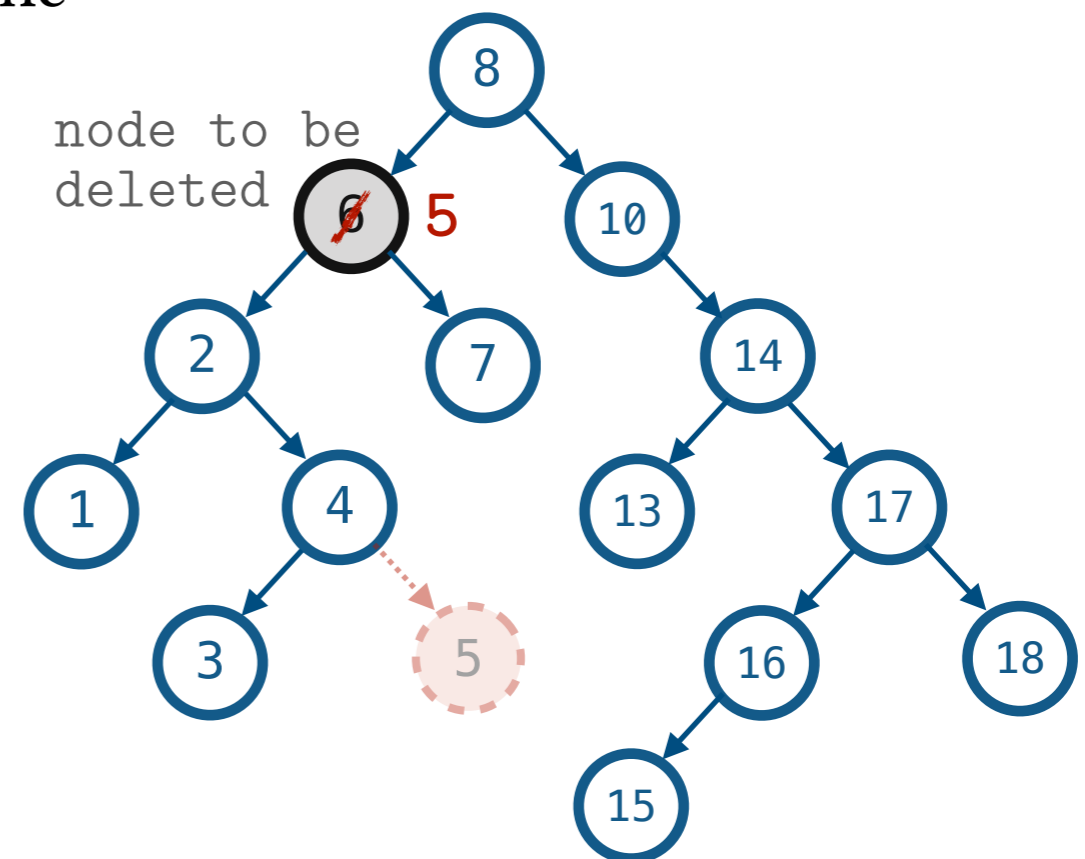
**Case 1.** If the **node** is a leaf node: connect its **parent's** left or right link to **NULL**.

**Case 2.** If the **node** has one child: connect its **parent's** left or right link to this child.

**Case 3.** If the **node** has 2 children: convert the problem to Case 1 or Case 2.

**Idea.** (1) Replace the **node** to be deleted with the *max* in its *left* subtree or with the *min* in its *right* subtree.

(2) Delete the replacement **node**.  
(guaranteed to have 0 or 1 children!)

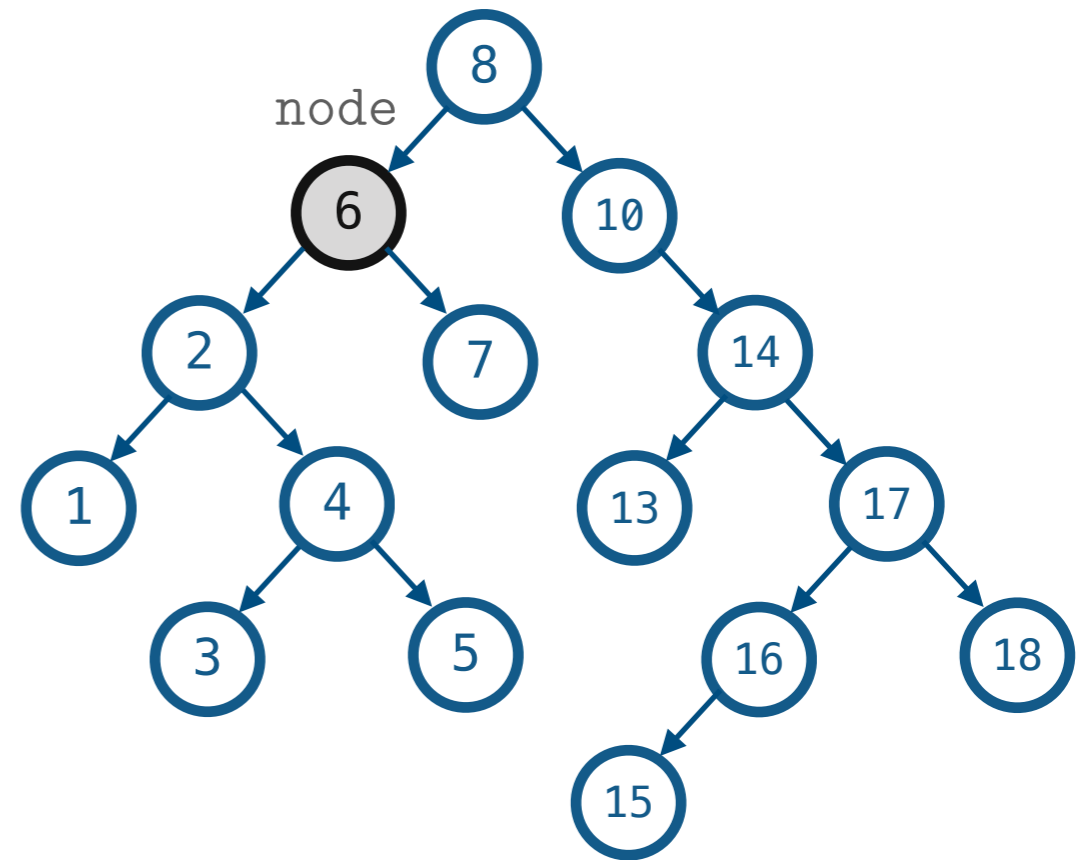


replace 6 with 5 and delete 5

# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_2(Node<T>* node) {
    Node<T>* rep = node->left;
    Node<T>* prev = node;
    while (rep->right != nullptr) {
        prev = rep;
        rep = rep->right;
    }

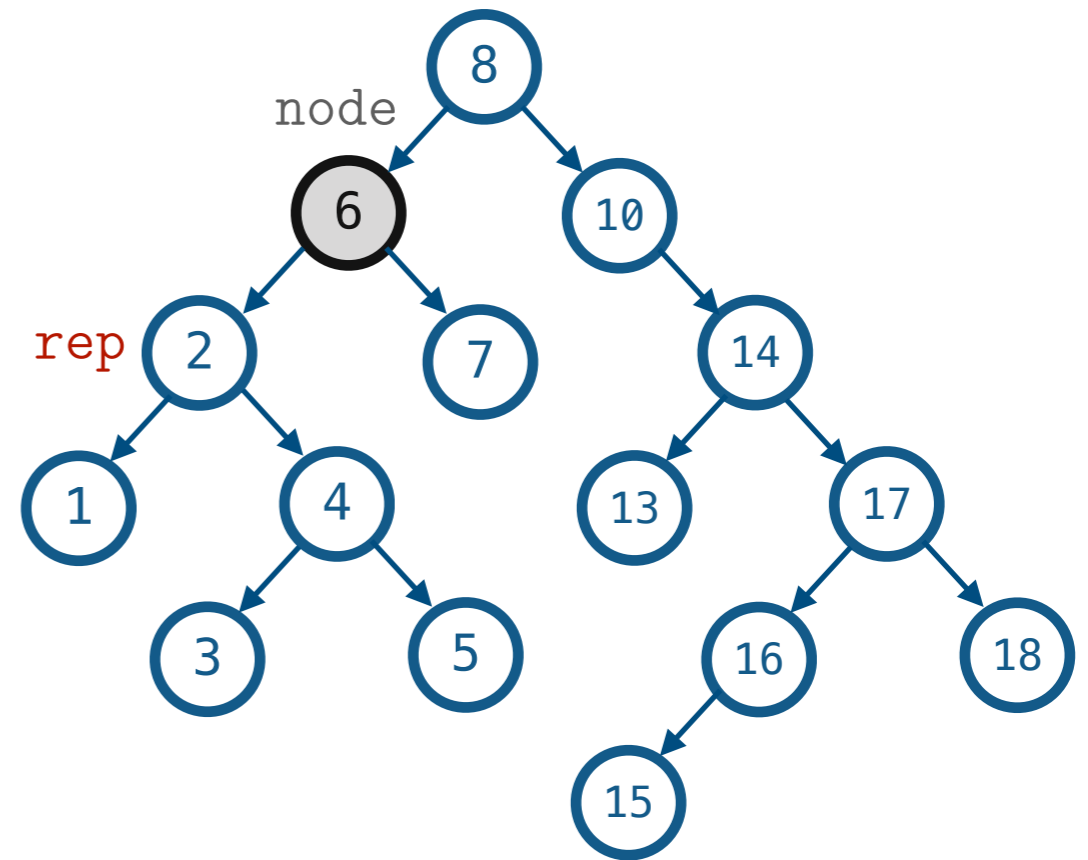
    node->val = rep->val;
    remove_1(rep, prev);
}
```



# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_2(Node<T>* node) {
    ● Node<T>* rep = node->left;
    Node<T>* prev = node;
    while (rep->right != nullptr) {
        prev = rep;
        rep = rep->right;
    }

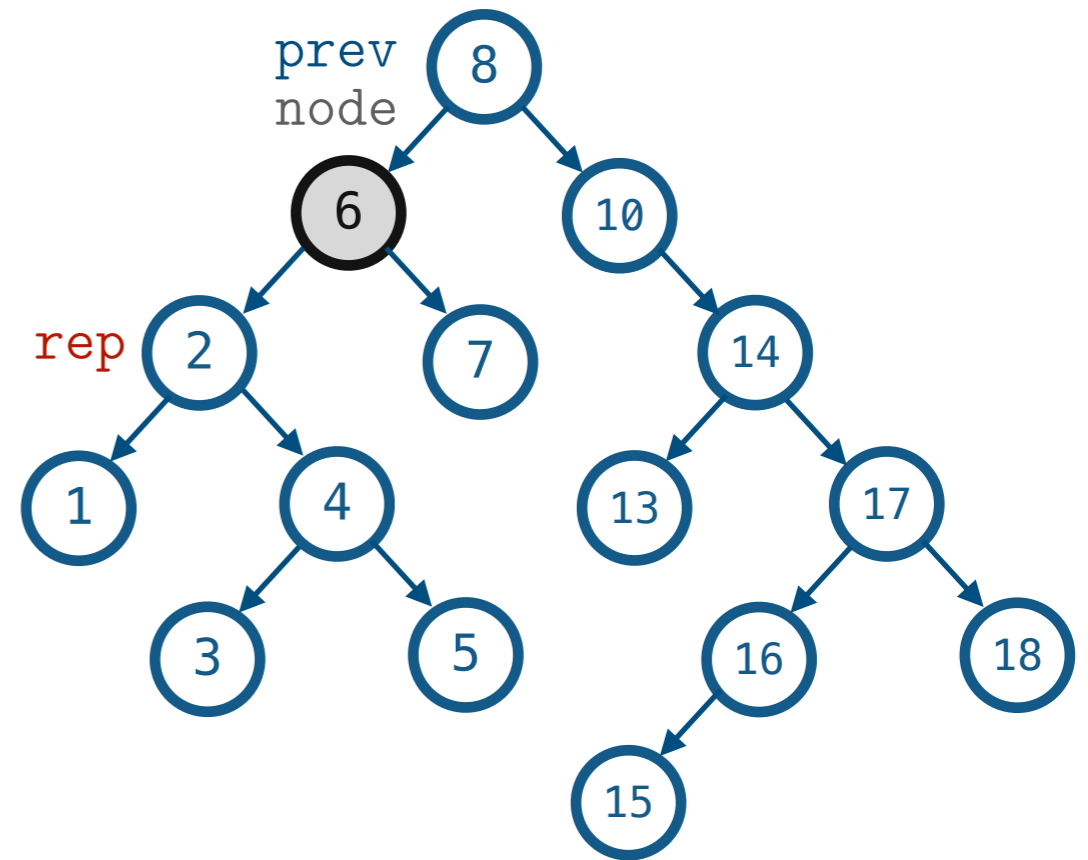
    node->val = rep->val;
    remove_1(rep, prev);
}
```



# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_2(Node<T>* node) {
    Node<T>* rep = node->left;
    ● Node<T>* prev = node;
    while (rep->right != nullptr) {
        prev = rep;
        rep = rep->right;
    }

    node->val = rep->val;
    remove_1(rep, prev);
}
```

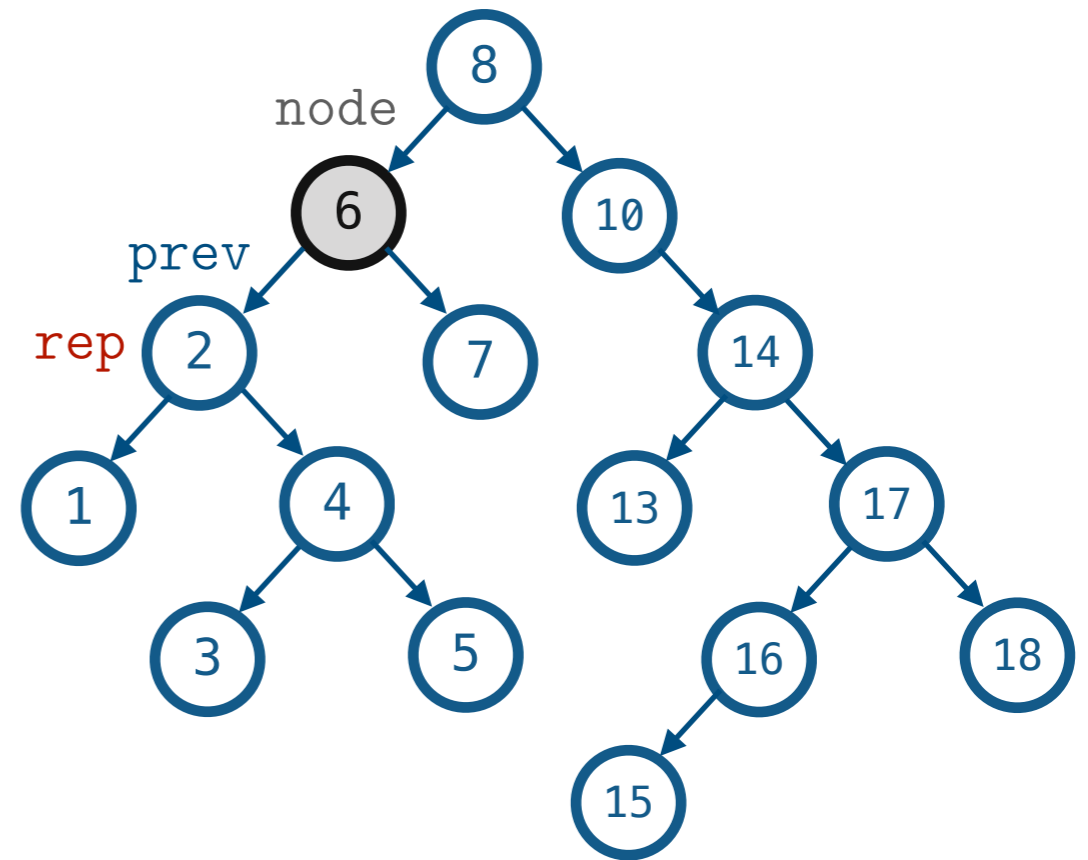




# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_2(Node<T>* node) {
    Node<T>* rep = node->left;
    Node<T>* prev = node;
    while (rep->right != nullptr) {
        ● prev = rep;
        rep = rep->right;
    }

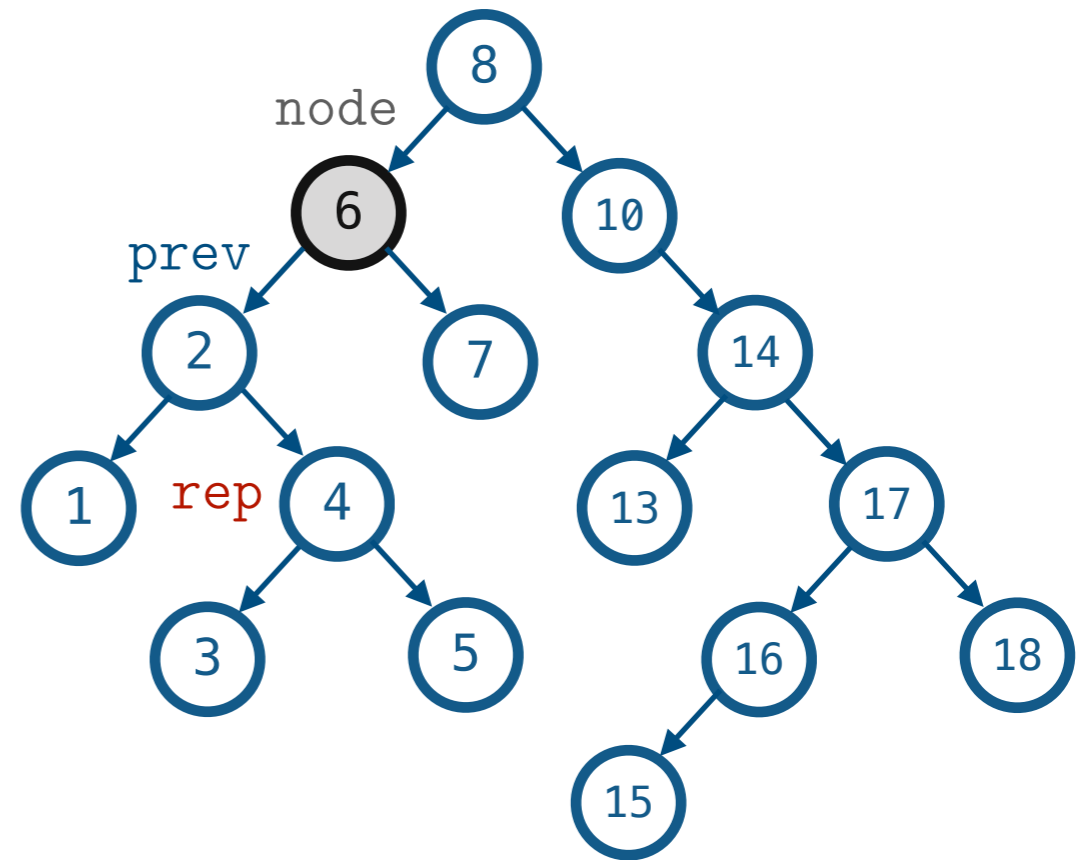
    node->val = rep->val;
    remove_1(rep, prev);
}
```



# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_2(Node<T>* node) {
    Node<T>* rep = node->left;
    Node<T>* prev = node;
    while (rep->right != nullptr) {
        prev = rep;
        ● rep = rep->right;
    }

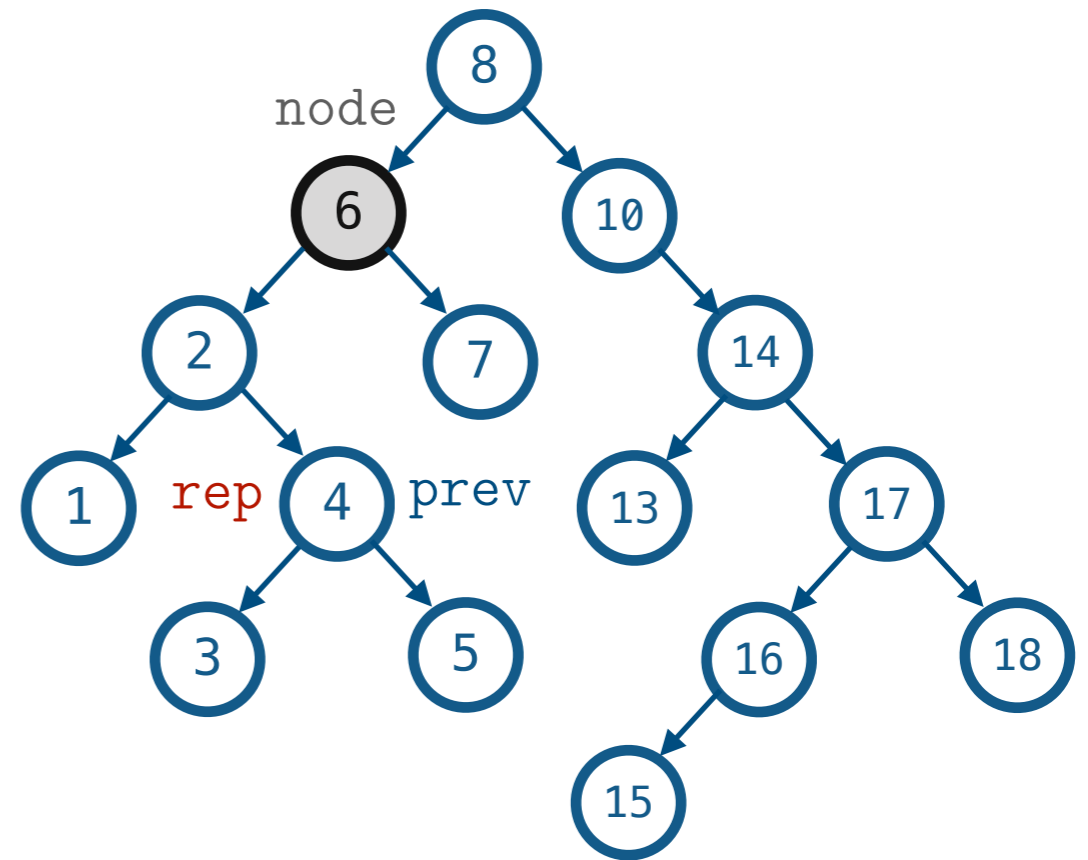
    node->val = rep->val;
    remove_1(rep, prev);
}
```



# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_2(Node<T>* node) {
    Node<T>* rep = node->left;
    Node<T>* prev = node;
    while (rep->right != nullptr) {
        ● prev = rep;
        rep = rep->right;
    }

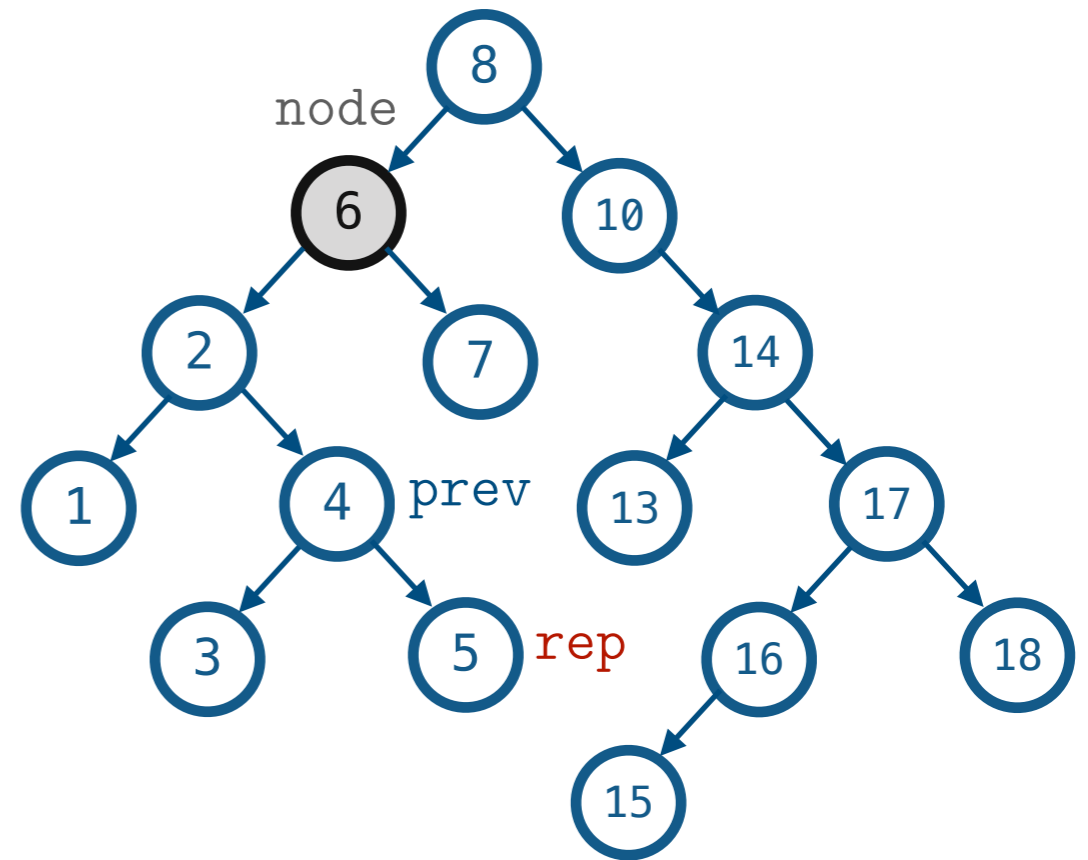
    node->val = rep->val;
    remove_1(rep, prev);
}
```



# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_2(Node<T>* node) {
    Node<T>* rep = node->left;
    Node<T>* prev = node;
    while (rep->right != nullptr) {
        prev = rep;
        ● rep = rep->right;
    }

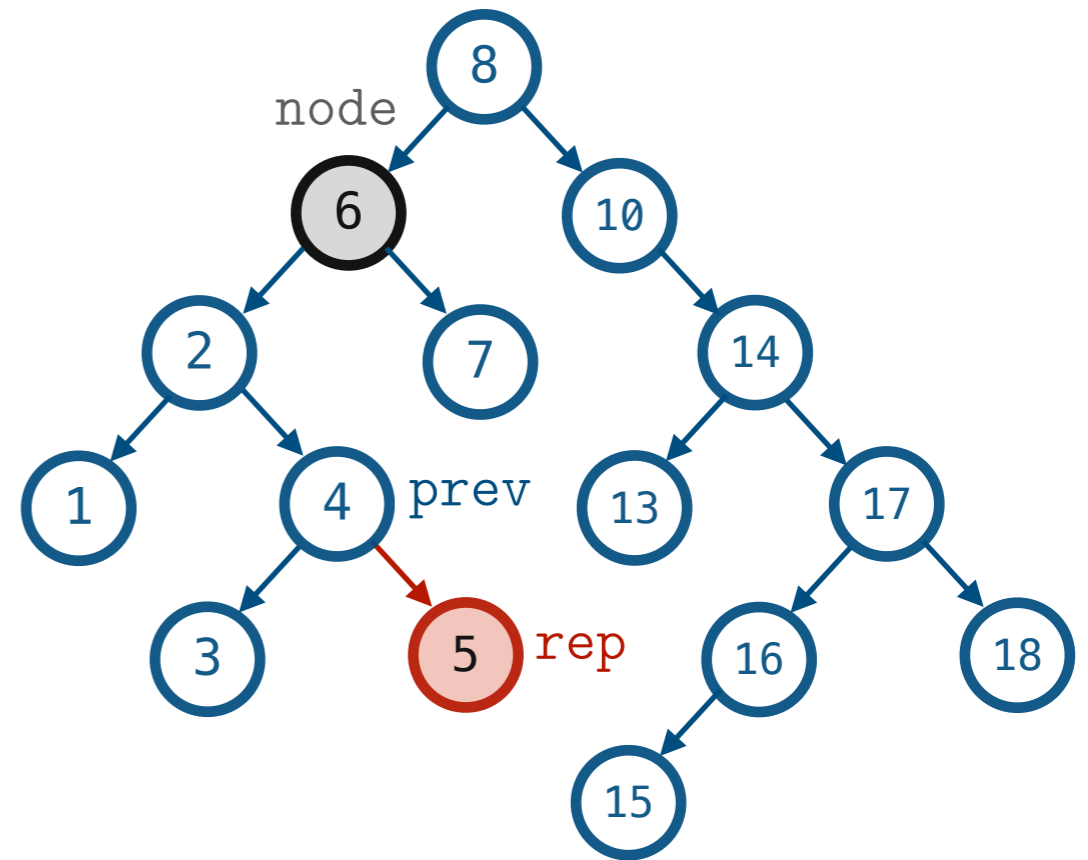
    node->val = rep->val;
    remove_1(rep, prev);
}
```



# Deleting a Node With 0 or 1 Children

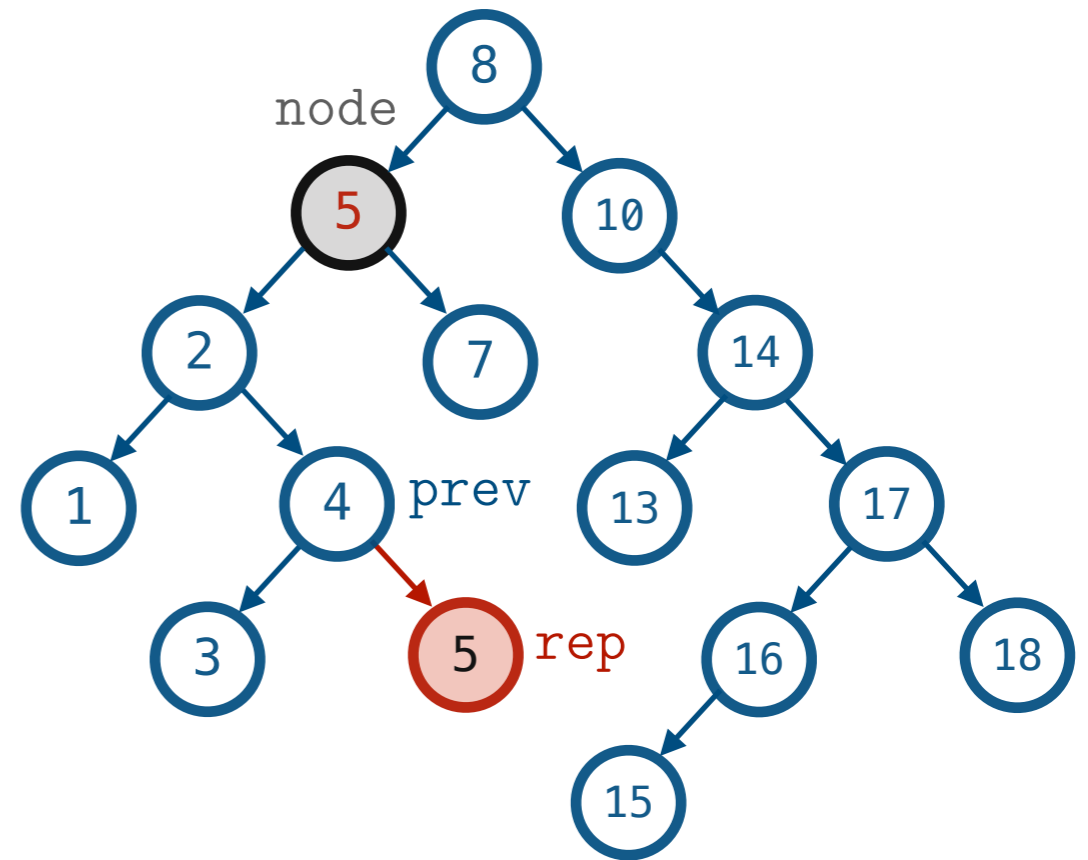
```
template<class T>
void BST<T>::remove_2(Node<T>* node) {
    Node<T>* rep = node->left;
    Node<T>* prev = node;
    ● while (rep->right != nullptr) {
        prev = rep;
        rep = rep->right;
    }

    node->val = rep->val;
    remove_1(rep, prev);
}
```



# Deleting a Node With 0 or 1 Children

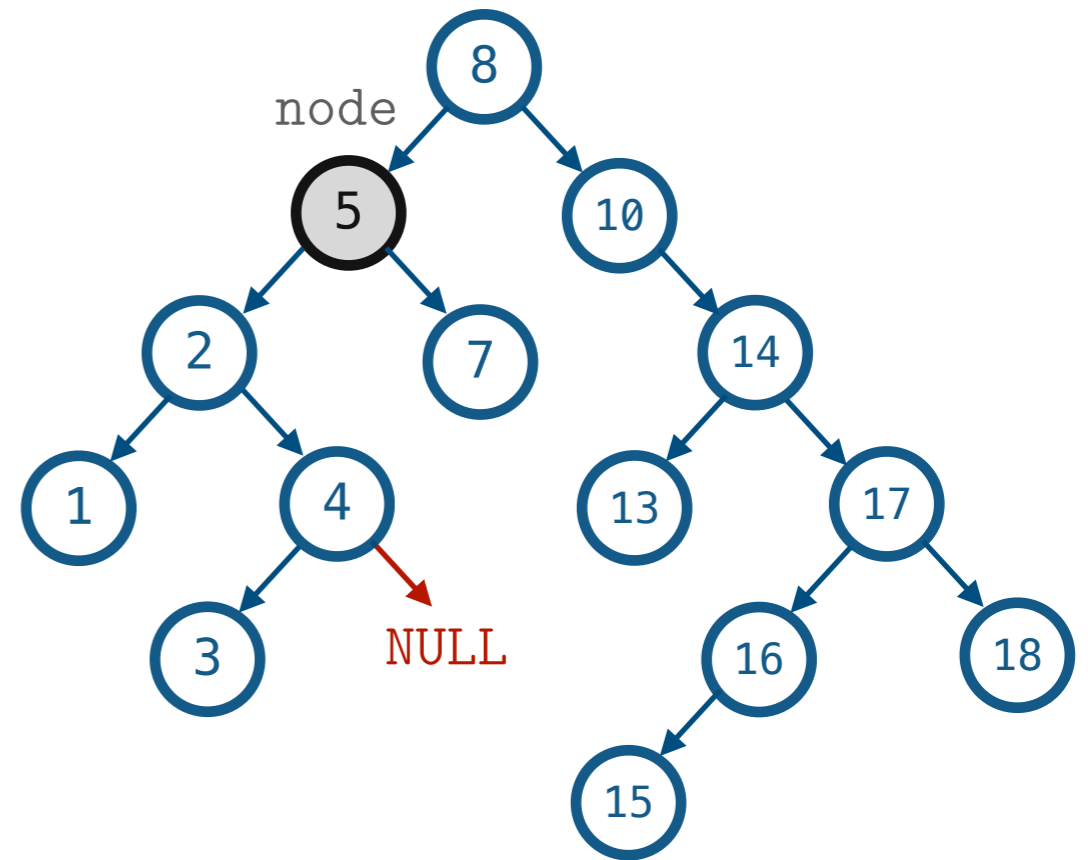
```
template<class T>
void BST<T>::remove_2(Node<T>* node) {
    Node<T>* rep = node->left;
    Node<T>* prev = node;
    while (rep->right != nullptr) {
        prev = rep;
        rep = rep->right;
    }
    ● node->val = rep->val;
    remove_1(rep, prev);
}
```



# Deleting a Node With 0 or 1 Children

```
template<class T>
void BST<T>::remove_2(Node<T>* node) {
    Node<T>* rep = node->left;
    Node<T>* prev = node;
    while (rep->right != nullptr) {
        prev = rep;
        rep = rep->right;
    }

    node->val = rep->val;
    ● remove_1(rep, prev);
}
```



# Deleting a Node

```
template<class T>
bool BST<T>::remove(const T& val) {
    Node<T>* prev = nullptr;
    Node<T>* curr = root;

    while (curr != nullptr && curr->val != val) {
        prev = curr;
        if (val > curr->val)
            curr = curr->right;
        else
            curr = curr->left;
    }

    if (curr == nullptr)
        return false;

    if (curr->left != nullptr && curr->right != nullptr)
        remove_2(curr);
    else
        remove_1(curr, prev);

    return true;
}
```

finds the node with the given val and deletes it.



# Deleting a Node

```
template<class T>
bool BST<T>::remove(const T& val) {
    Node<T>* prev = nullptr;
    Node<T>* curr = root;

    while (curr != nullptr && curr->val != val) {
        prev = curr;
        if (val > curr->val)
            curr = curr->right;
        else
            curr = curr->left;
    }

    if (curr == nullptr)
        return false;

    if (curr->left != nullptr && curr->right != nullptr)
        remove_2(curr);
    else
        remove_1(curr, prev);

    return true;
}
```

search for the node  
with the given val

# Deleting a Node

```
template<class T>
bool BST<T>::remove(const T& val) {
    Node<T>* prev = nullptr;
    Node<T>* curr = root;

    while (curr != nullptr && curr->val != val) {
        prev = curr;
        if (val > curr->val)
            curr = curr->right;
        else
            curr = curr->left;
    }

    if (curr == nullptr)
        return false;

    if (curr->left != nullptr && curr->right != nullptr)
        remove_2(curr);
    else
        remove_1(curr, prev);

    return true;
}
```

no node in the tree  
contains val

# Deleting a Node

```
template<class T>
bool BST<T>::remove(const T& val) {
    Node<T>* prev = nullptr;
    Node<T>* curr = root;

    while (curr != nullptr && curr->val != val) {
        prev = curr;
        if (val > curr->val)
            curr = curr->right;
        else
            curr = curr->left;
    }

    if (curr == nullptr)
        return false;

    if (curr->left != nullptr && curr->right != nullptr)
        remove_2(curr);
    else
        remove_1(curr, prev);

    return true;
}
```

— handle the case of 0/1 children and the case of 2 children separately

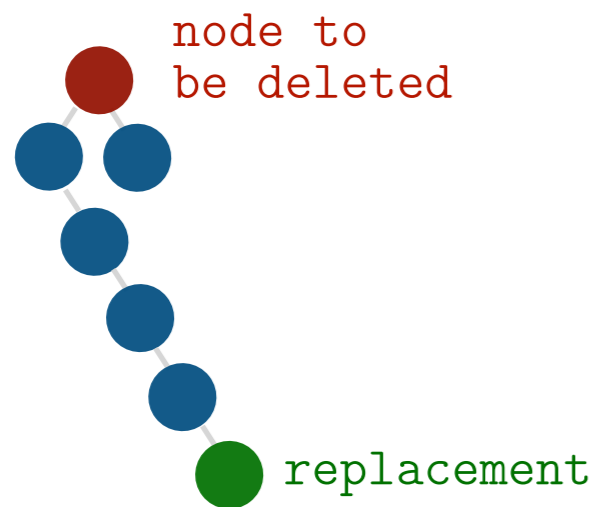
# Running Time of Deleting a Node

**Best Case:**

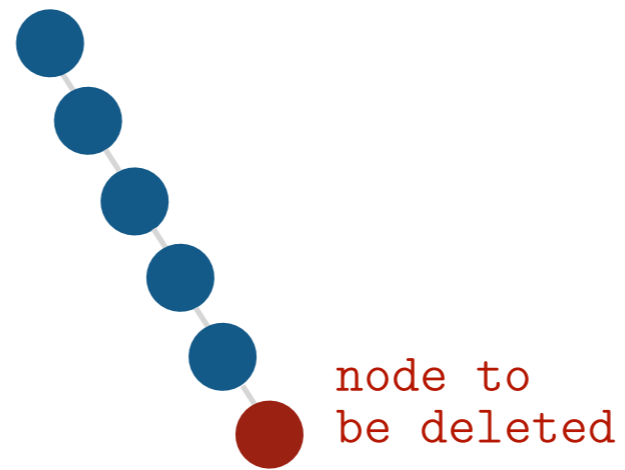
$O(1)$  to *find* the node to be deleted +  $O(1)$  to *delete* =  $O(1)$

**Worst Case:**

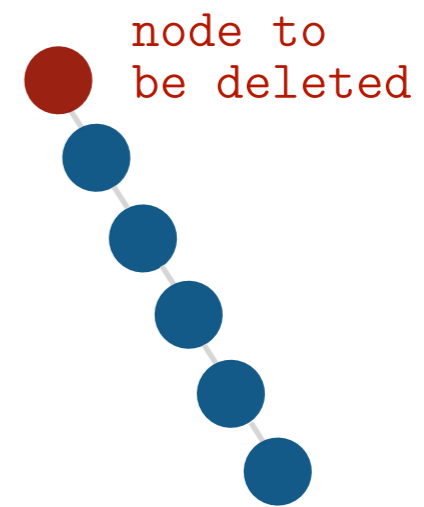
$O(h)$  to *find* the node to be deleted or  
 $O(h)$  to *delete* or both.



Example of a worst case



Example of a worst case



Example of a best case

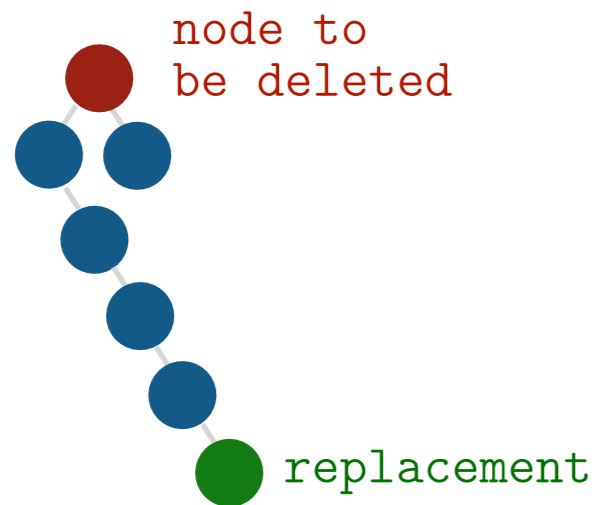
# Running Time of Deleting a Node

## Best Case:

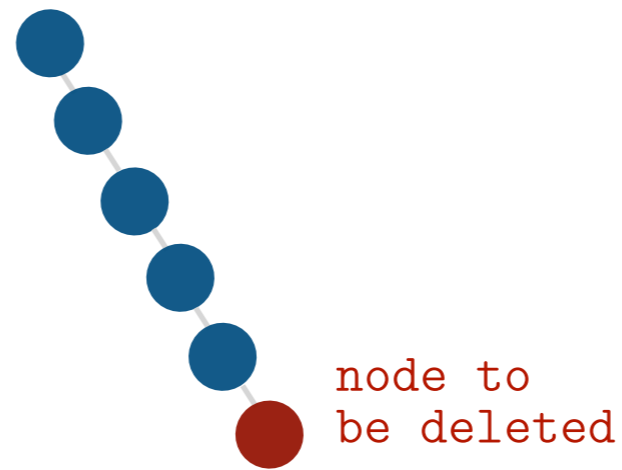
$O(1)$  to *find* the node to be deleted +  $O(1)$  to *delete* =  $O(1)$

## Worst Case:

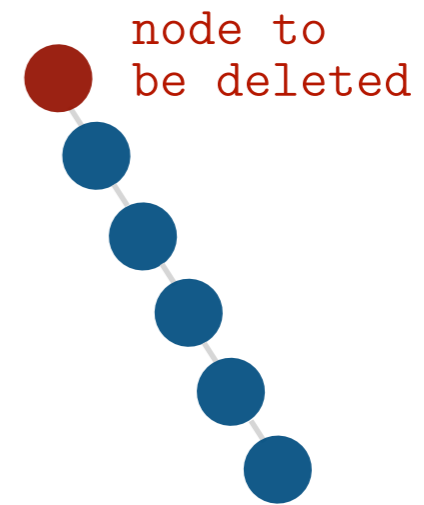
$O(h)$  to *find* the node to be deleted or  
 $O(h)$  to *delete* or both.



Example of a worst case



Example of a worst case



Example of a best case

Note that this best case does not happen if the tree is balanced.

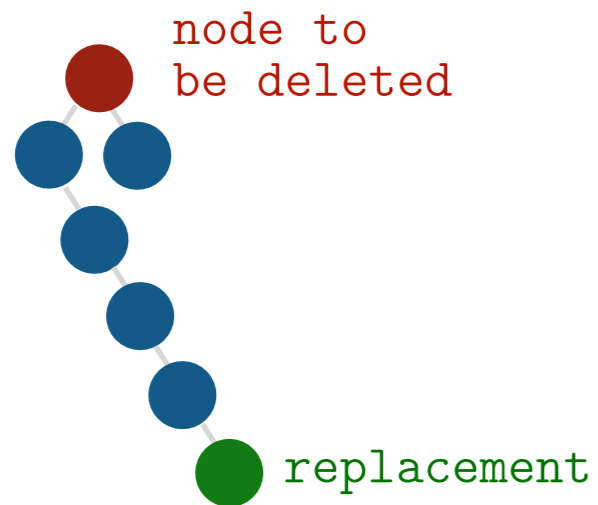
# Running Time of Deleting a Node

## Best Case:

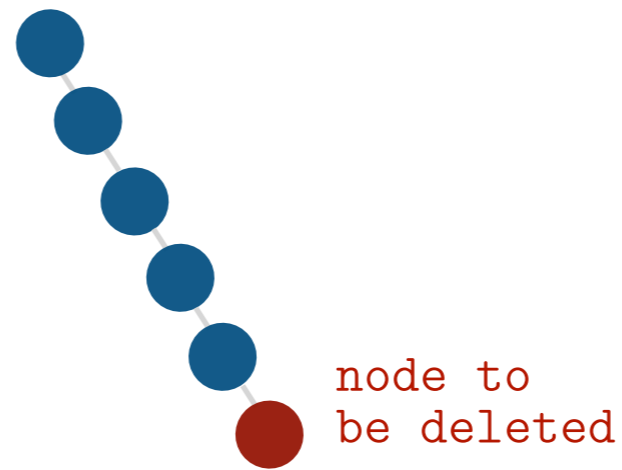
$O(1)$  to *find* the node to be deleted +  $O(1)$  to *delete* =  $O(1)$

## Worst Case:

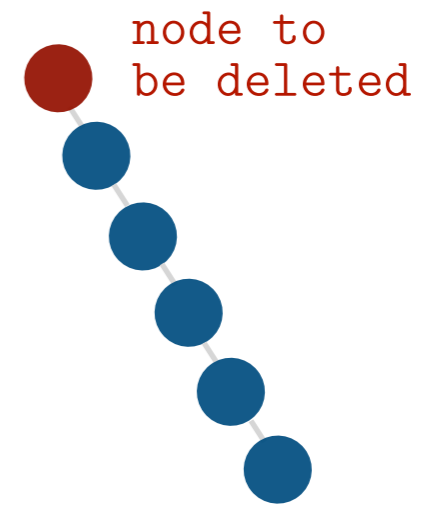
$O(h)$  to *find* the node to be deleted or  
 $O(h)$  to *delete* or both.



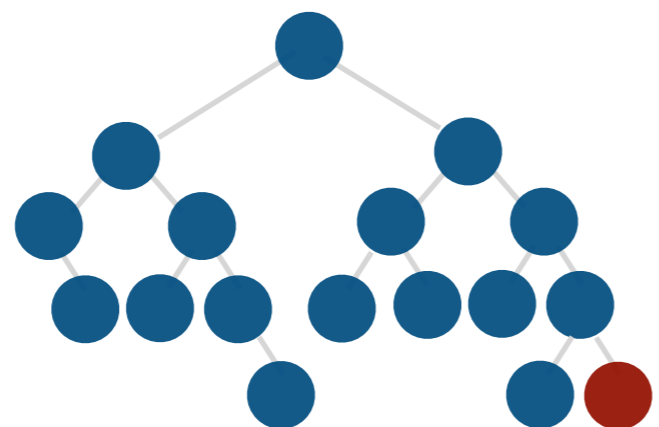
Example of a worst case



Example of a worst case



Example of a best case



Note that this best case does not happen if the tree is balanced.

If the tree is balanced:  
if  $O(h)$  to search, then  $O(1)$  to delete

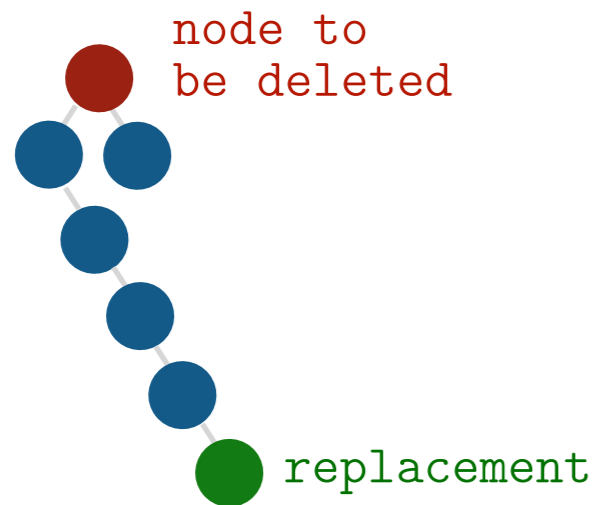
# Running Time of Deleting a Node

## Best Case:

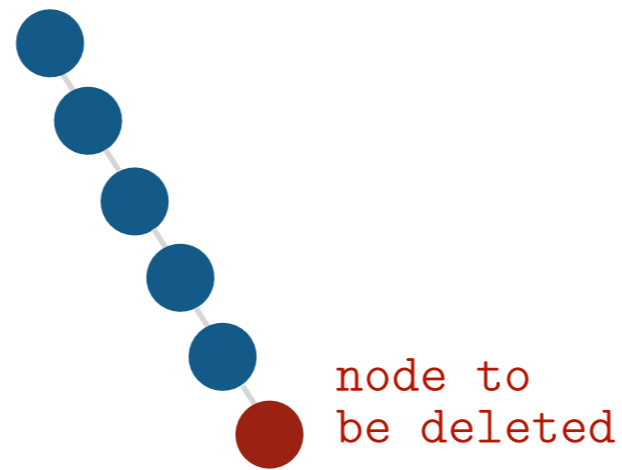
$O(1)$  to *find* the node to be deleted +  $O(1)$  to *delete* =  $O(1)$

## Worst Case:

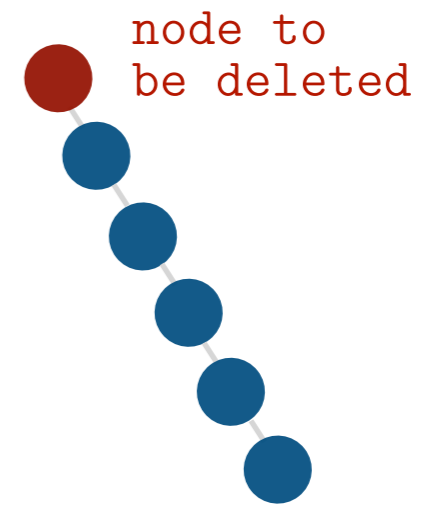
$O(h)$  to *find* the node to be deleted or  
 $O(h)$  to *delete* or both.



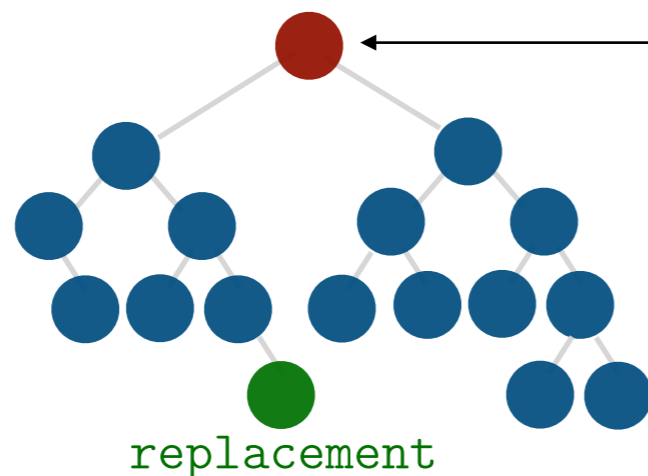
Example of a worst case



Example of a worst case



Example of a best case



Note that this best case does not happen if the tree is balanced.

If the tree is balanced:  
if  $O(h)$  to search, then  $O(1)$  to delete  
if  $O(1)$  to search, then  $O(h)$  to delete



## Tree Data Structures

Definitions and properties

Basic operations

- **Balanced binary search trees**

Tree traversals



# Balanced Binary Search Trees

**Problem.** Given  $n$  elements, how can we construct a balanced BST?

# Balanced Binary Search Trees

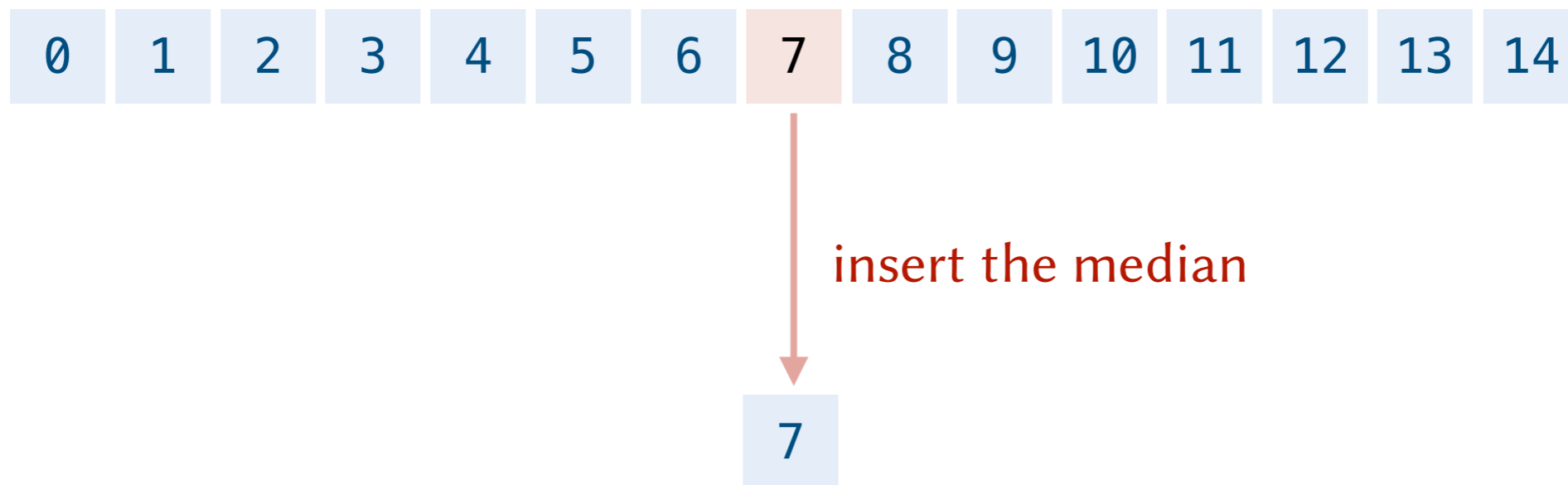
**Problem.** Given  $n$  elements, how can we construct a balanced BST?

**Solution 1.** Sort the elements, and insert the median, then recursively do the same in the left and right halves.

# Balanced Binary Search Trees

**Problem.** Given  $n$  elements, how can we construct a balanced BST?

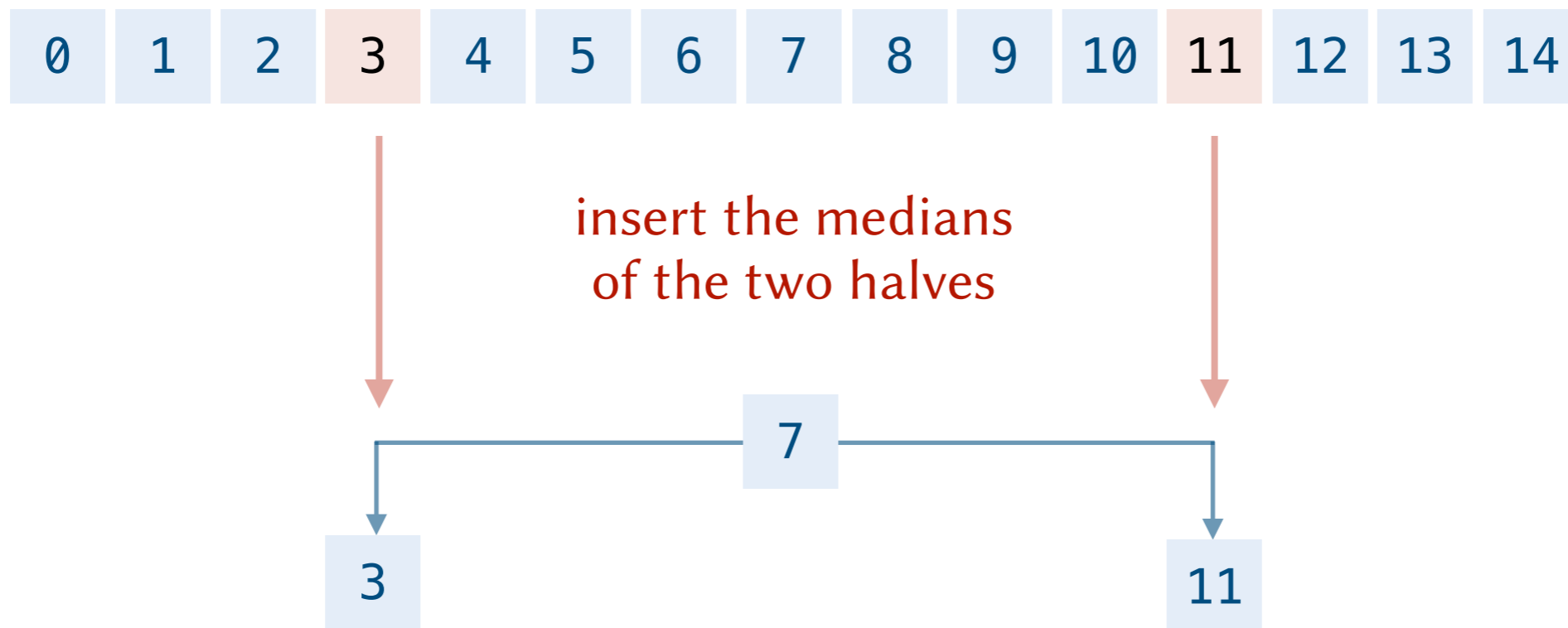
**Solution 1.** Sort the elements, and insert the median, then recursively do the same in the left and right halves.



# Balanced Binary Search Trees

**Problem.** Given  $n$  elements, how can we construct a balanced BST?

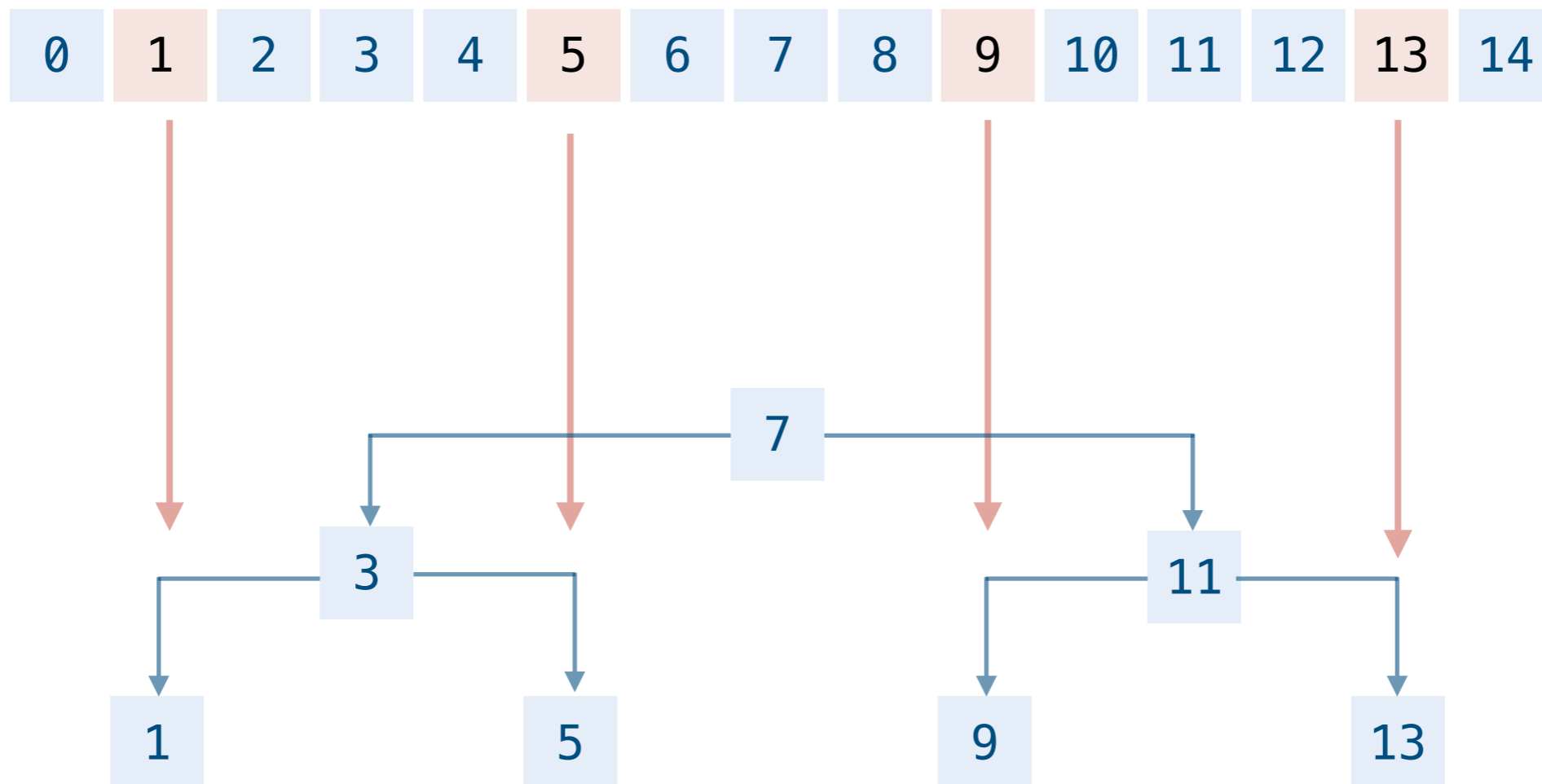
**Solution 1.** Sort the elements, and insert the median, then recursively do the same in the left and right halves.



# Balanced Binary Search Trees

**Problem.** Given  $n$  elements, how can we construct a balanced BST?

**Solution 1.** Sort the elements, and insert the median, then recursively do the same in the left and right halves.



etc.

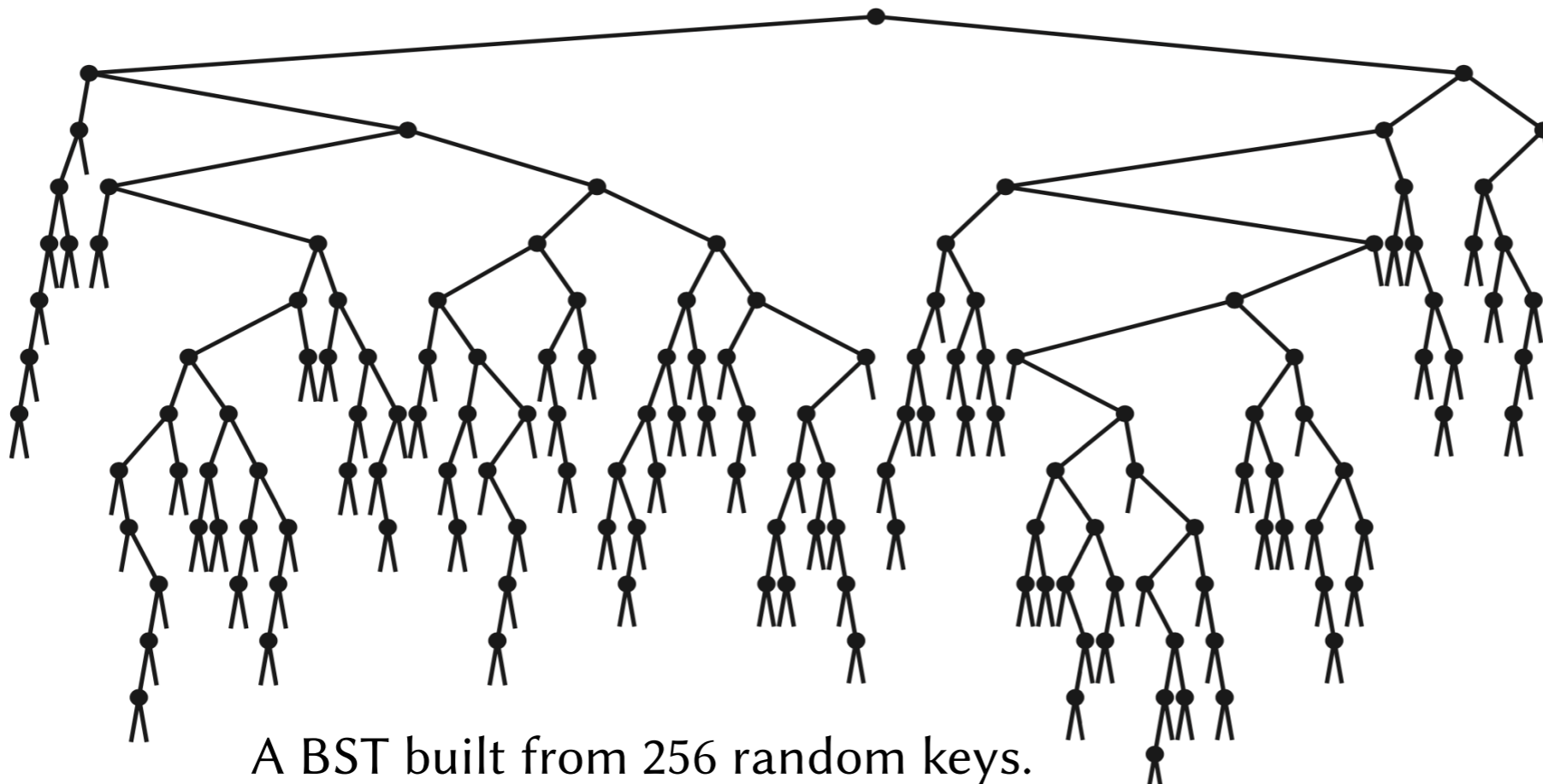
See the exercises for the code

# Balanced Binary Search Trees

**Problem.** Given  $n$  elements, how can we construct a balanced BST?

**Solution 1.** Sort the elements, and insert the median, then recursively do the same in the left and right halves.

**Solution 2.** Shuffle the elements randomly and then insert them!  
The expected height of the tree is  $O(\log n)$



A BST built from 256 random keys.  
(image by Sedgwick and Wayne)

# Balanced Binary Search Trees

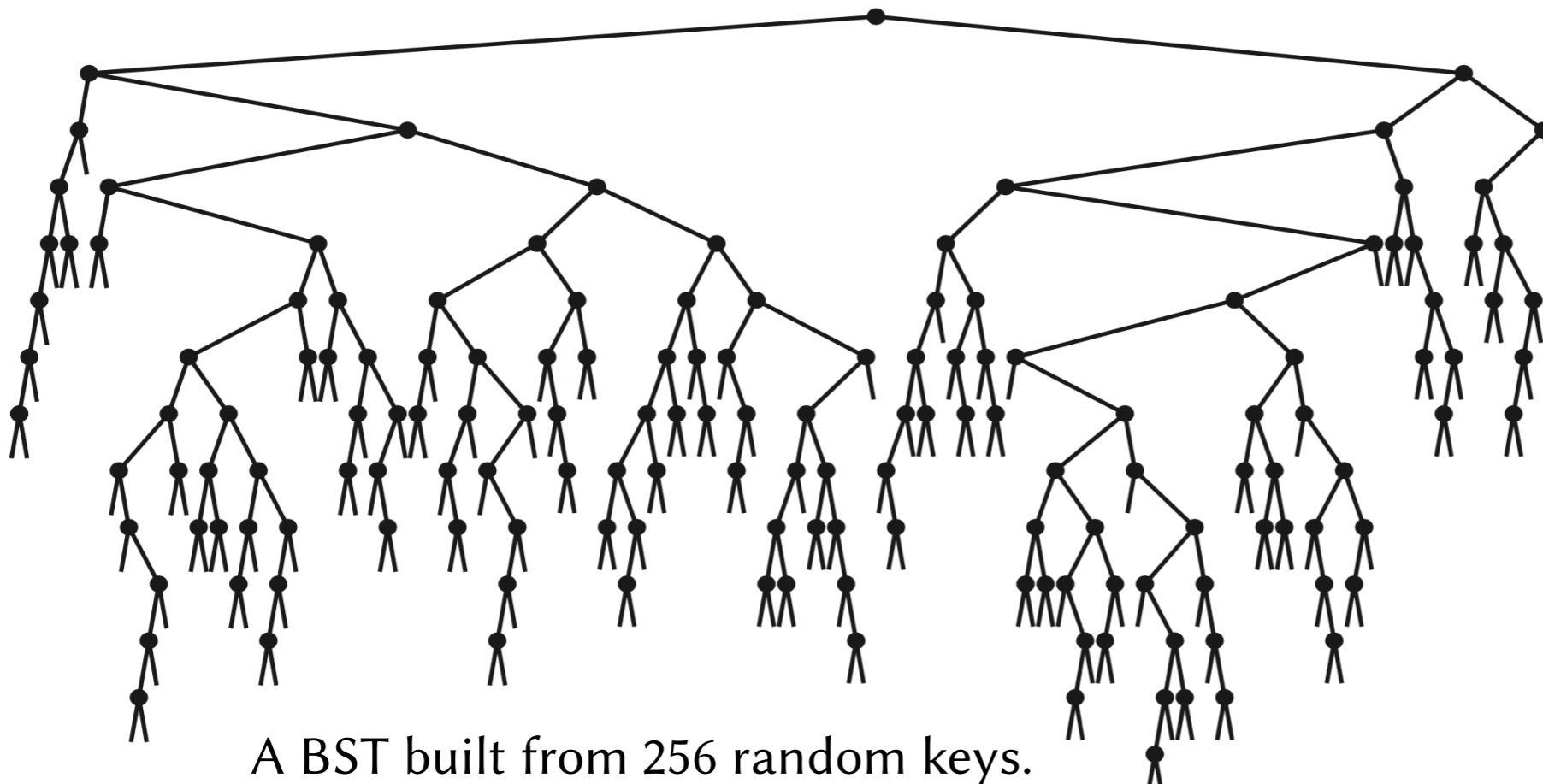
**Problem.** Given  $n$  elements, how can we construct a balanced BST?

**Solution 1.** Sort the elements, and insert the median, then recursively do the same in the left and right halves.

**Solution 2.** Shuffle the elements randomly and then insert them!

The **expected height** of the tree is  $O(\log n)$

**Math skipped!**  
See the Design & Analysis of Algorithms course!



A BST built from 256 random keys.  
(image by Sedgwick and Wayne)

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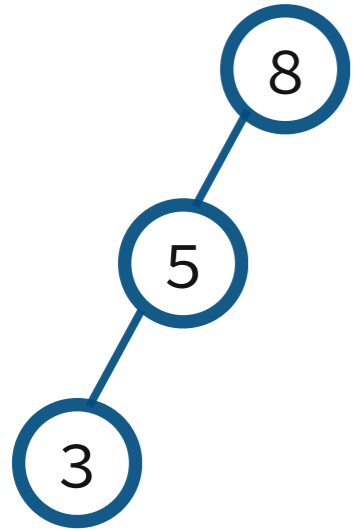


Waste of Time!

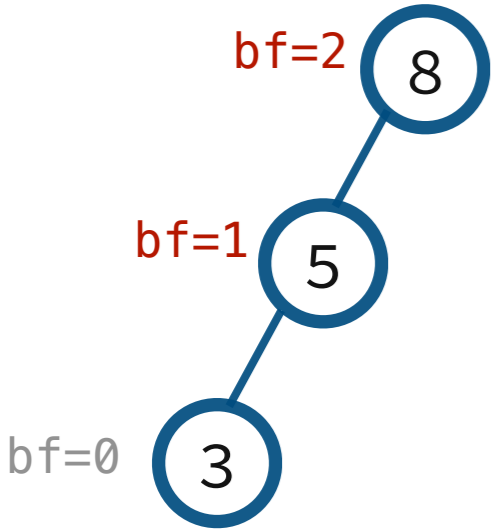
**Solution 2.** Rebalance only the affected part of the tree using rotations!



# Self-Balancing BSTs: Tree Rotations

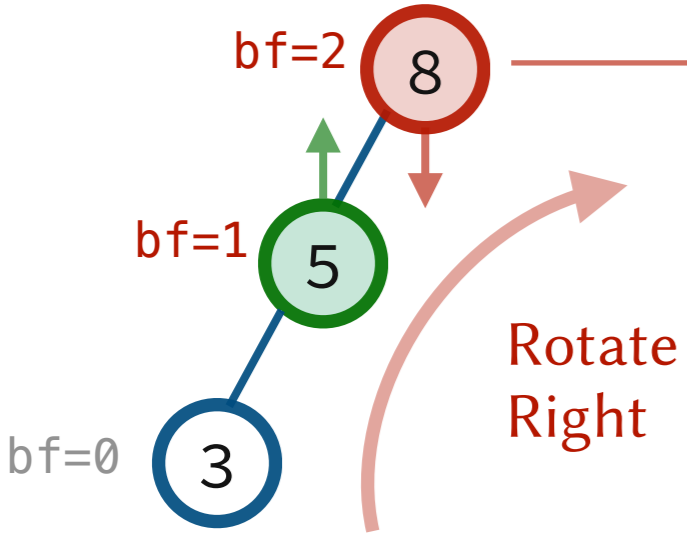


# Self-Balancing BSTs: Tree Rotations



**Not balanced!**  
Left heavy and left child is left heavy

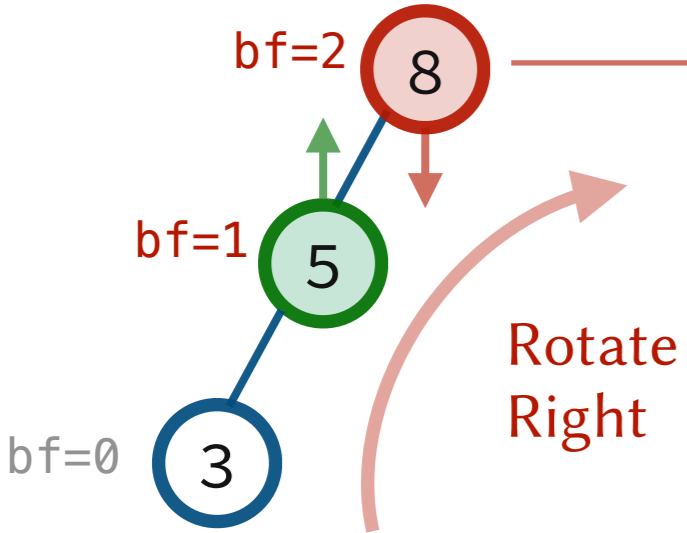
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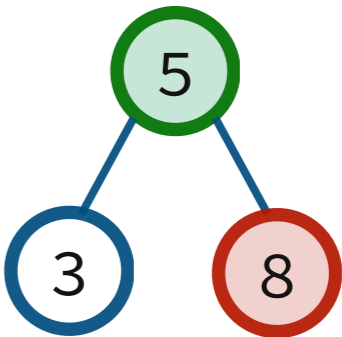
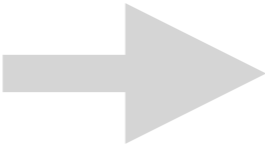
Not balanced!  
Left heavy and left  
child is left heavy

Rotate  
Right

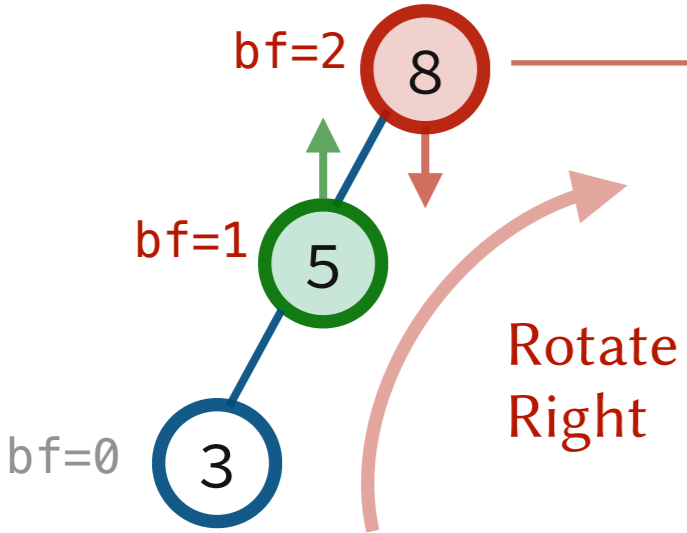
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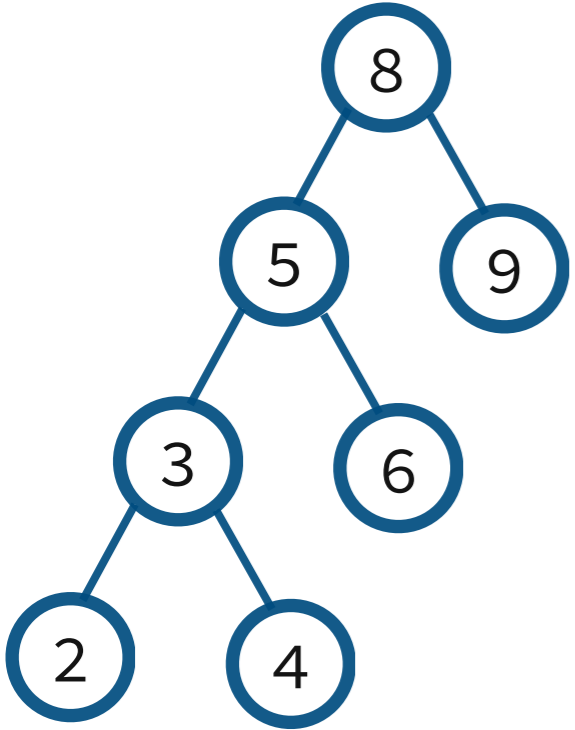
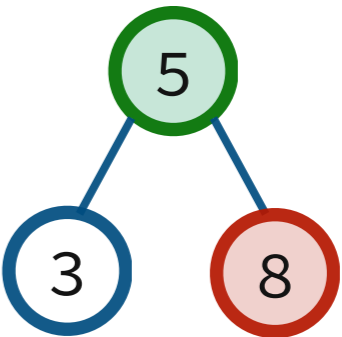
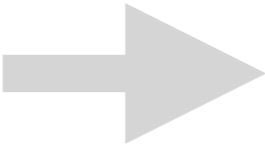
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Left heavy and left child is left heavy



# Self-Balancing BSTs: Tree Rotations

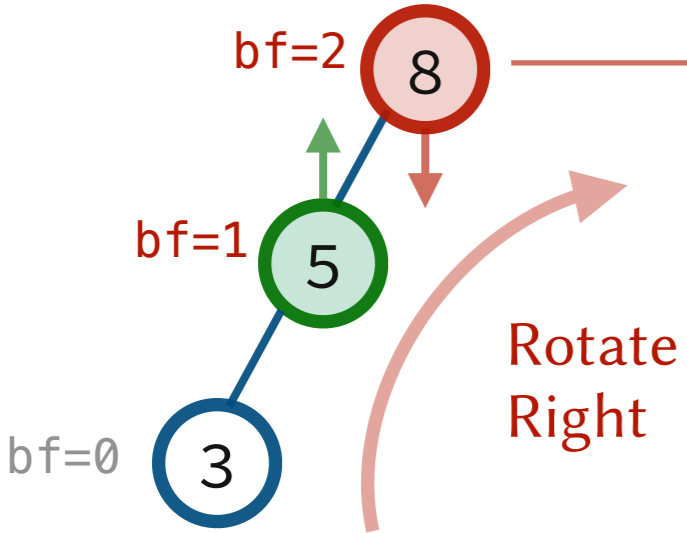


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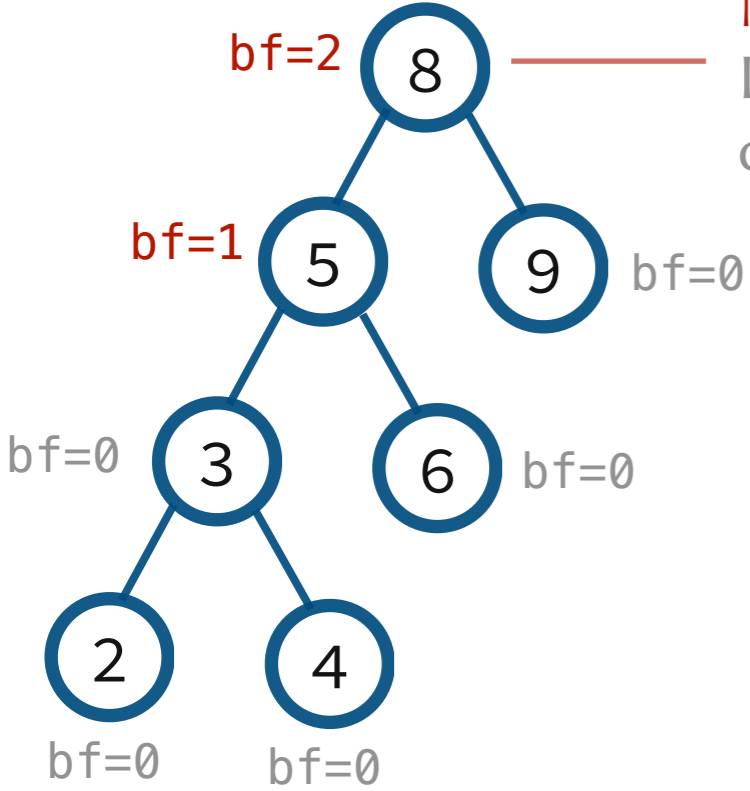
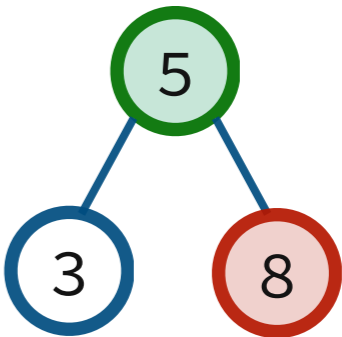
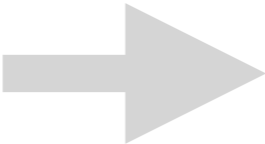




# Self-Balancing BSTs: Tree Rotations

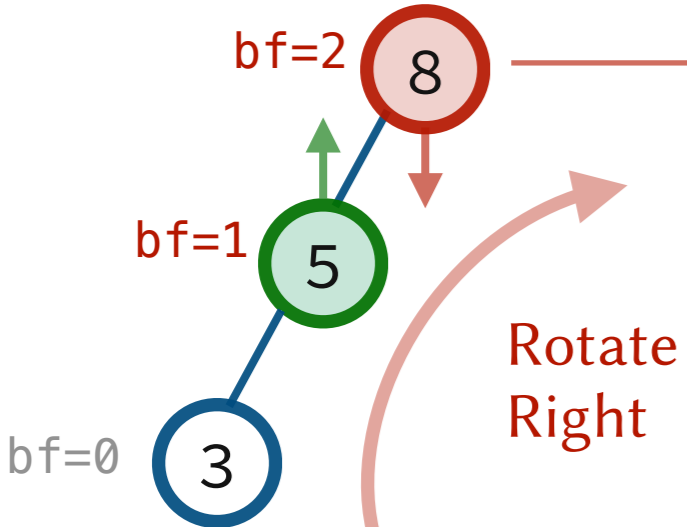


Not balanced!  
Left heavy and left child is left heavy

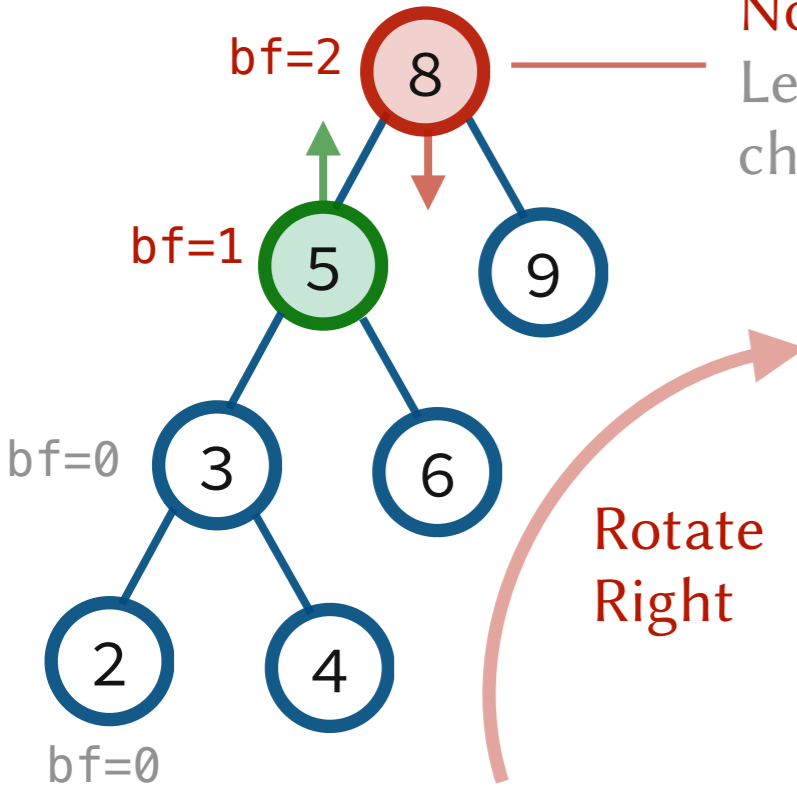
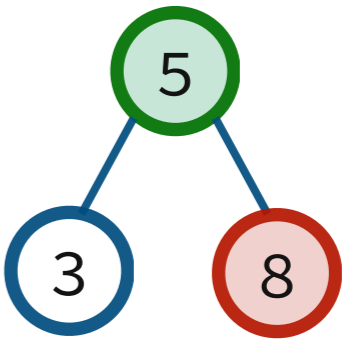
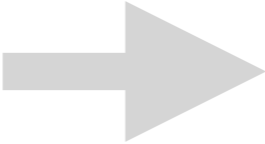


Not balanced!  
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# Self-Balancing BSTs: Tree Rotations

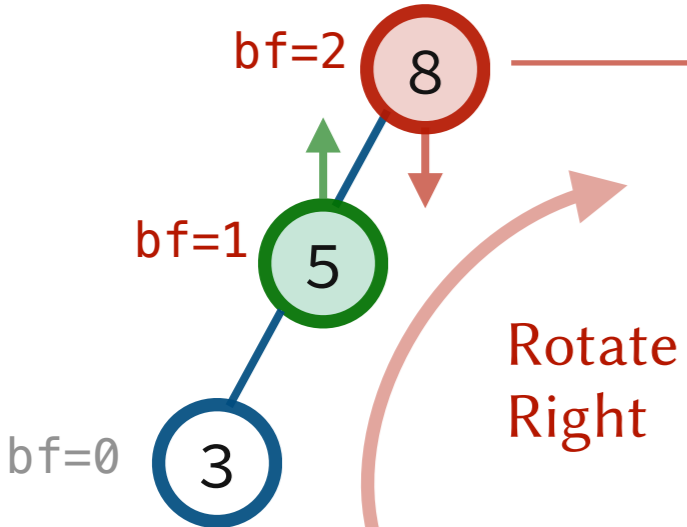


Not balanced!  
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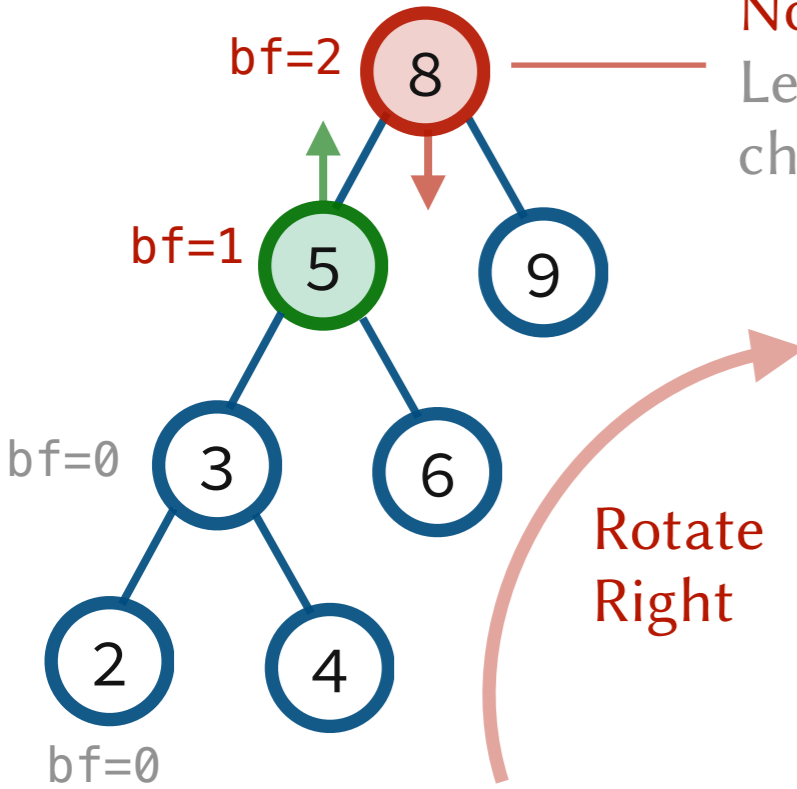
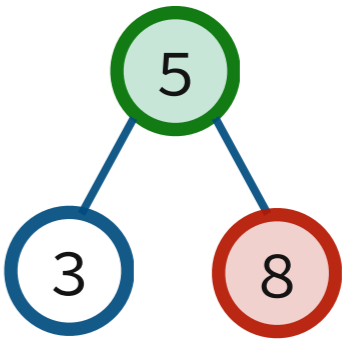
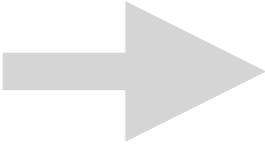


Not balanced!  
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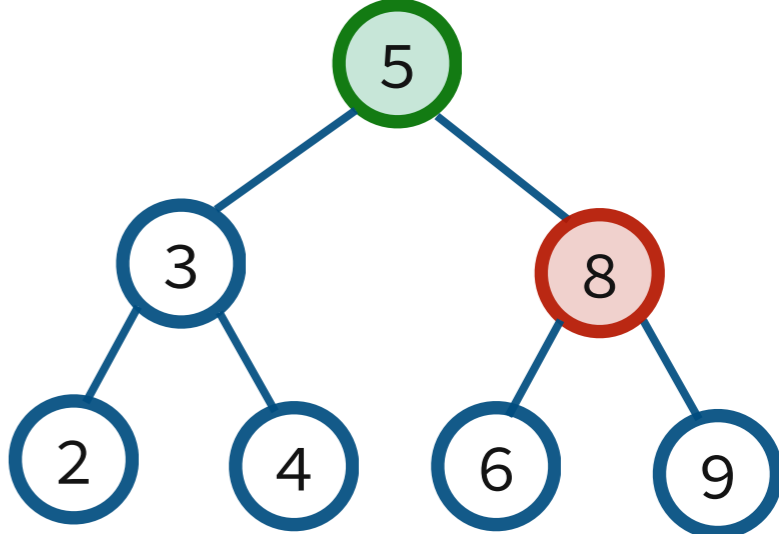
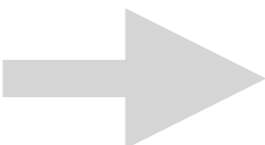
# Self-Balancing BSTs: Tree Rotations



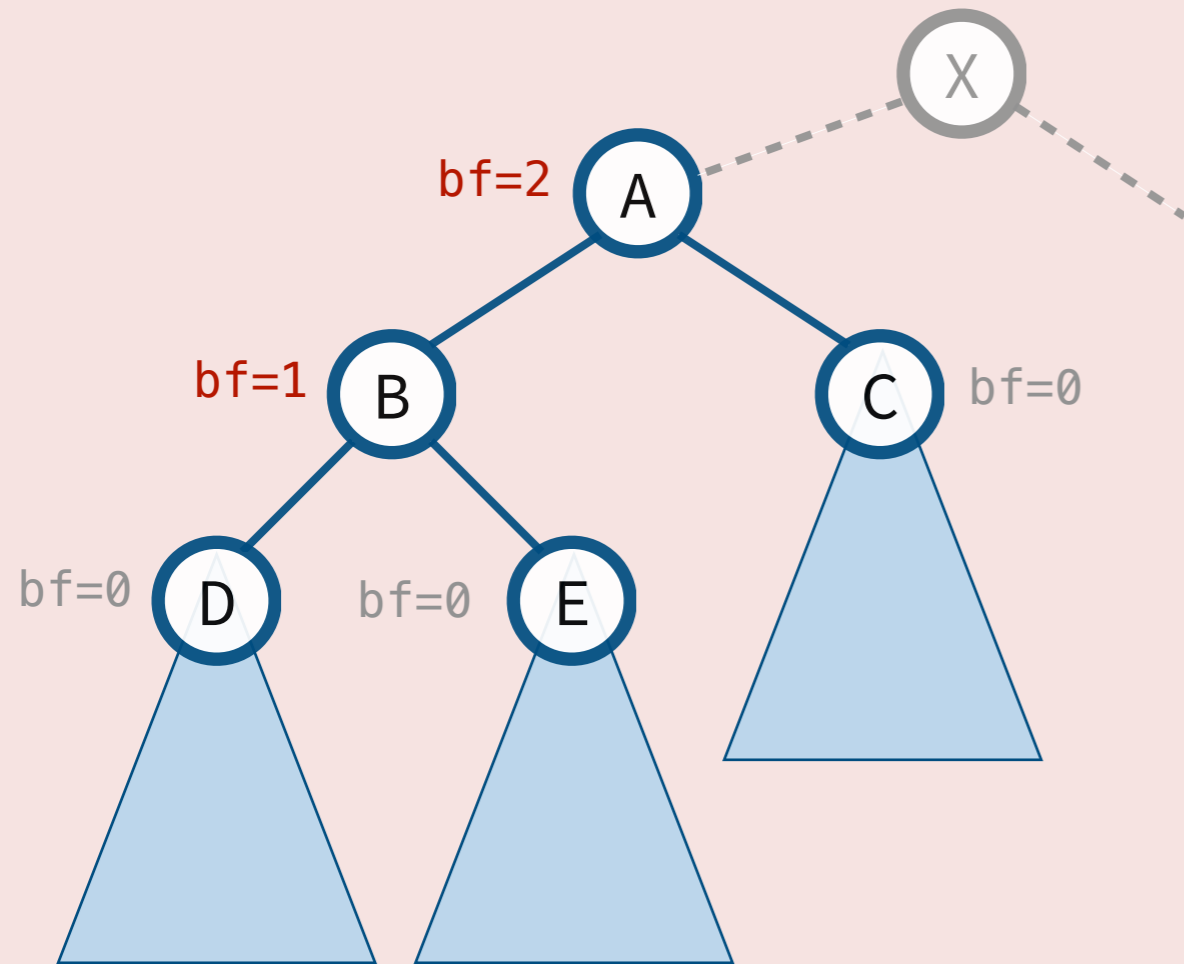
Not balanced!  
Left heavy and left child is left heavy



Not balanced!  
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# Exercise: Right Rotation

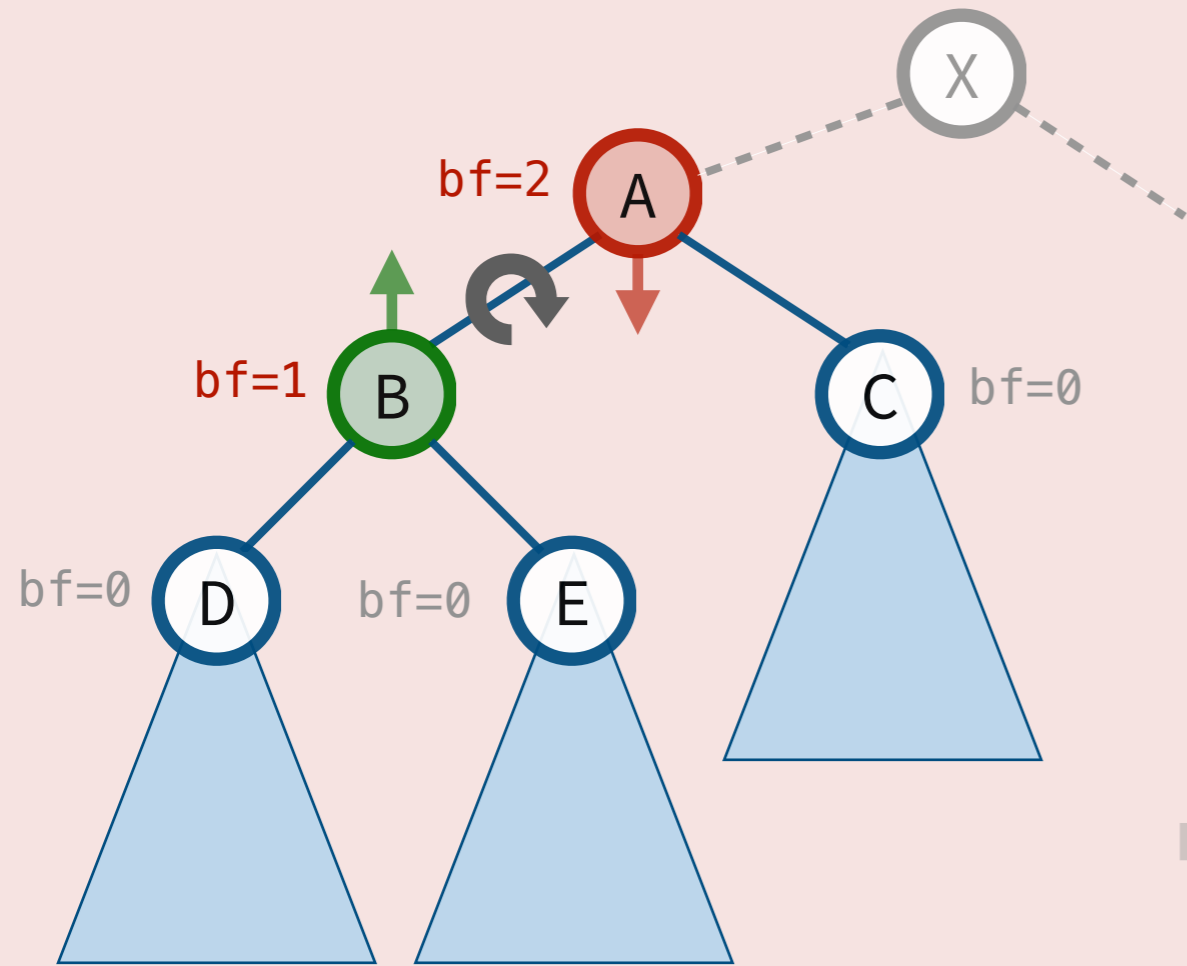


**Not balanced!**

Left heavy and left child is left heavy

**Task:** Perform a right rotation.

# Exercise: Right Rotation

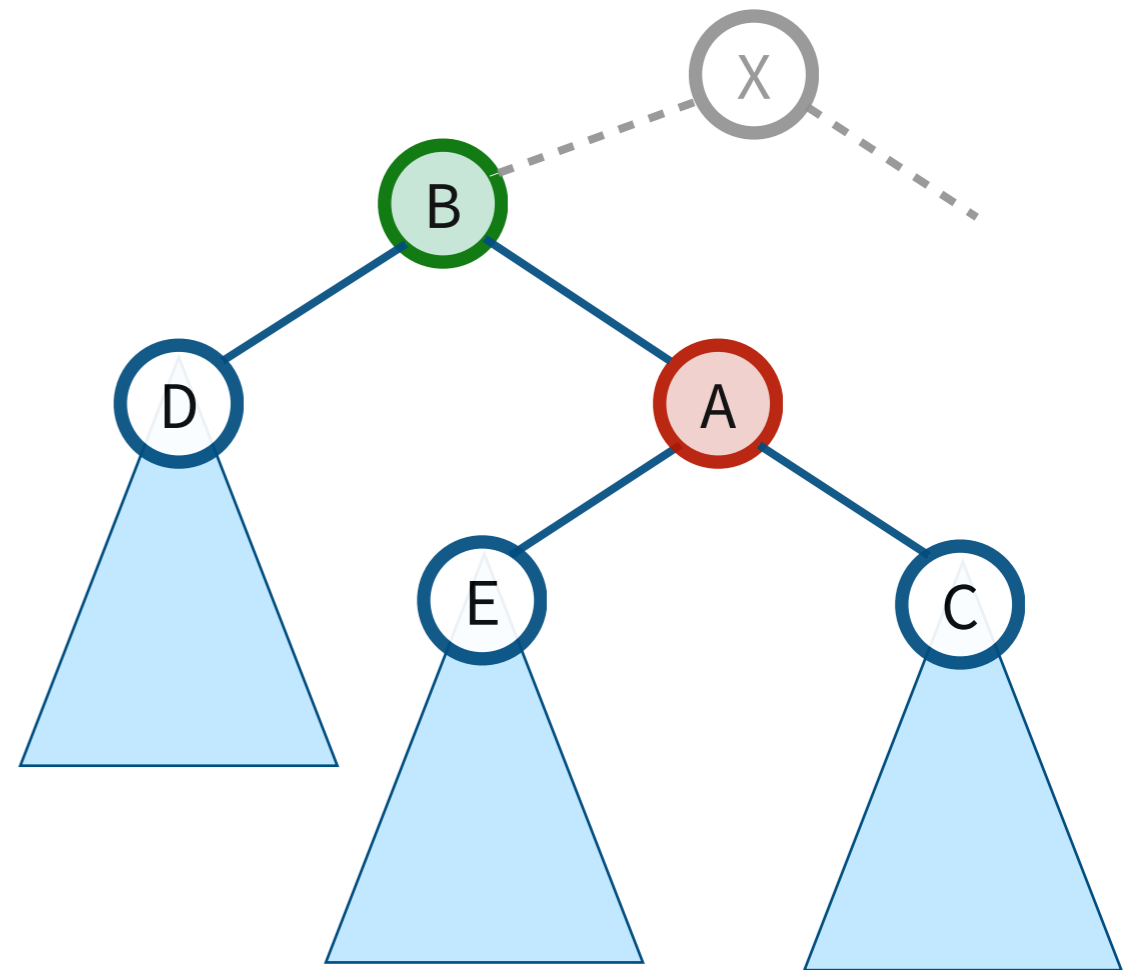


**Not balanced!**

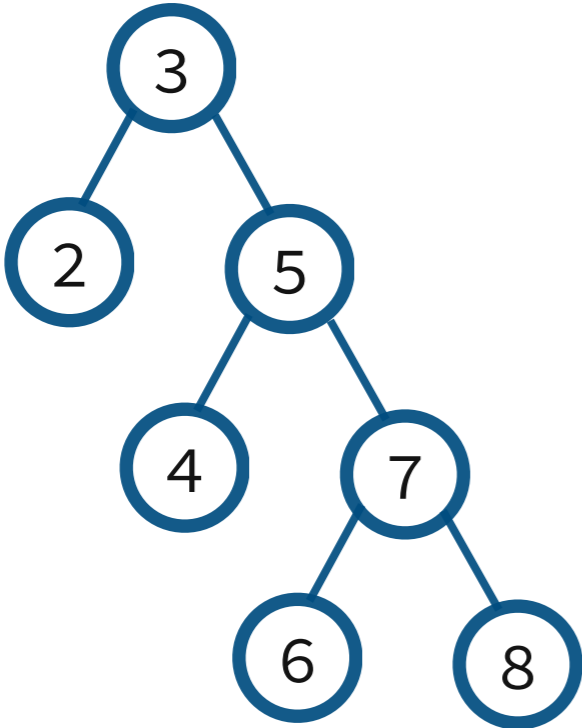
Left heavy and left child is left heavy

**Task:** Perform a right rotation.

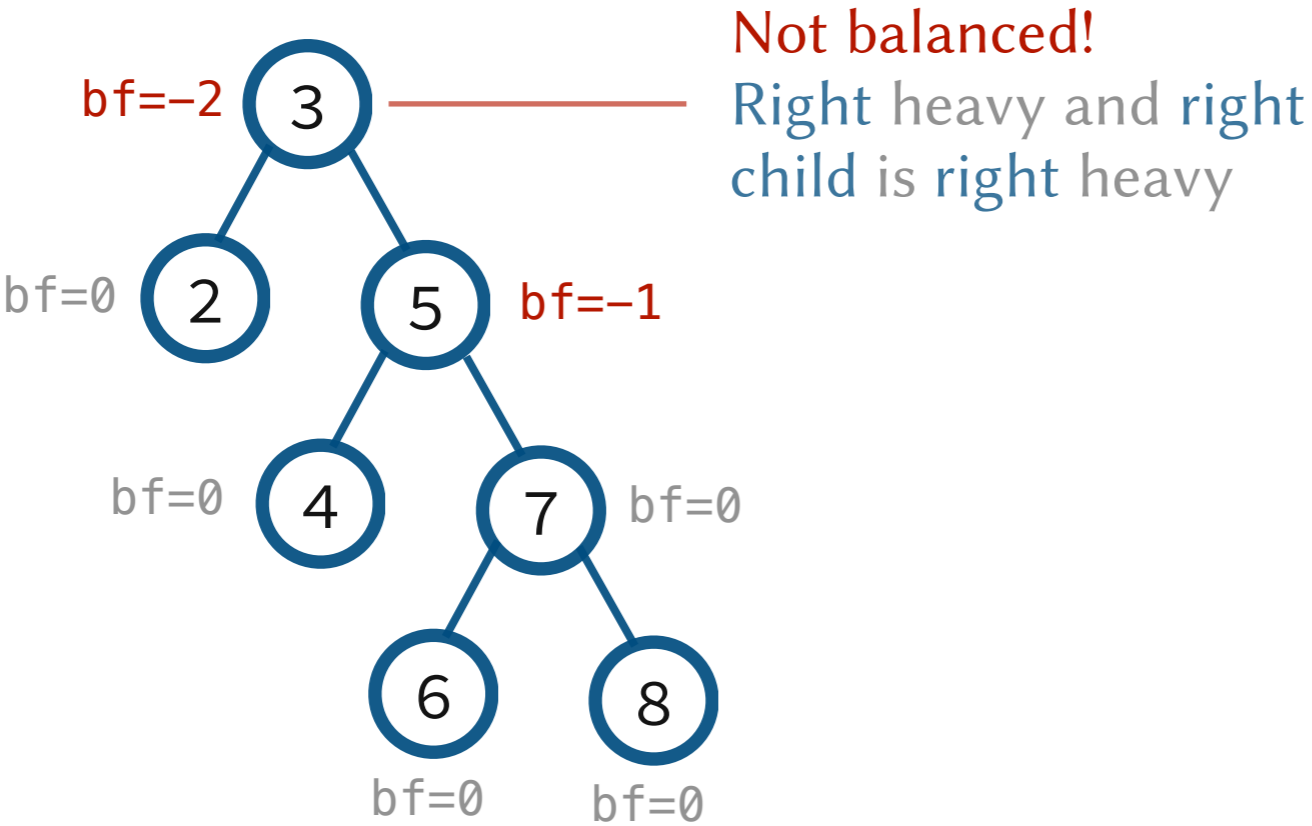
```
X->left = B;  
A->left = B->right;  
B->right = A;
```



# Self-Balancing BSTs: Left Rotations



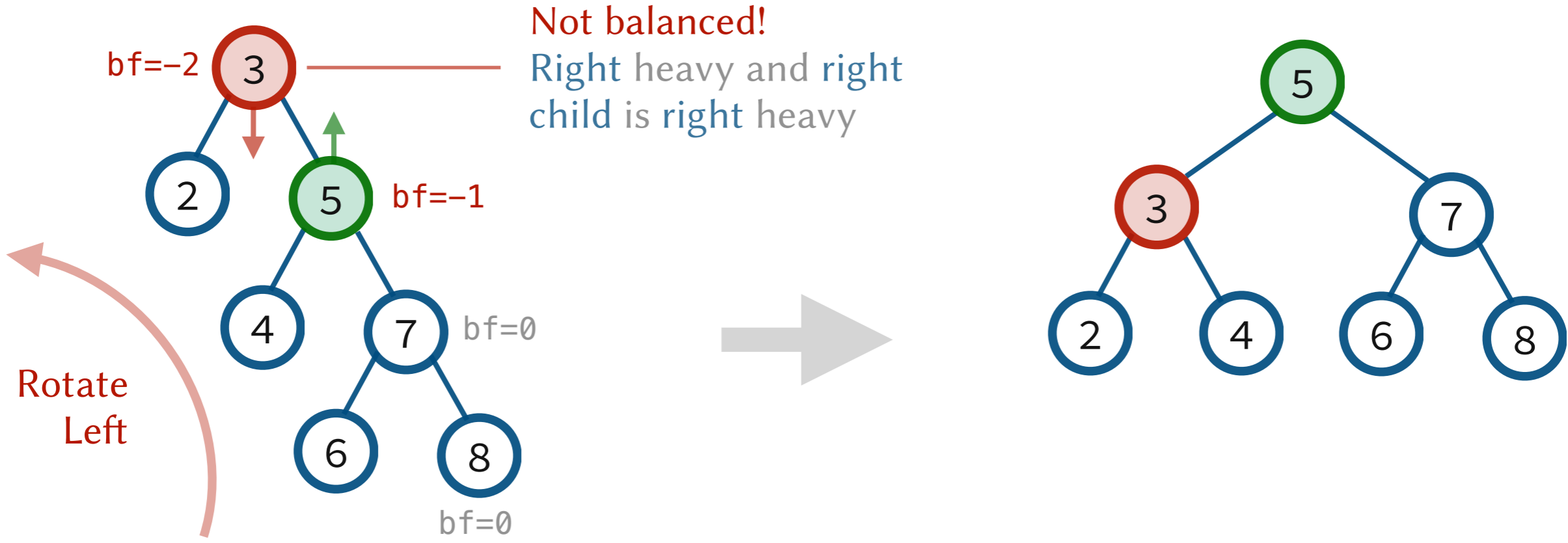
# Self-Balancing BSTs: Left Rotations



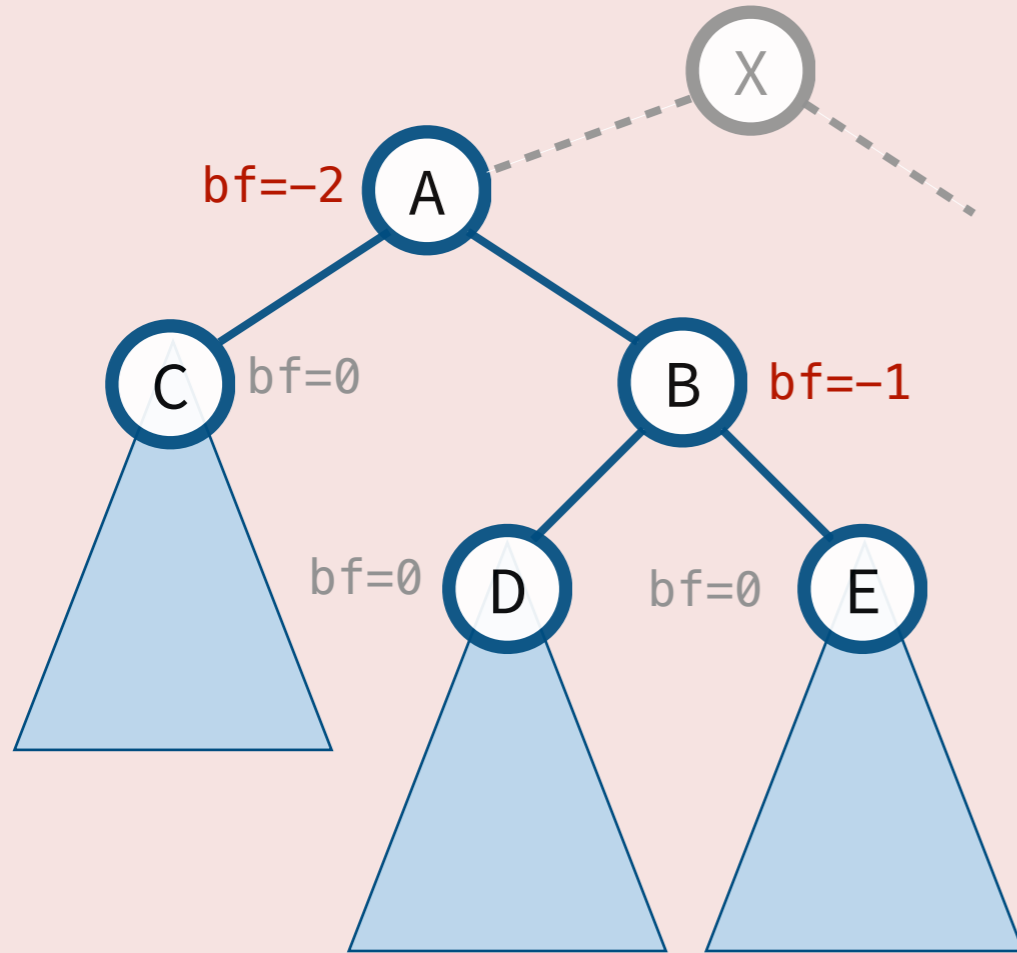




# Self-Balancing BSTs: Left Rotations



# Exercise: Left Rotation

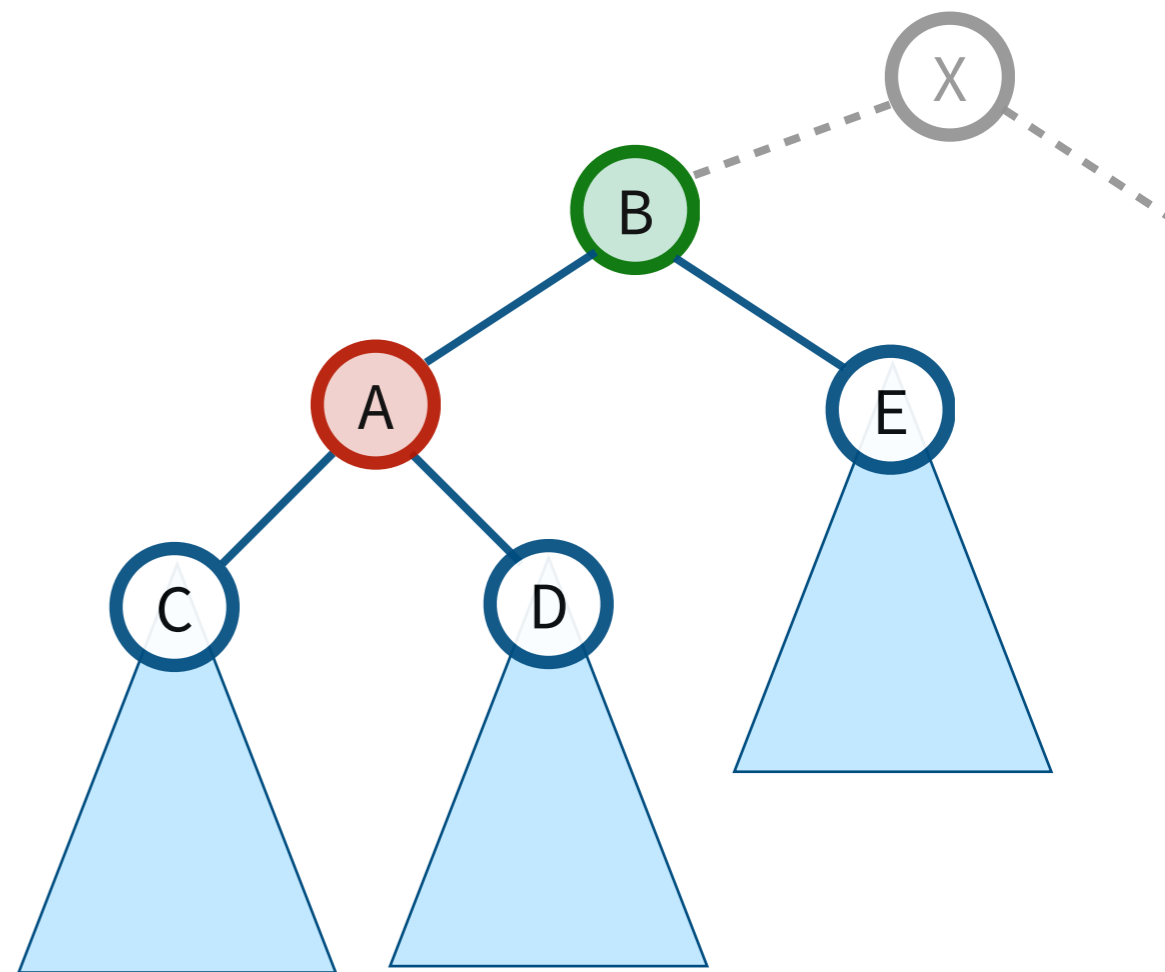
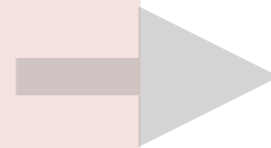
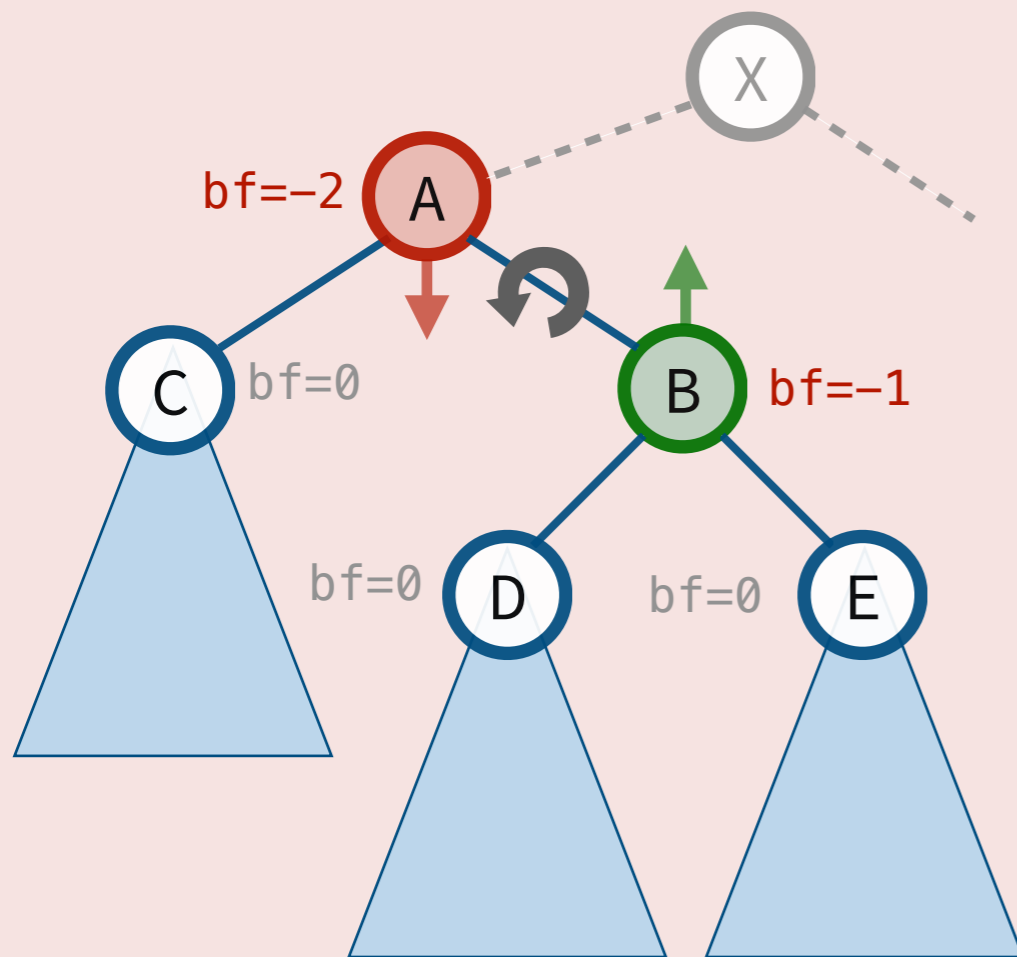


**Not balanced!**

Right heavy and right child is right heavy

**Task:** Perform a left rotation.

# Exercise: Left Rotation



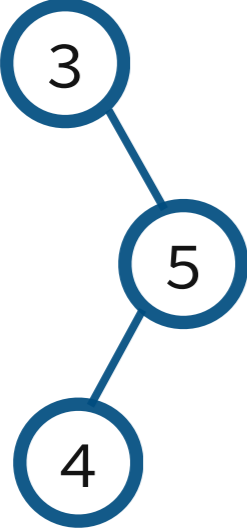
**Not balanced!**

Right heavy and right child is right heavy

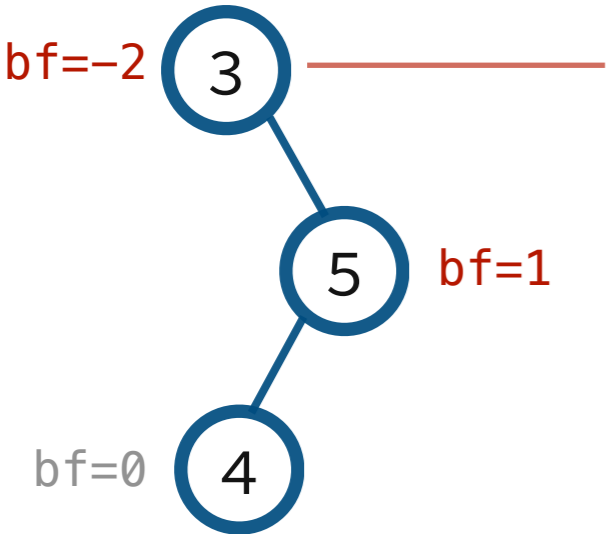
**Task:** Perform a left rotation.

```
X->left = B;  
A->right = B->left;  
B->left = A;
```

# Self-Balancing BSTs: Double Rotations

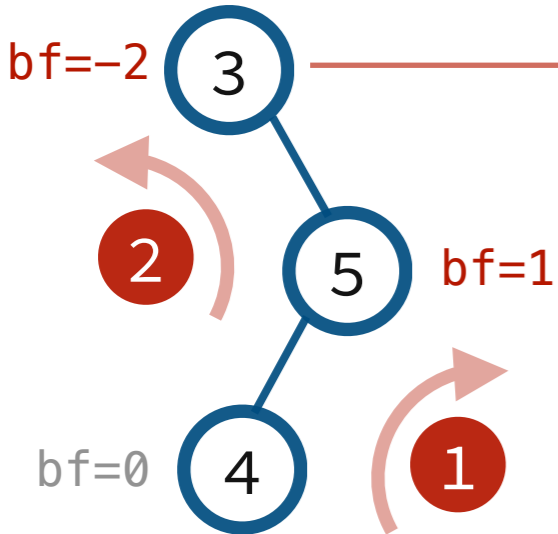


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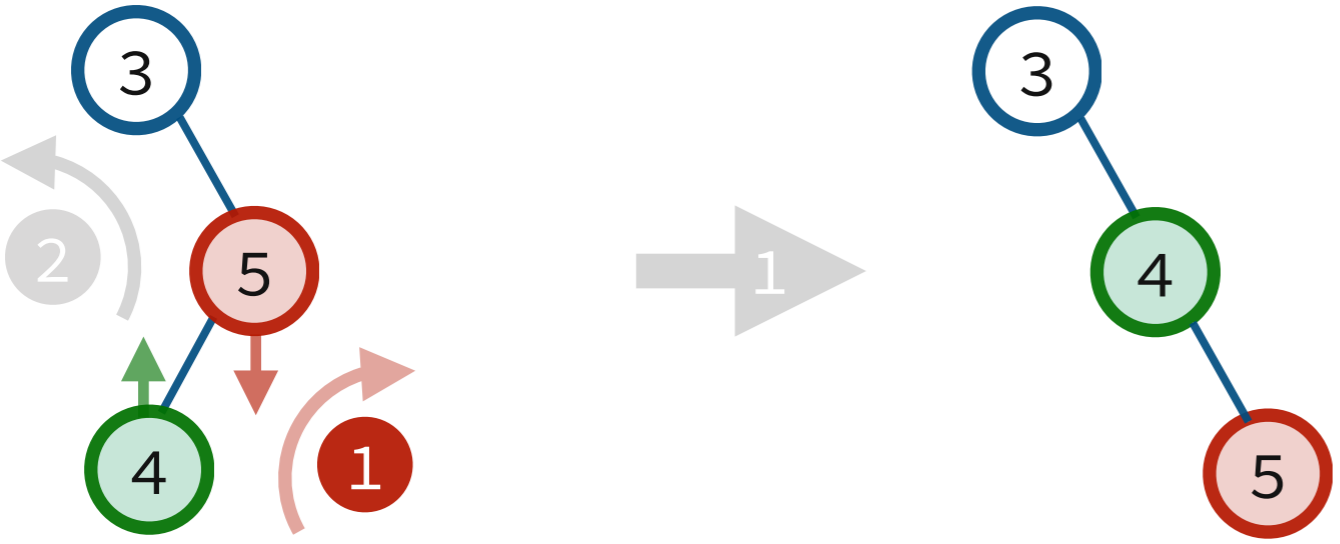
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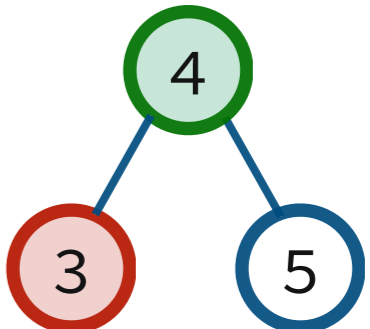
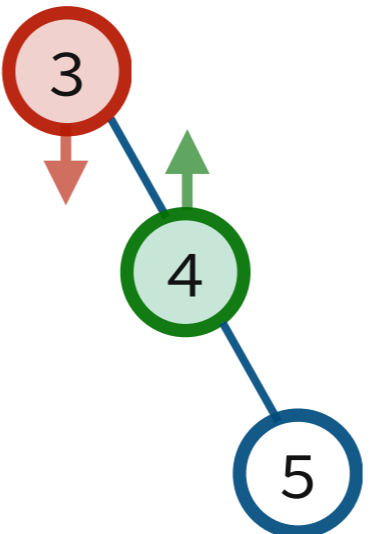
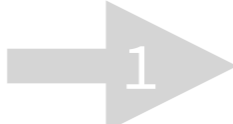
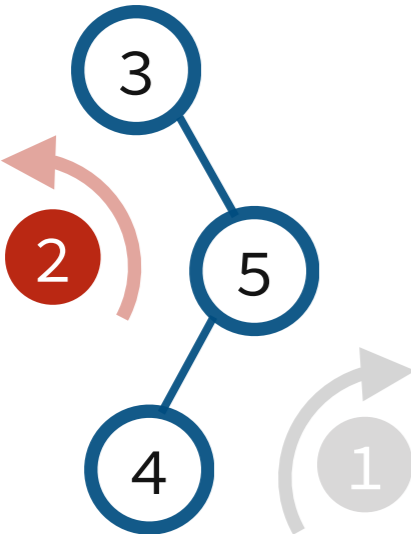


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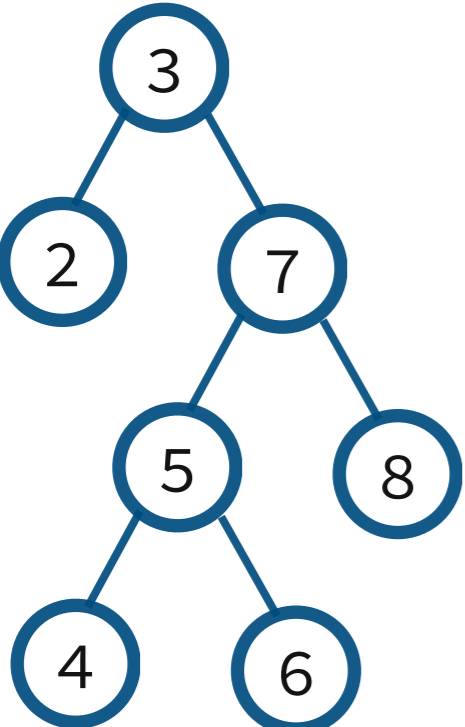
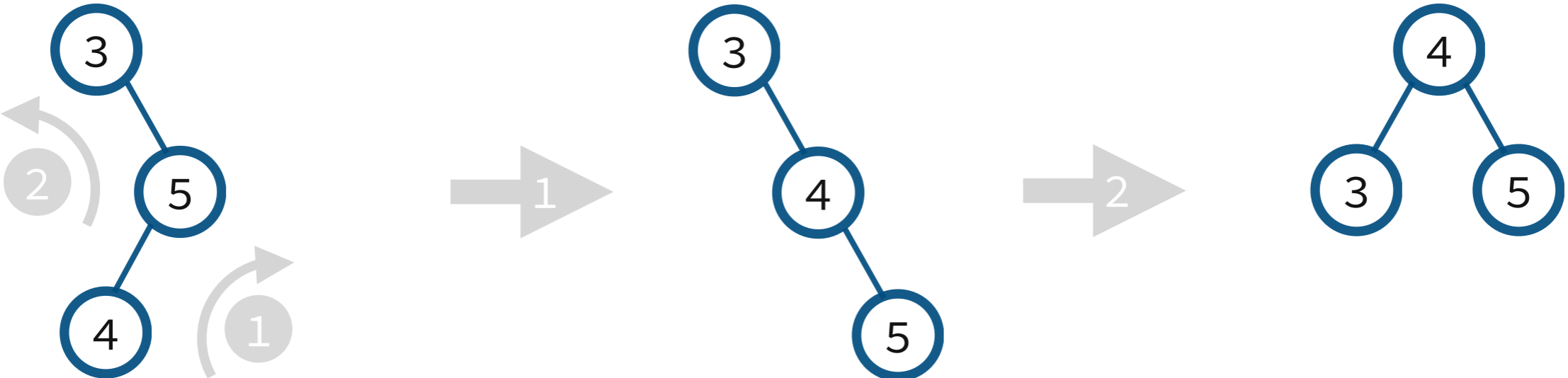


# Self-Balancing BSTs: Double Rotations

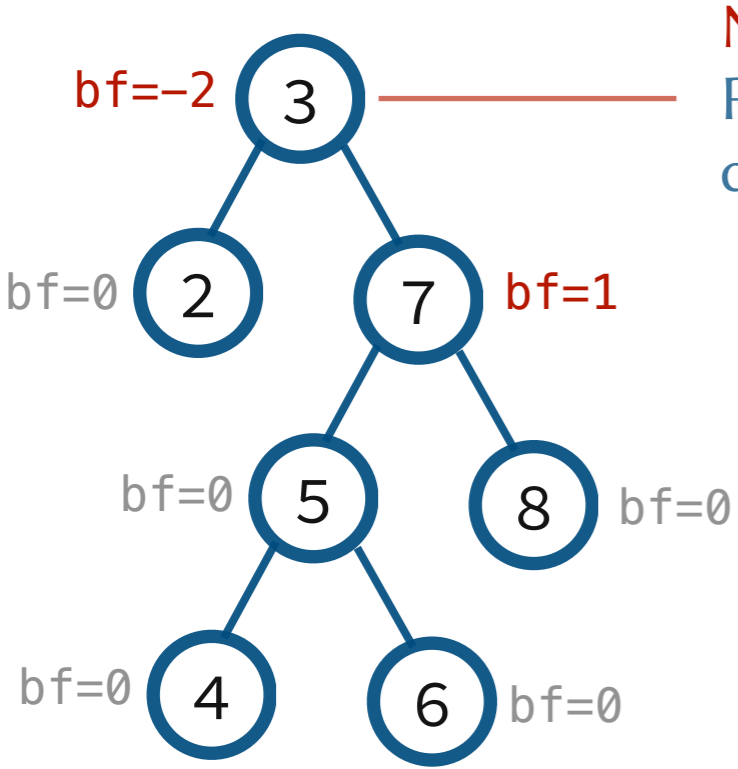
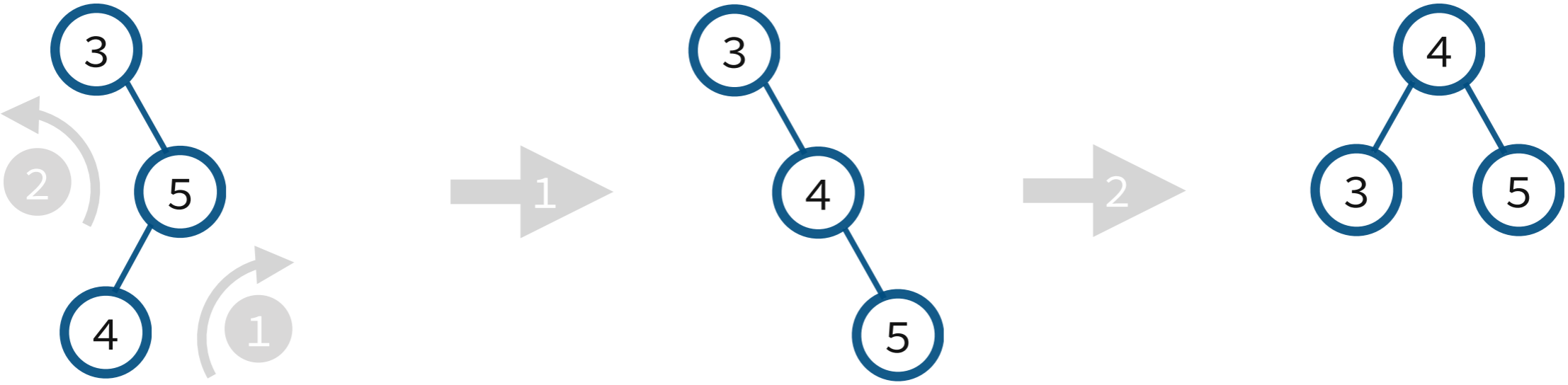




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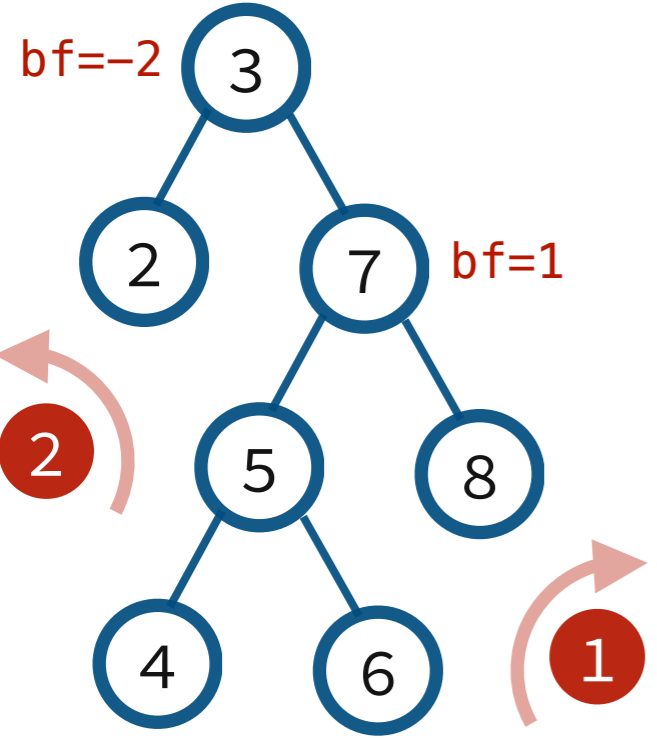
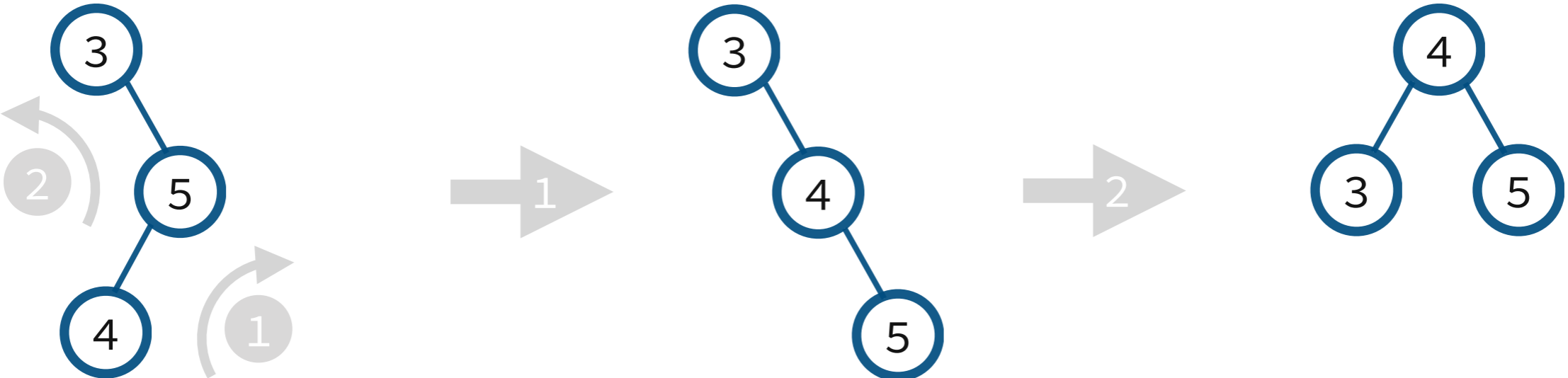


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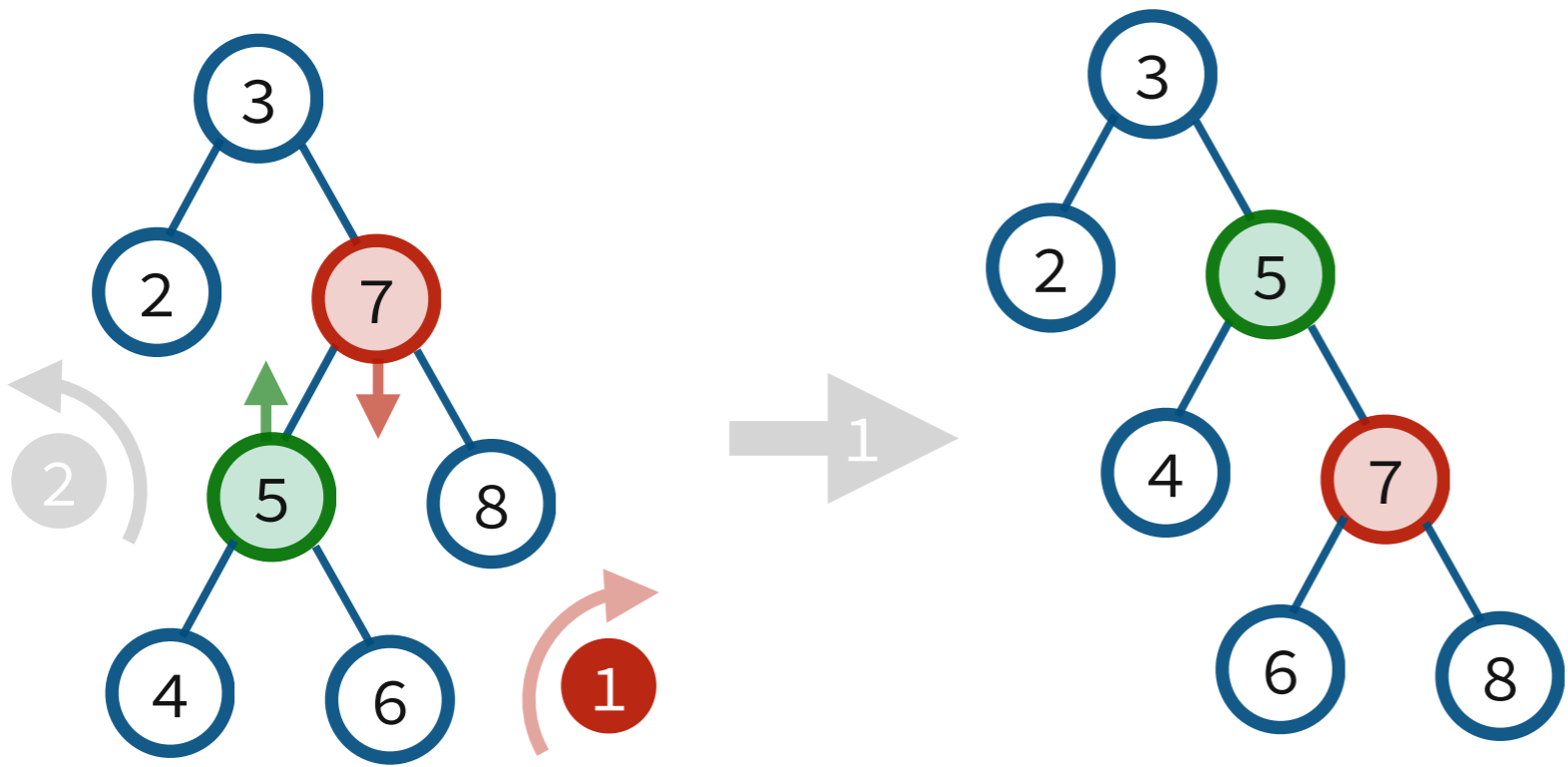
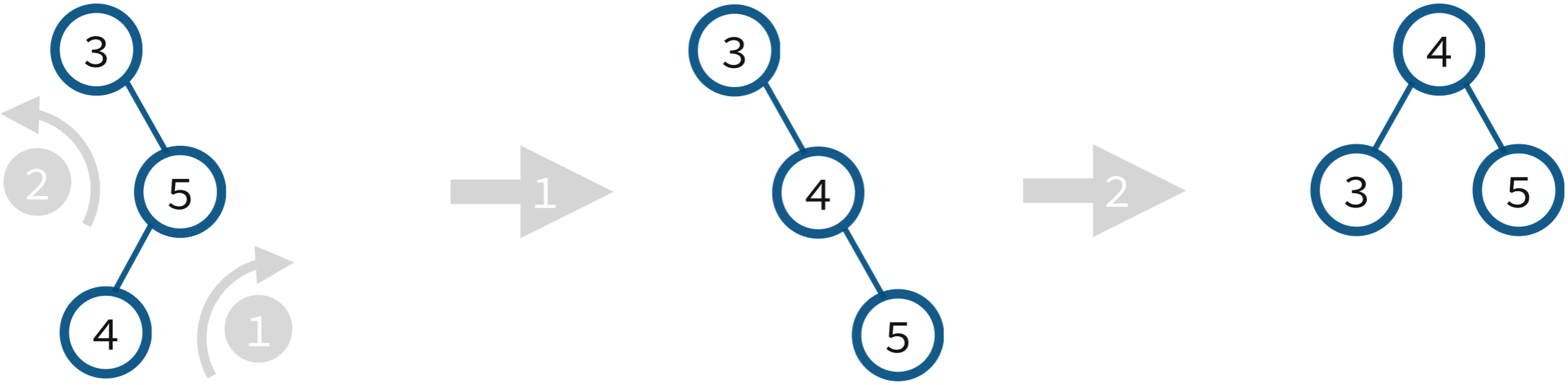


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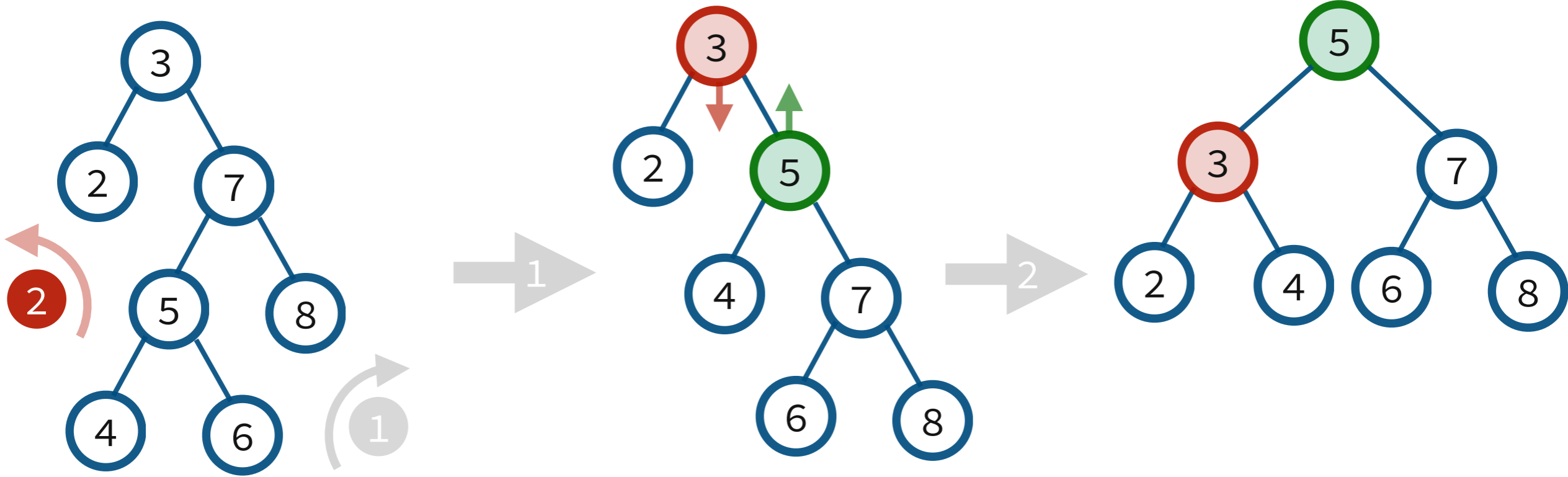
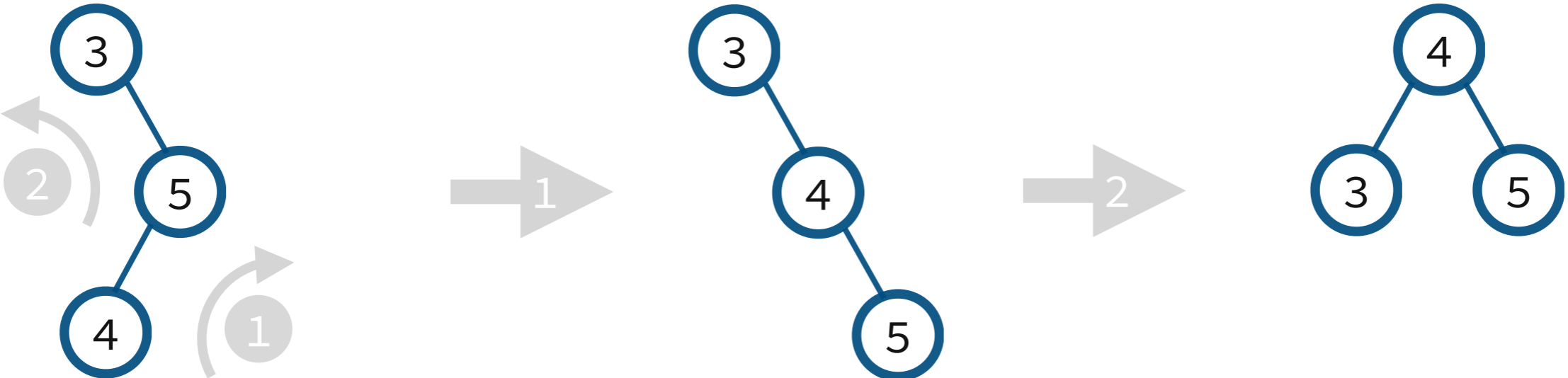
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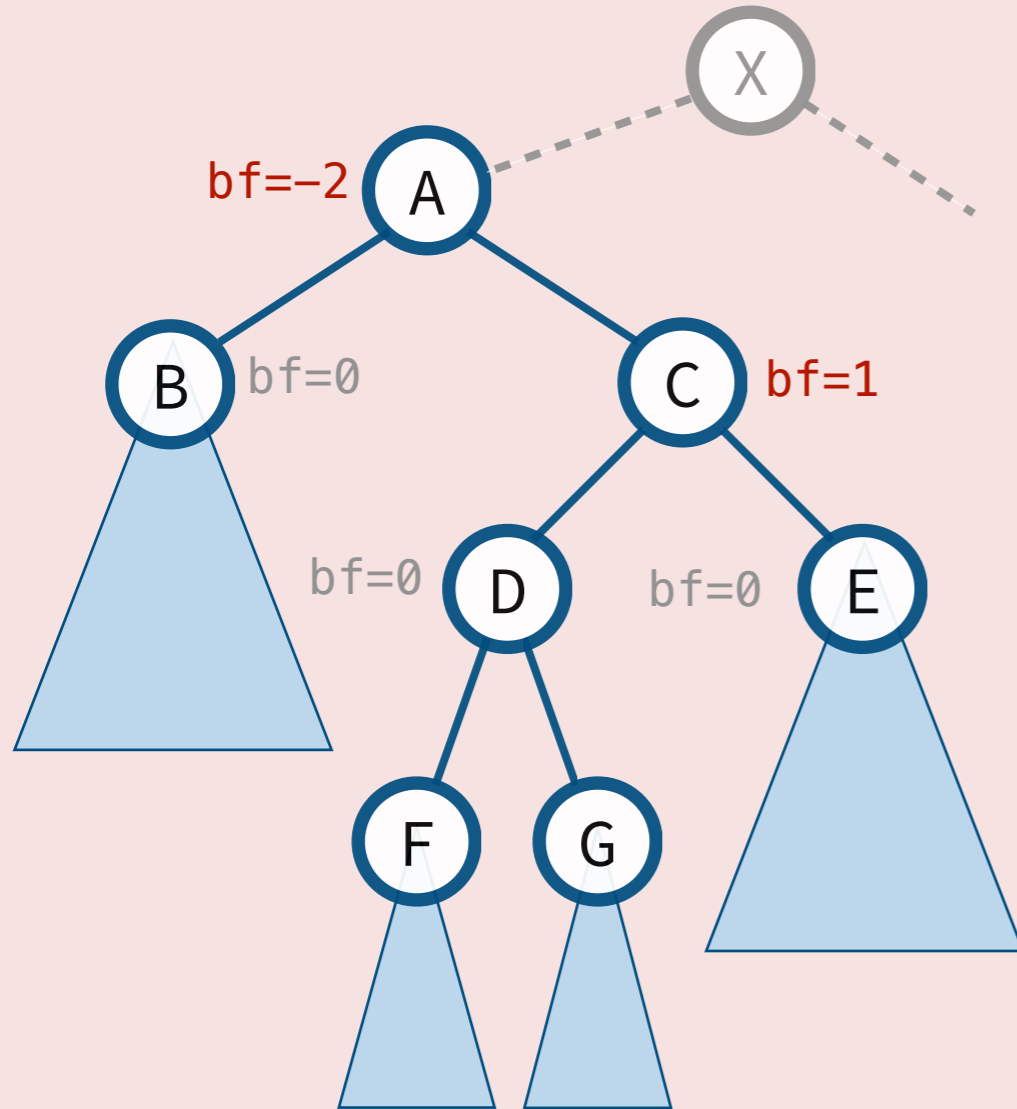
# Self-Balancing BSTs: Double Rotations



# Self-Balancing BSTs: Double Rotations



# Exercise: Double Rotation

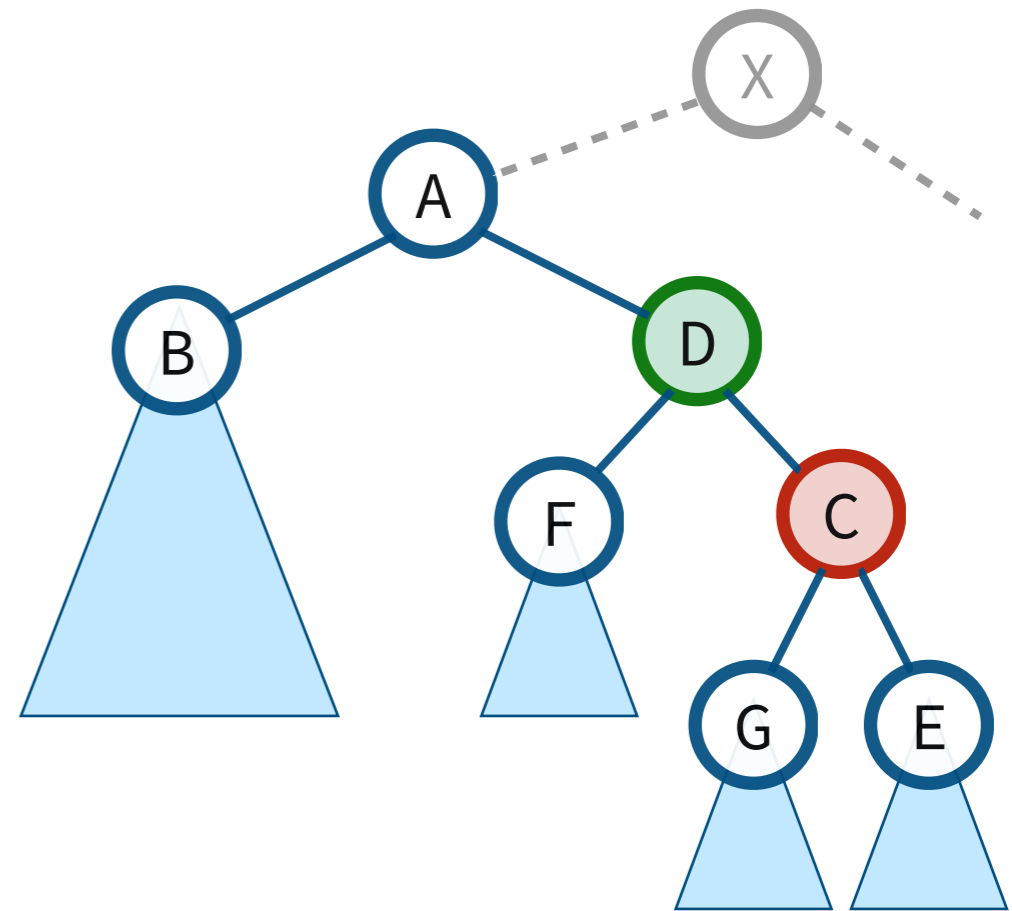
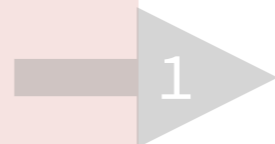
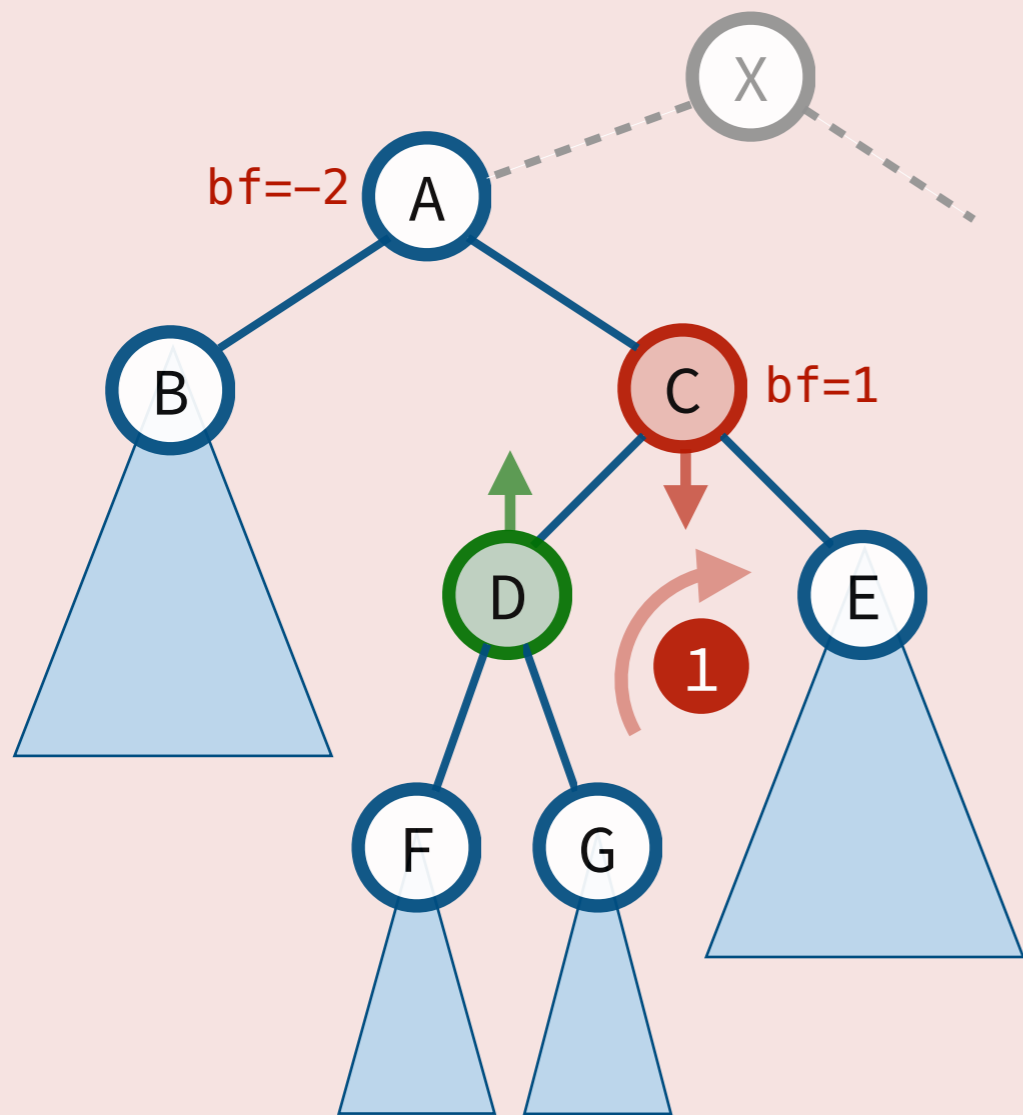


**Not balanced!**

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**Task:** Perform a double rotation.

# Exercise: Double Rotation

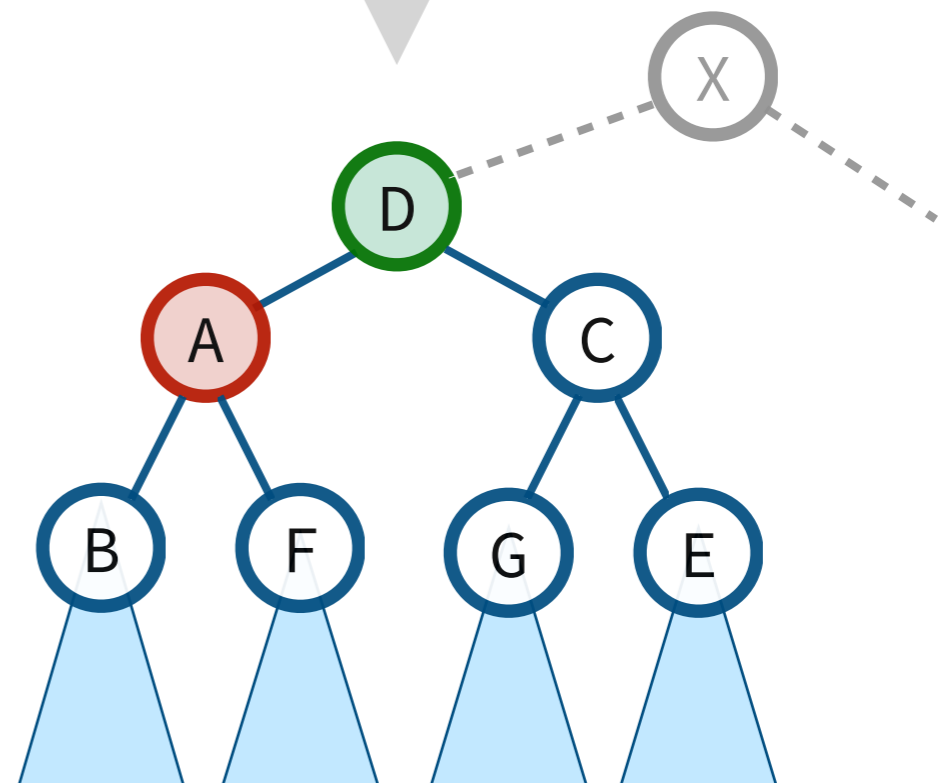
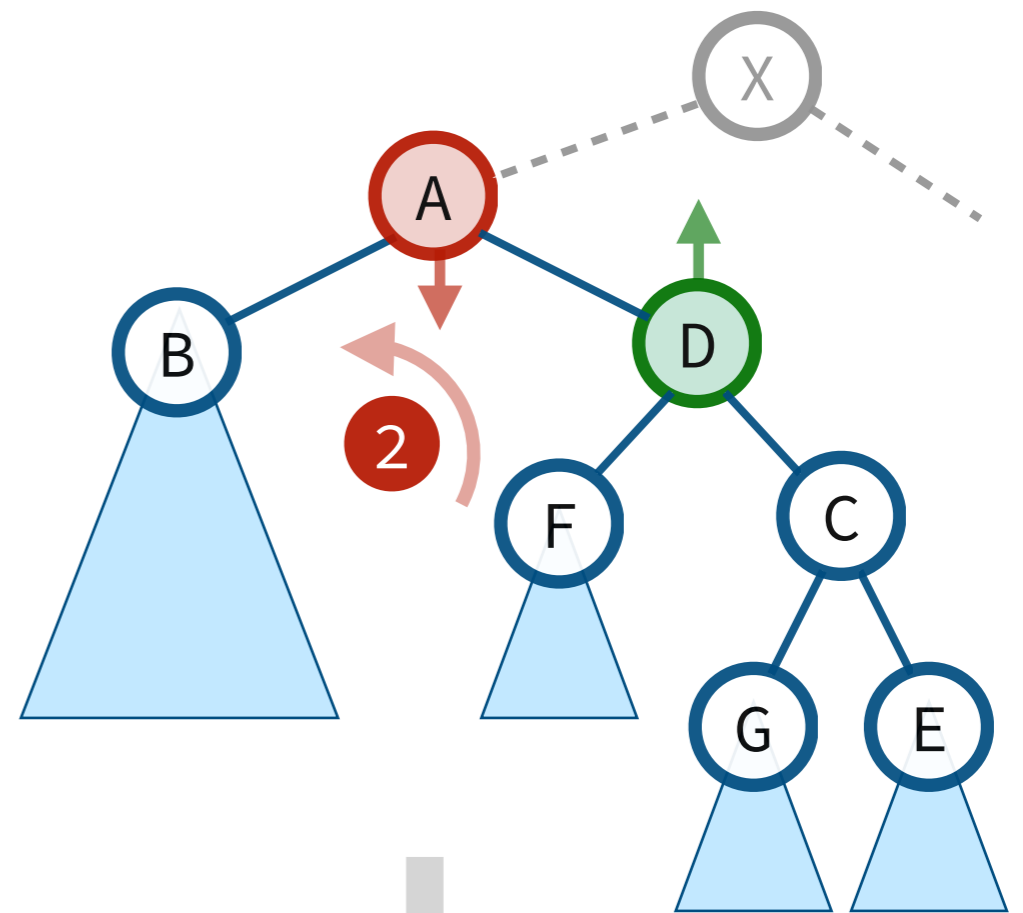
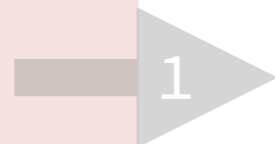
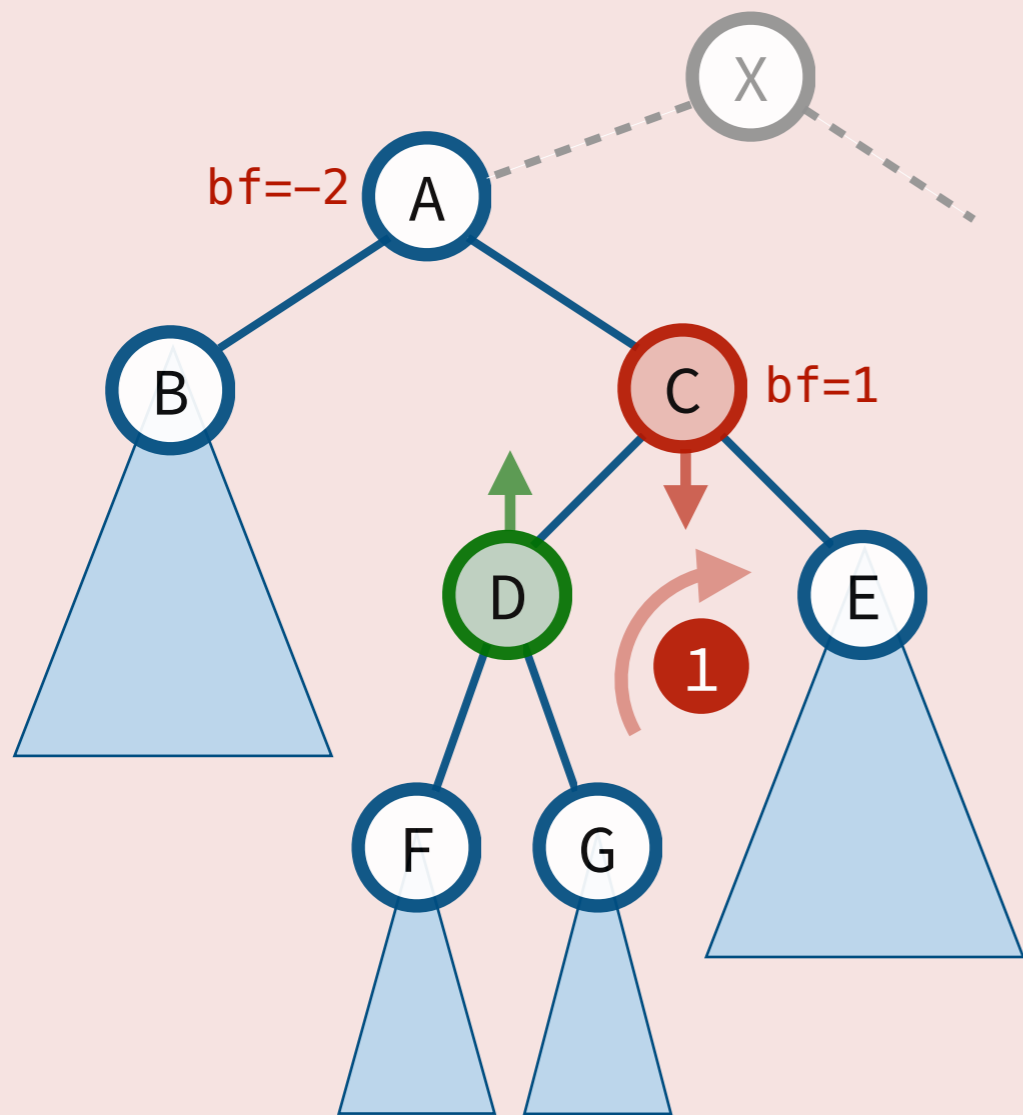


**Not balanced!**

Right heavy and right child is left heavy

**Task:** Perform a double rotation.

# Exercise: Double Rotation



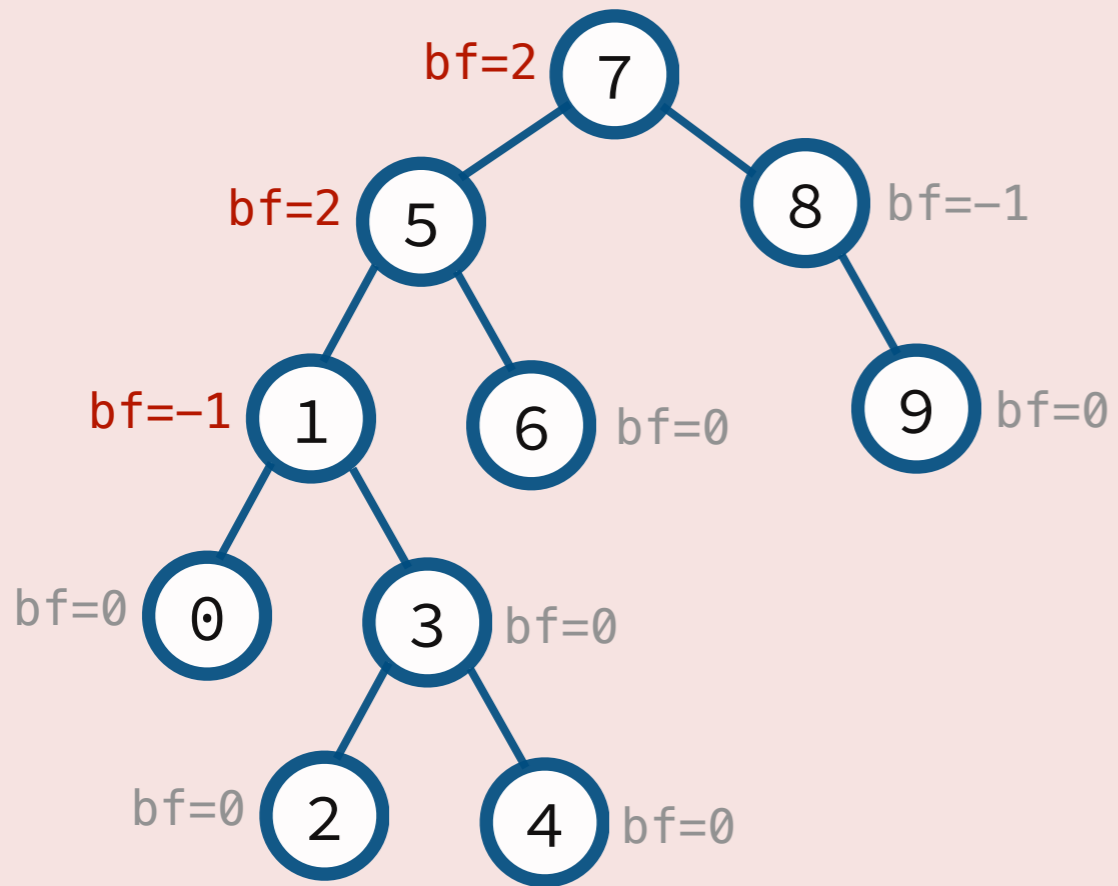
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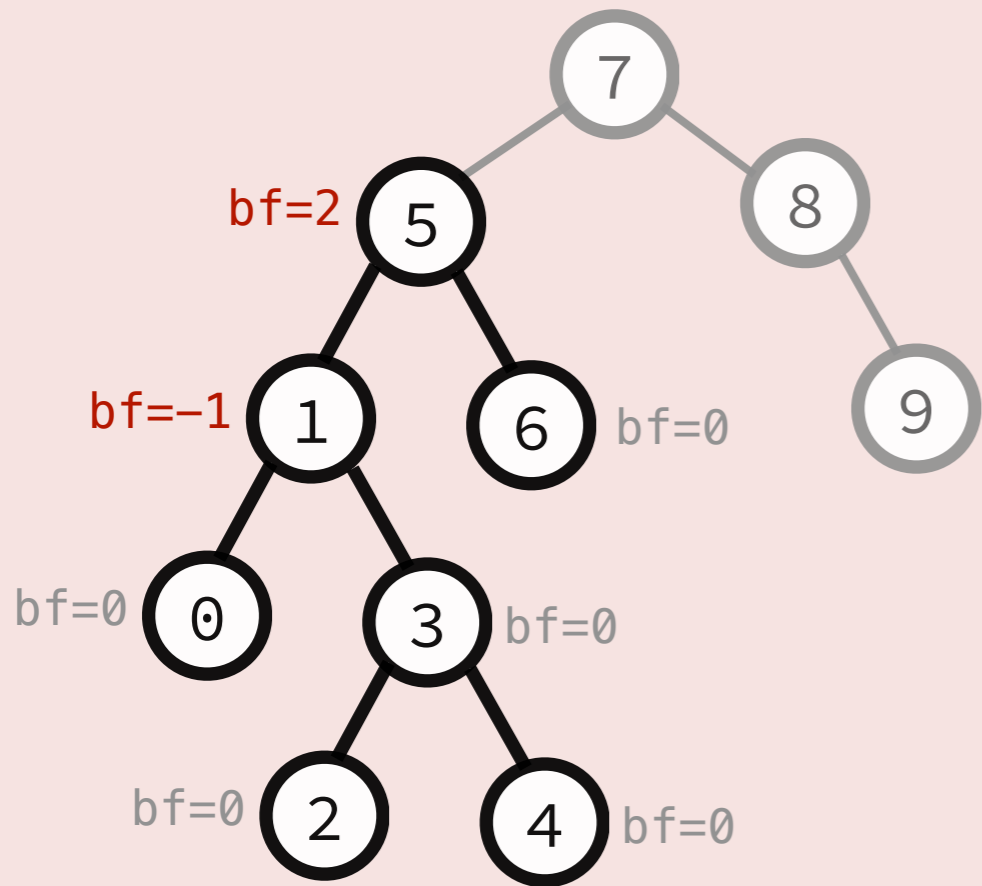


# Exercise: Double Rotation



**Task:** Balance the tree

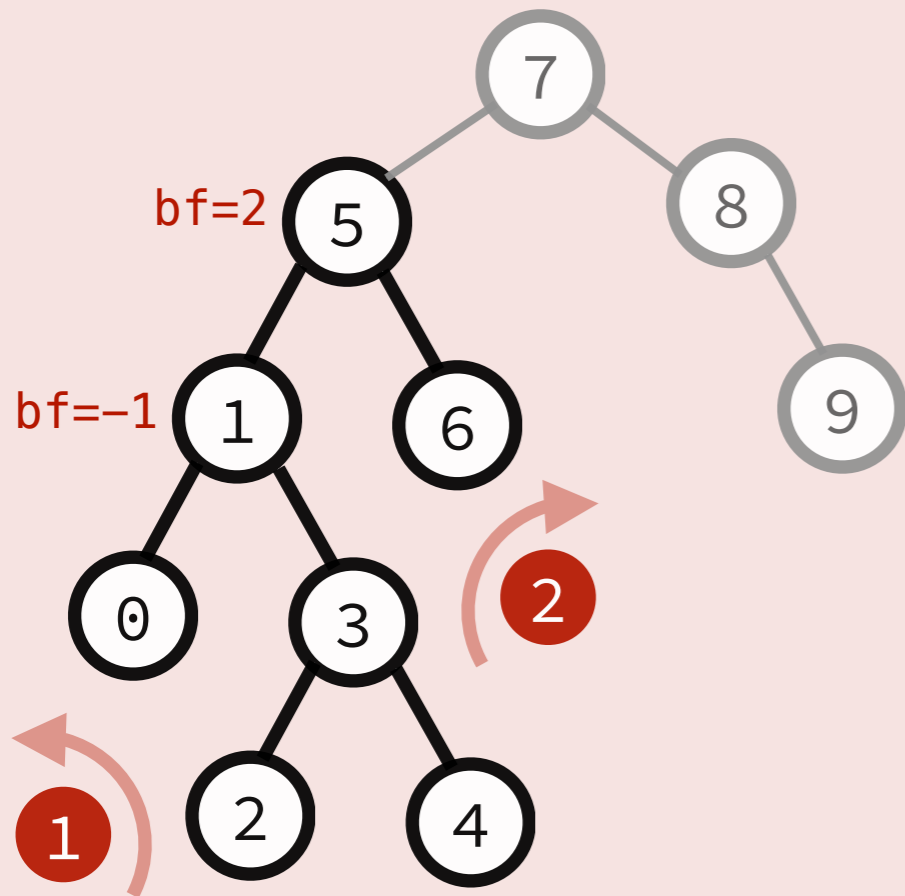
# Exercise: Double Rotation



**Task:** Balance the tree

Start with *lowest* misbalanced subtree

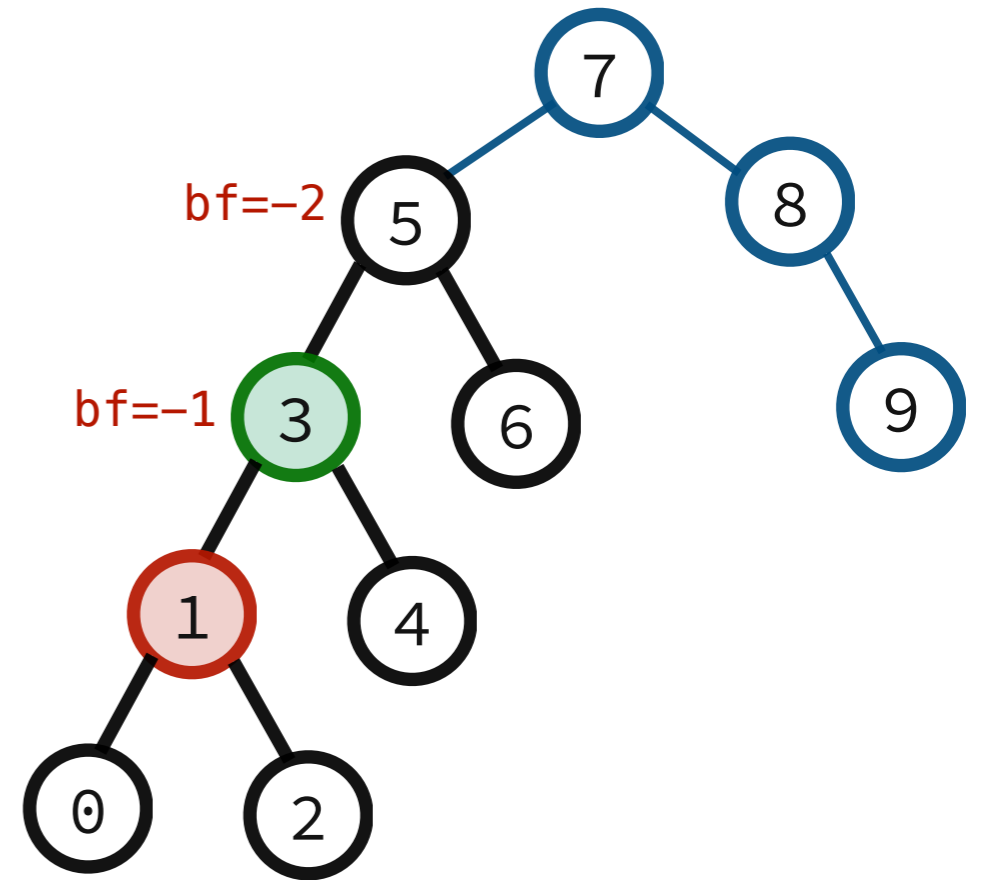
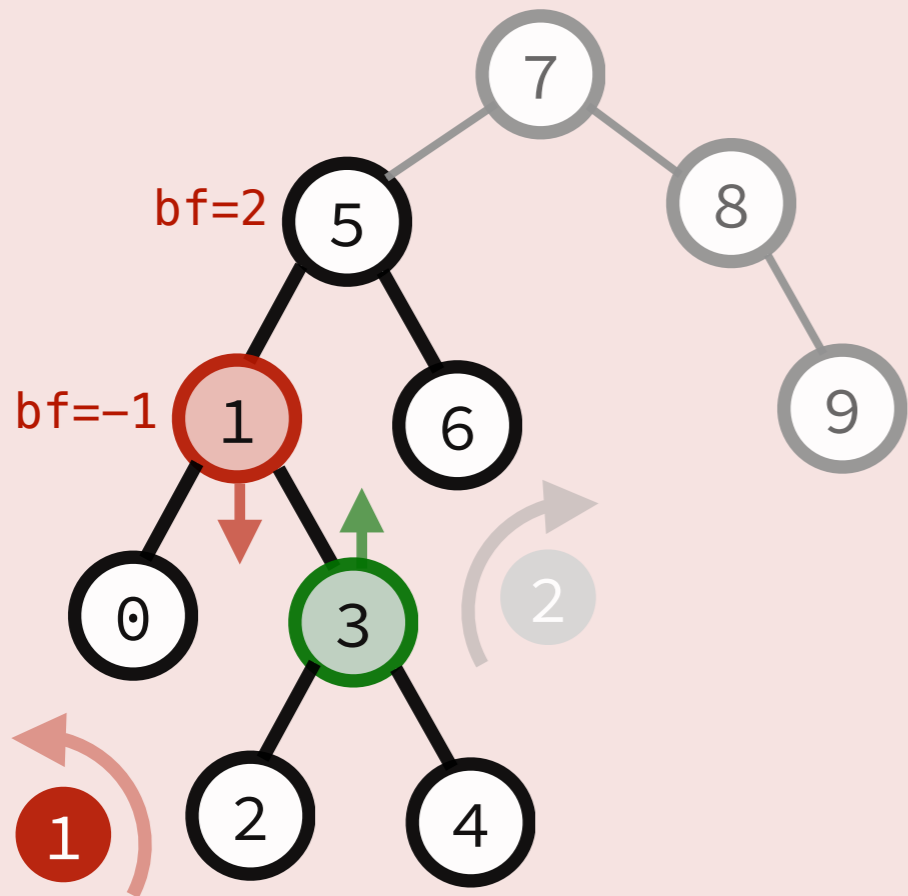
# Exercise: Double Rotation



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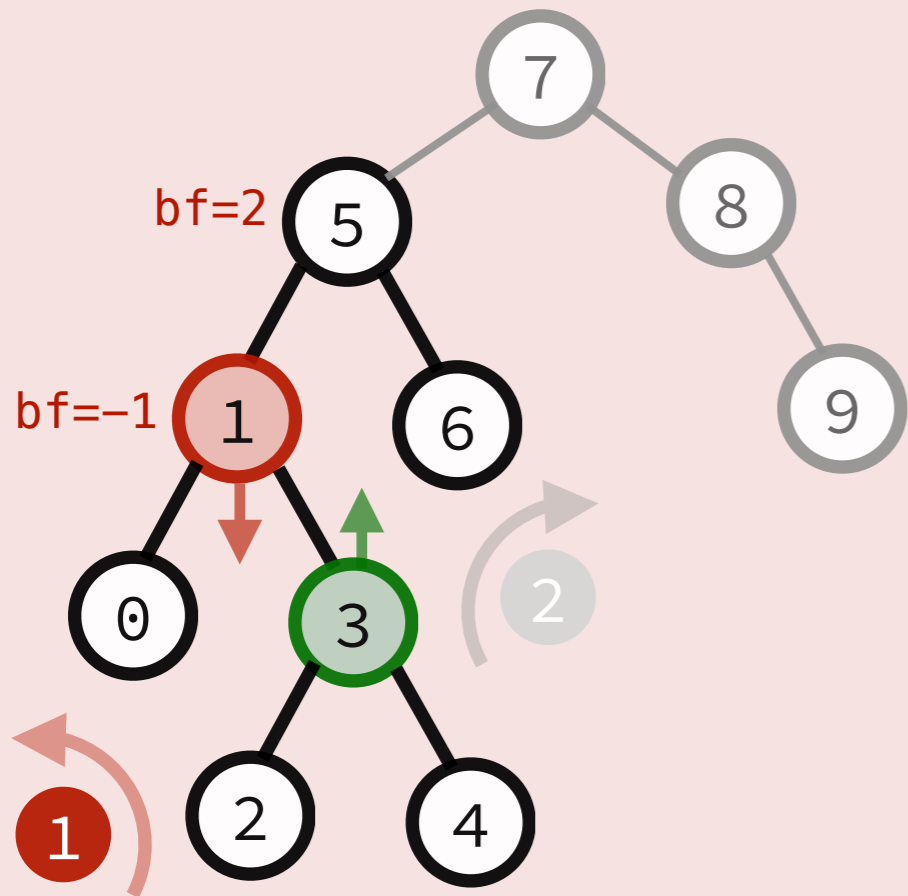
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**Task:** Balance the tree

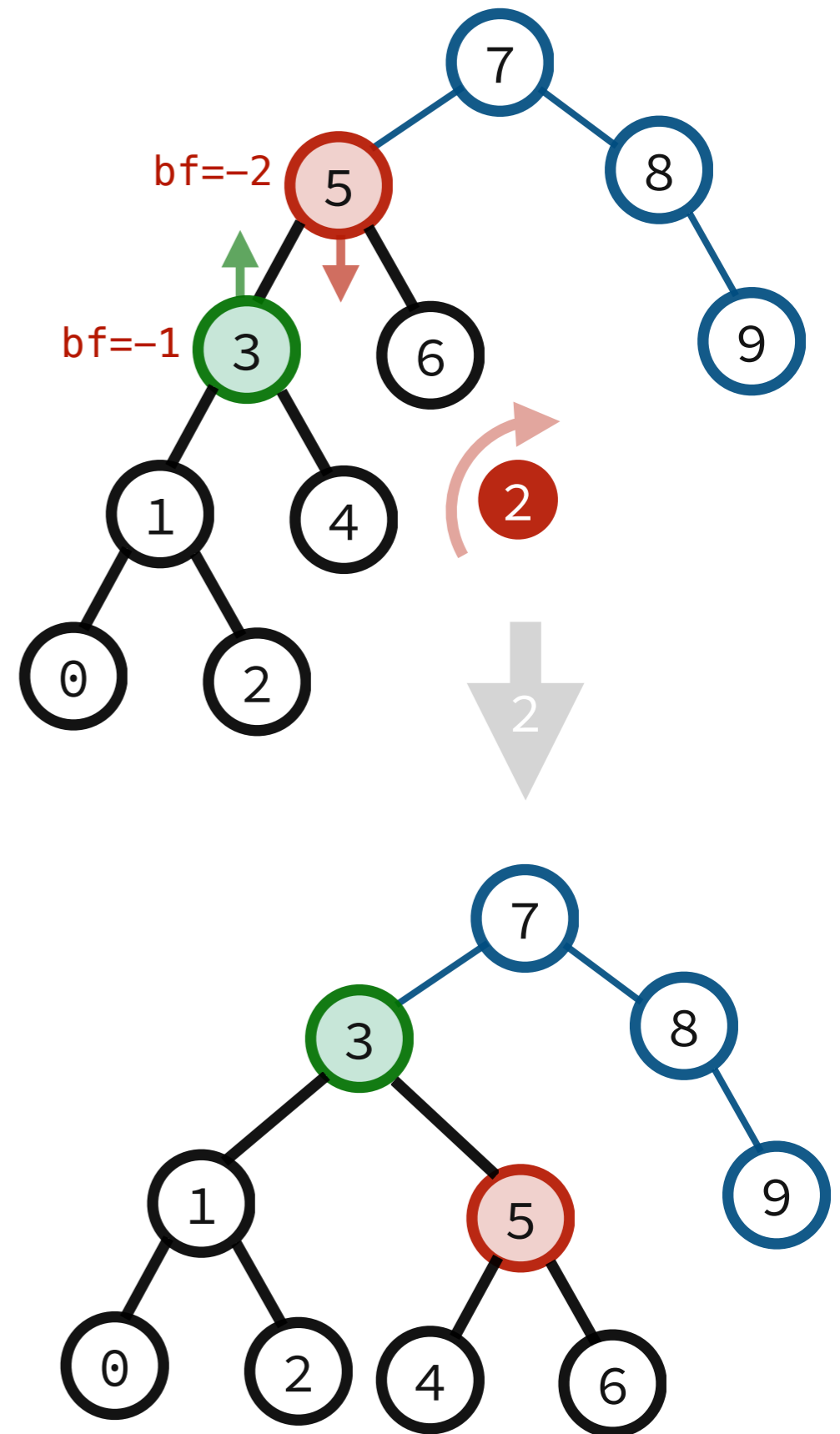
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# Exercise: Double Rotation



**Task:** Balance the tree

Start with *lowest* misbalanced subtree



# AVL Trees

After every insertion (or deletion):

- Check the balance factors of the nodes on the insertion (or deletion) path from the lowest to the highest in the tree.
- Perform the appropriate rotation on every subtree that is not balanced.

Example.

3 5 6 7 8 9 4 1 2

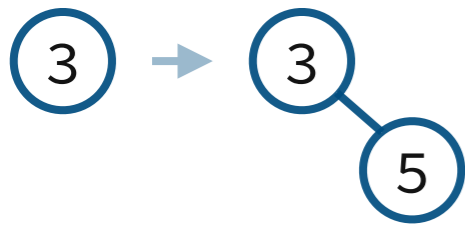
3

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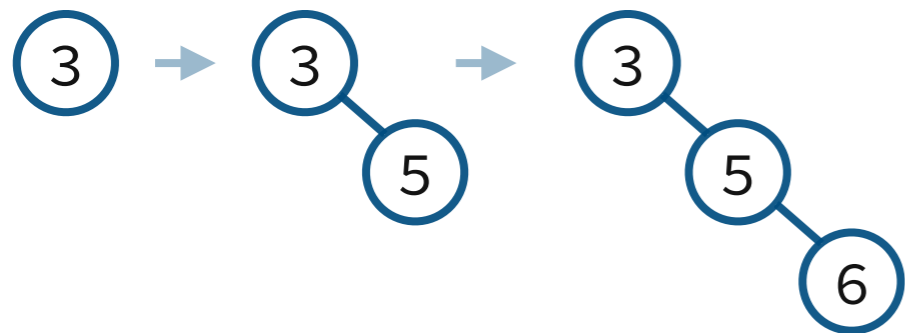
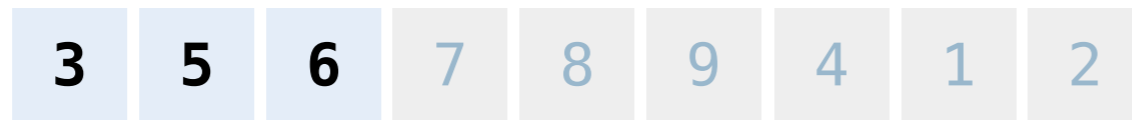


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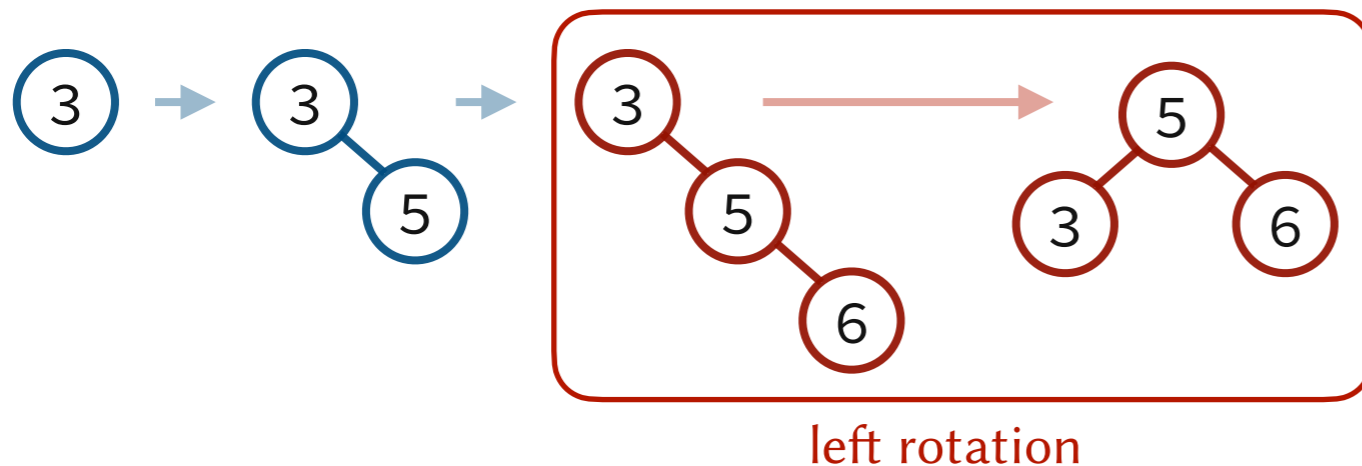
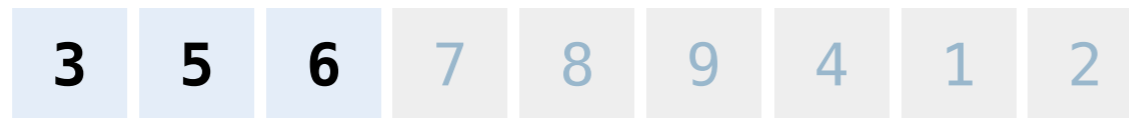


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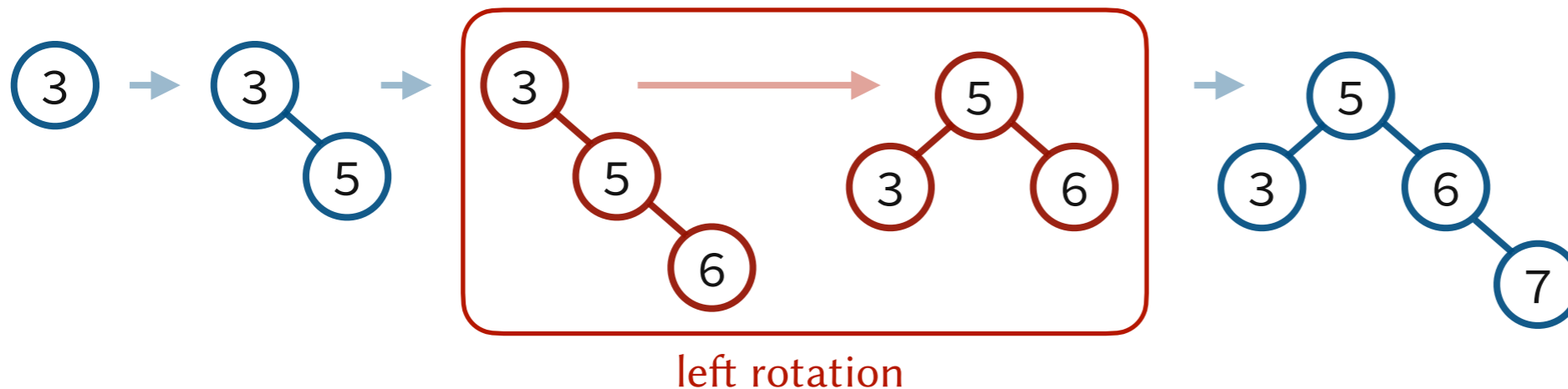


# AVL Trees

After every insertion (or deletion):

- Check the balance factors of the nodes on the insertion (or deletion) path from the lowest to the highest in the tree.
- Perform the appropriate rotation on every subtree that is not balanced.

Example.

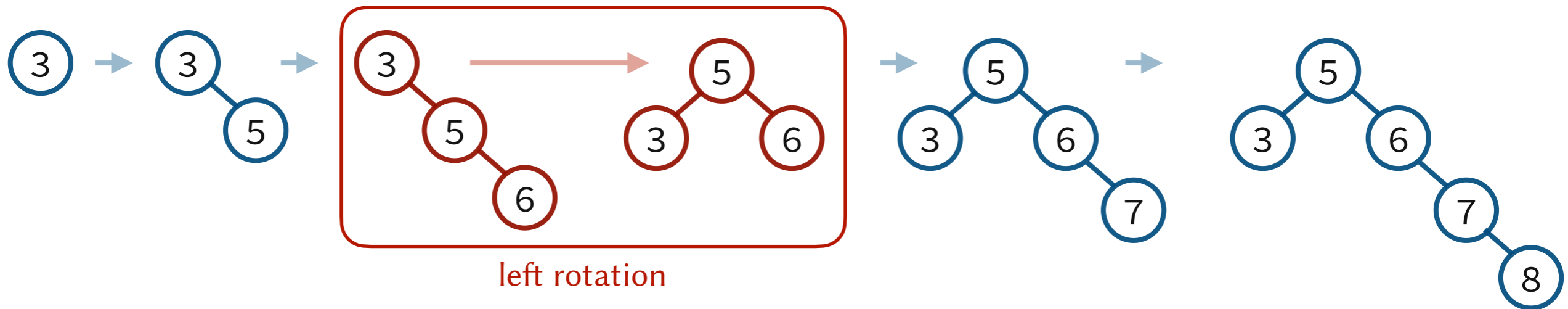


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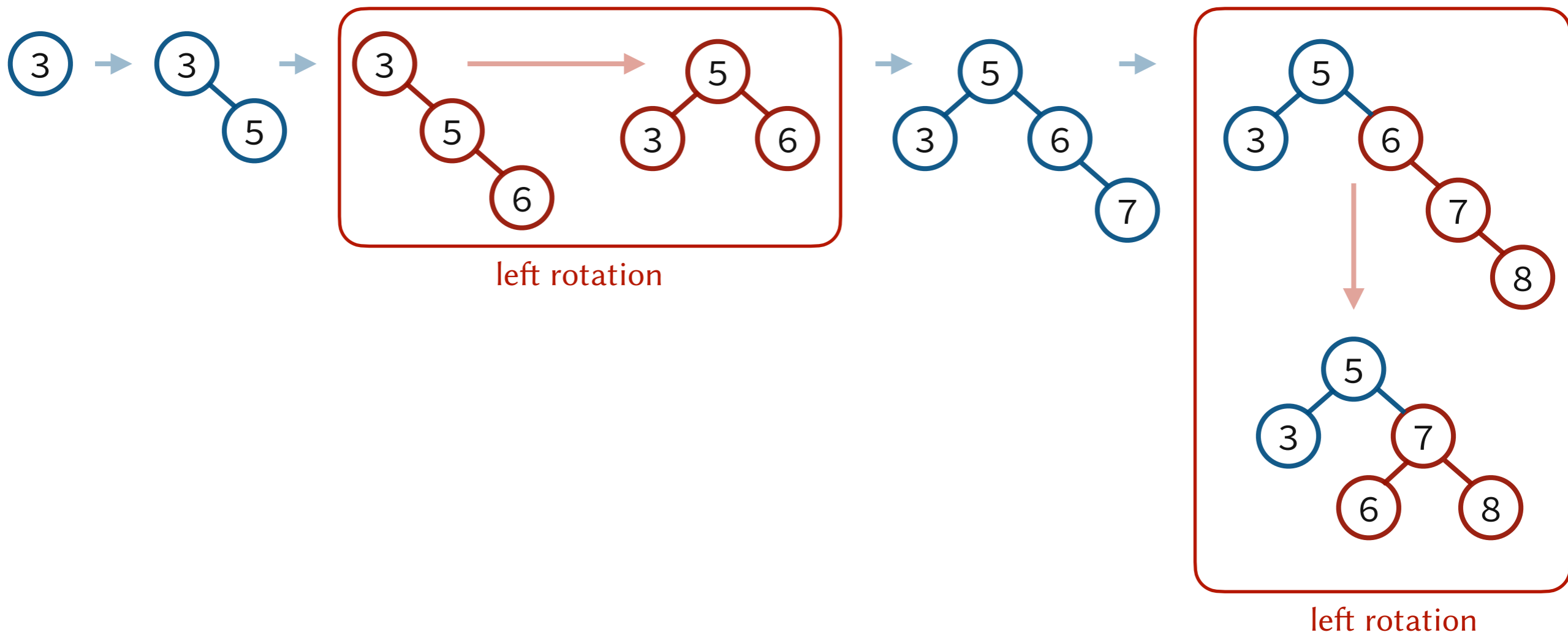


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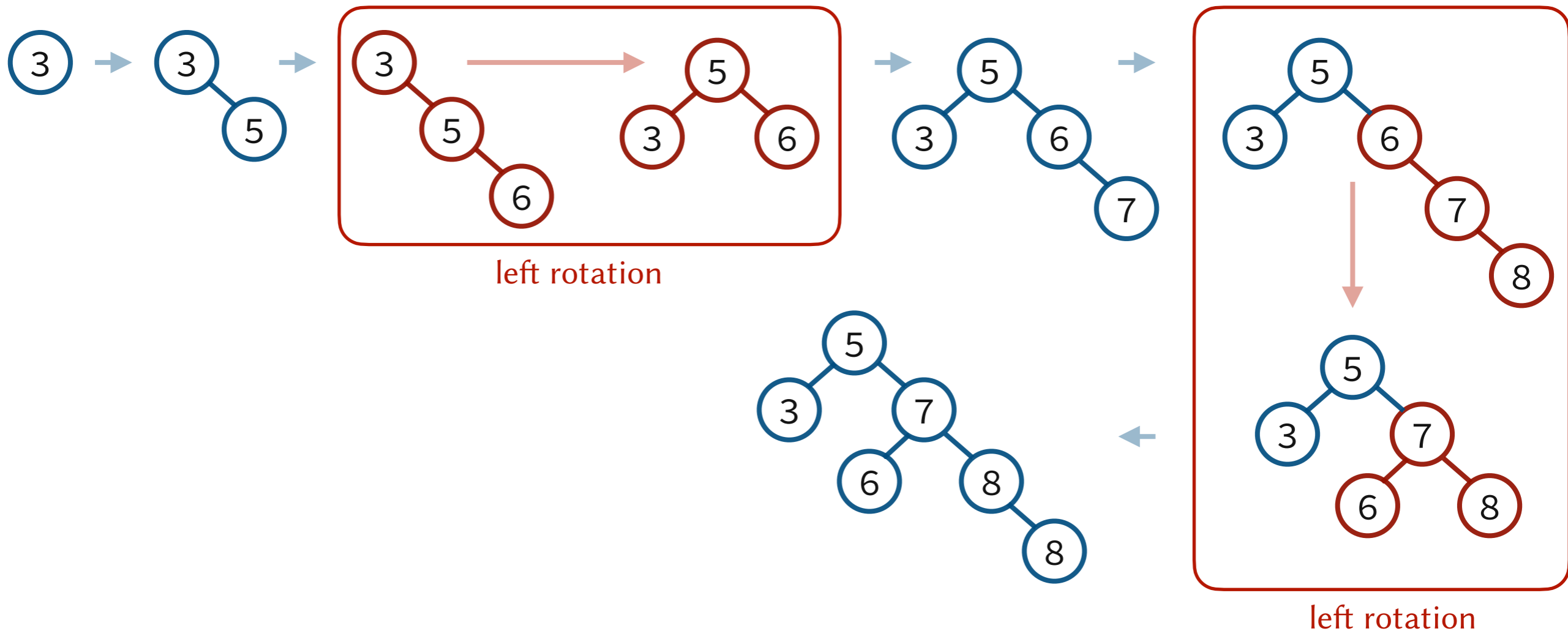


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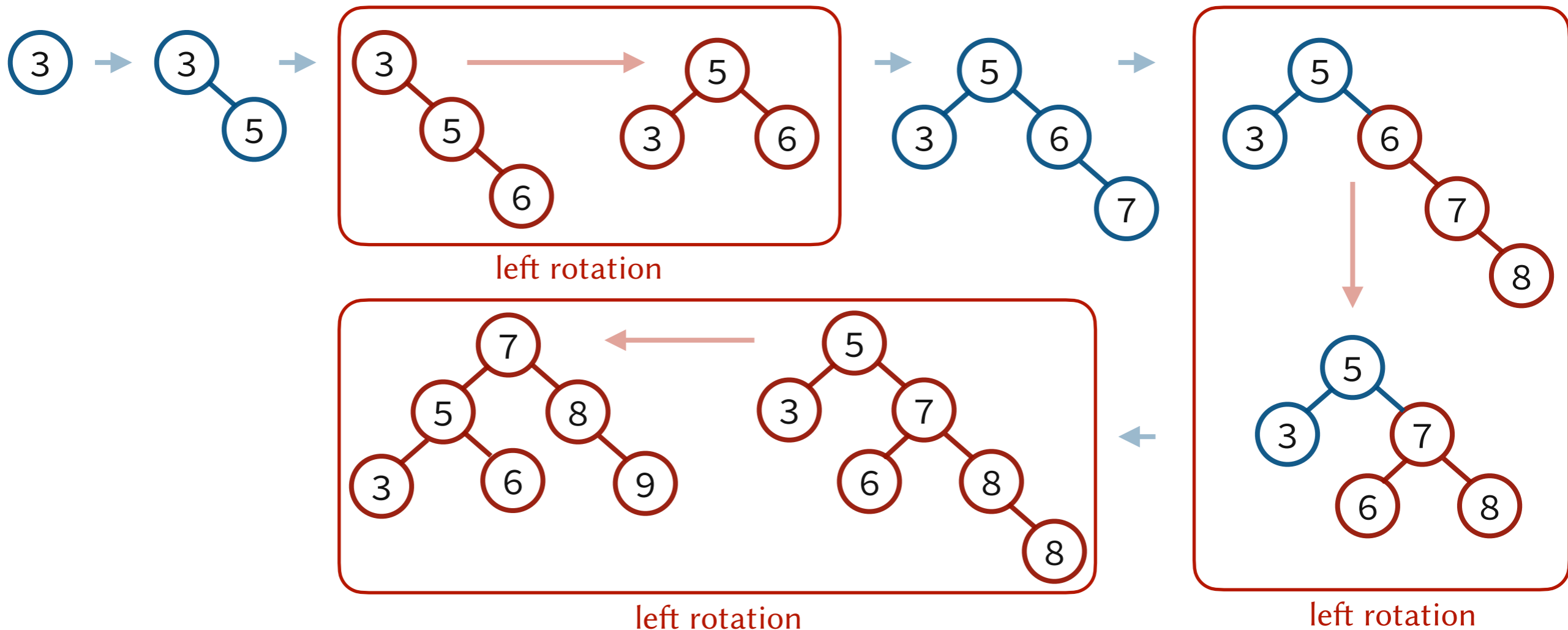


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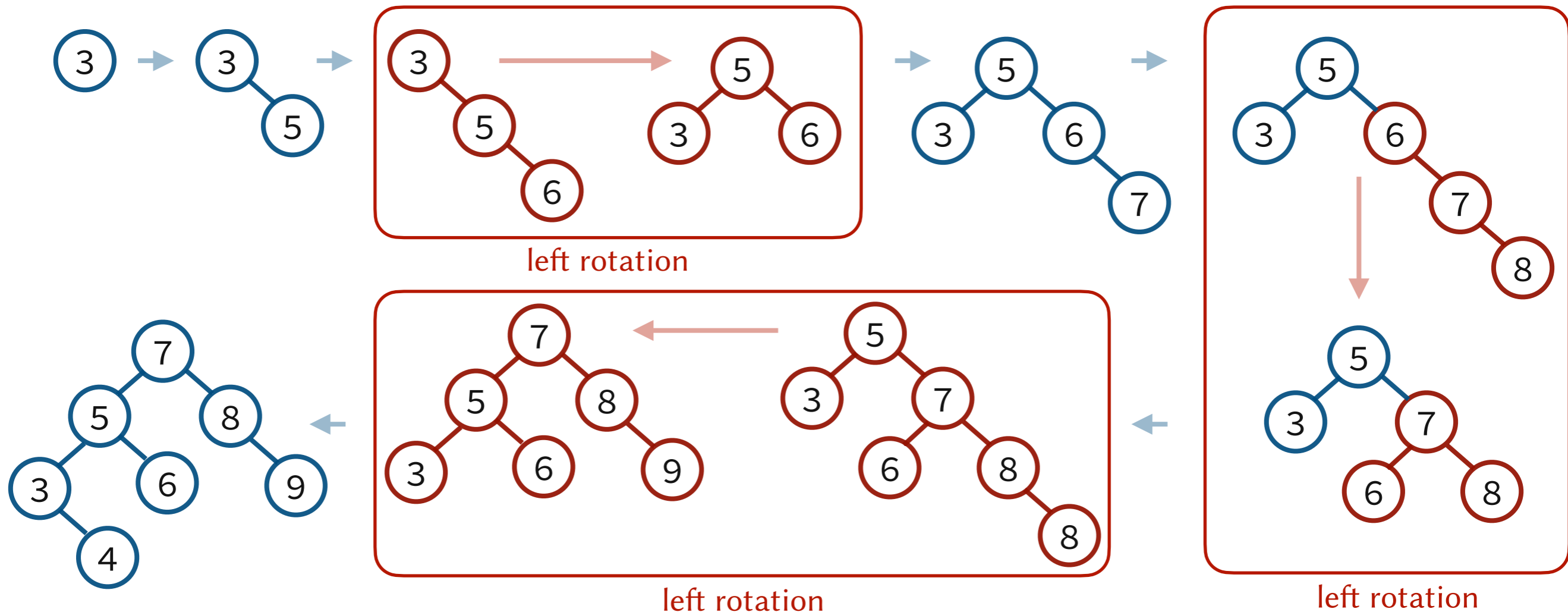


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## Tree Data Structures

Definitions and properties

Basic operations

- **Balanced binary search trees**

Tree traversals